

# The Asthma COPD Overlap Syndrome



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# The “Dutch” Hypothesis

Genetic  
susceptibility

Asthma

“bronchitis [COPD] and asthma are  
different patterns of the same  
condition”

Environmental Factors

- Allergen
- Infection
- Smoking
- Air Pollution

Chronic  
Obstructive  
Pulmonary  
Disease

## **The reason why it is difficult to differentiate asthma from COPD**

In 1995, the American Thoracic Society stated:

... it may be impossible to differentiate patients with asthma whose airflow obstruction does not remit completely from persons with chronic bronchitis and emphysema with partially reversible airflow obstruction and bronchial hyperresponsiveness.<sup>61</sup>

# Overlap: Why is it a problem?

1. It is common
2. Diagnostic uncertainty for doctors; confusion for patients
3. Severe form of CAO: natural history, treatment non-response (ICS and OCS), high resource use
4. Not covered in guidelines / strategy documents
5. Not studied in clinical trials

# Suggested names for Asthma COPD Overlap

- Asthma-COPD phenotype
- Mixed asthma-COPD
- Mixed COPD-asthma
- Asthma with fixed airflow obstruction
- COPD with asthmatic component
- Eosinophilic COPD phenotype
- Hyper-reactive COPD phenotype

# Coexistence of Asthma & COPD in young, middle-aged & elderly in general population

- Random general population: Gene Environment Interactions in Respiratory Diseases (GEIRD) study
  - Screening questionnaire
  - Doctor diagnosed asthma or COPD

Age class (years)	Asthma only % (95% CI)	Asthma + COPD % (95% CI)	COPD only % (95%CI)
20 - 44	8,2 (7.5-9.0)	1.6 % (1.3-2.0)	3.3 (2.8-3.8)
45 - 64	4.9 (4.0-5.9)	16.5%	5.7 (4.7-6.7)
65 - 84	2.9 (1.8-4.0)	21.7%	13.3 (11.1-15.5)

- Females (RR 1.63)
- More symptomatic: breathless, cough & wheeze
  - More exacerbations
  - More hospitalizations

# COPDGene Study: COPD with history of Asthma

- ◆ Poorer quality of life (SGRQ)
- ◆ Higher probability of exacerbation in past year
- ◆ More frequent exacerbations  
OR 3.55 (95% CI: 2.19-5.75)  $p < 0.001$
- ◆ More rapid lung function decline
- ◆ More refractory to ICS and OCS
- ◆ Higher OCS requirement

# POPE – COPD Phenotypes

Figure 2. Recruitment of COPD subjects according participating countries (N = 3 745)

Country	N (%)
Serbia	550 (14.7%)
Poland	477 (12.7%)
Czech Republic	453 (12.1%)
Hungary	407 (10.9%)
Russia	381 (10.2%)
Slovakia	370 (9.9%)
Croatia	362 (9.7%)
Austria	357 (9.5%)
Bulgaria	311 (8.3%)
Slovenia	70 (1.9%)
Latvia	7 (0.2%)

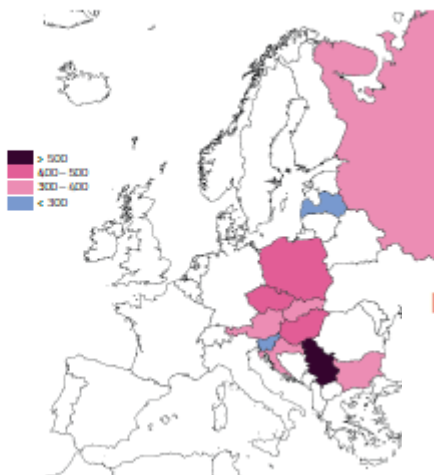
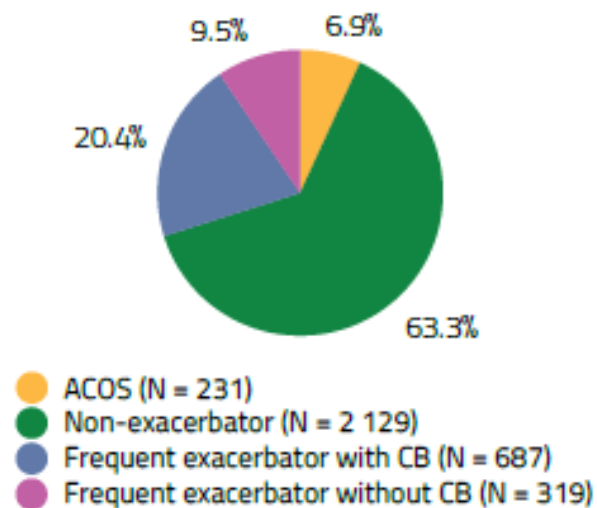


Figure 4. Phenotypes of COPD (N = 3 366)





# Population-based categorisation of patients with respiratory symptoms in New Zealand

Wellington Respiratory Survey: >50 years (N= 469) from random general population

## Definitions used

### Asthma

- post b.d  $\geq 15\%$  FEV<sub>1</sub>, or
- PEF variability for 1 week, or
- physician diagnosis plus symptoms or reliever use in last 12 months

### Emphysema

- Macroscopic emphysema on CT, or
- AFO with TLCO/VA <LLN

### Chronic Bronchitis

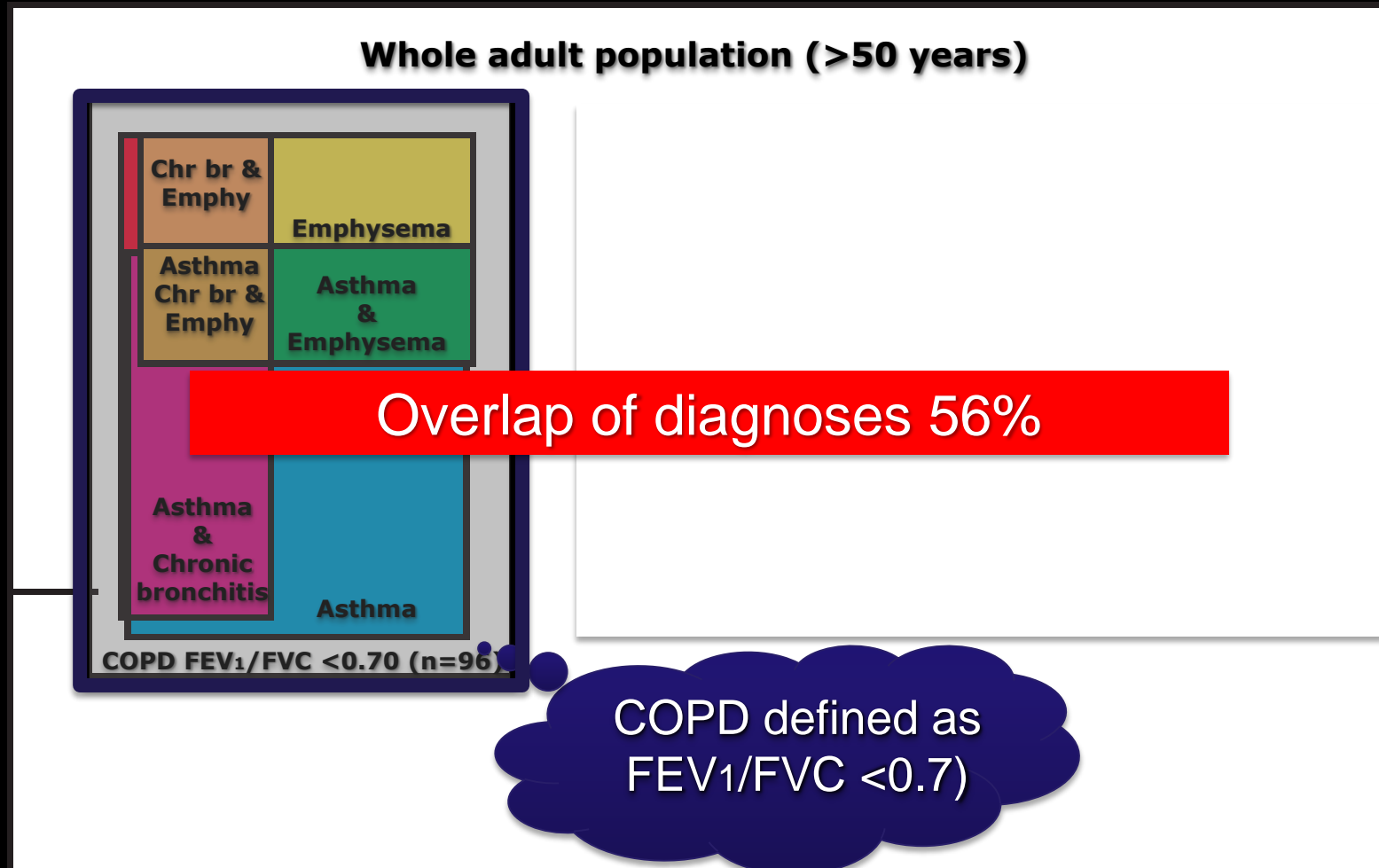
- British MRC definition

### COPD

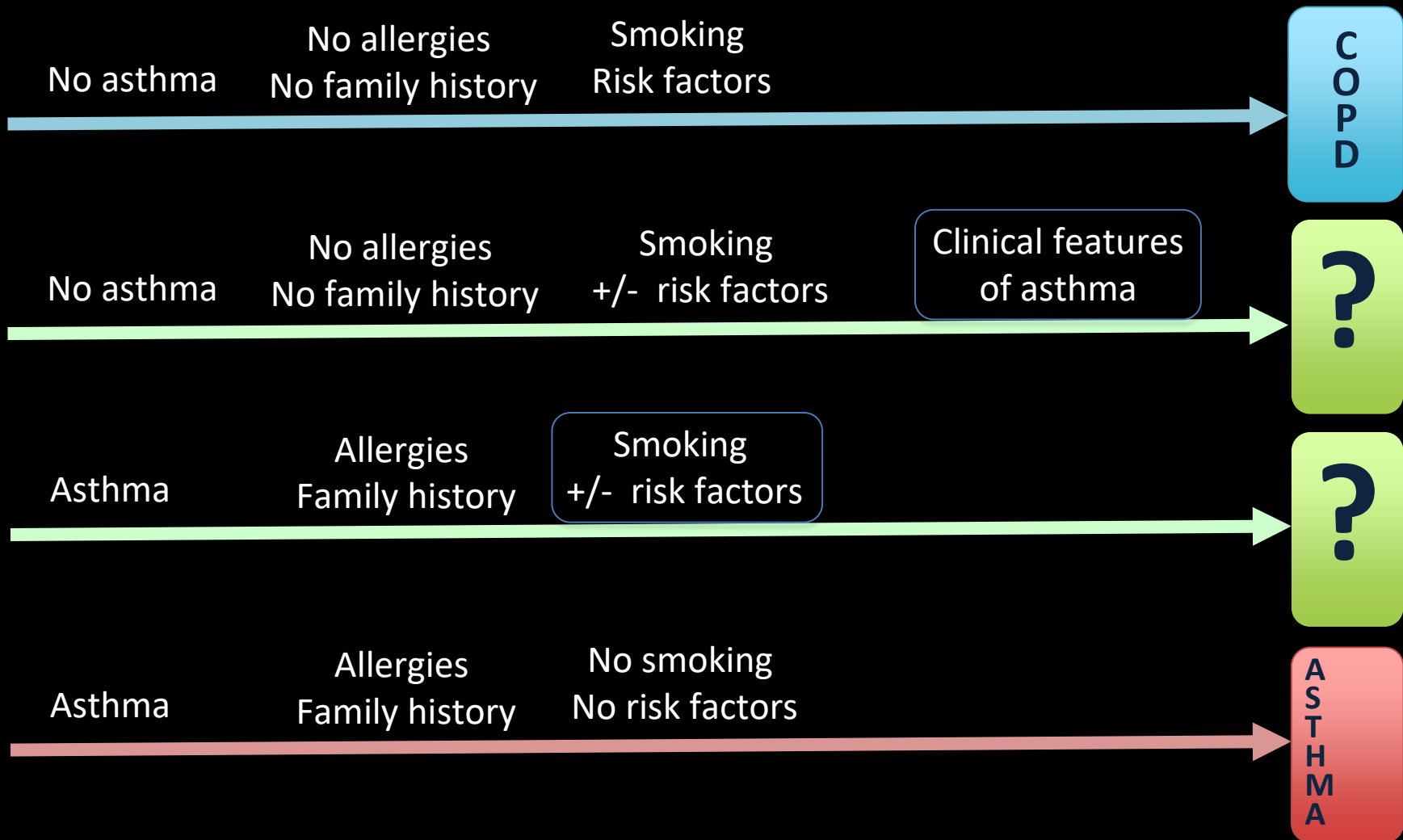
- FEV<sub>1</sub>/FVC <0.7

# Population-based classification of patients aged 50 years and older

Wellington Respiratory Survey: >50 years (N= 469) from random general population



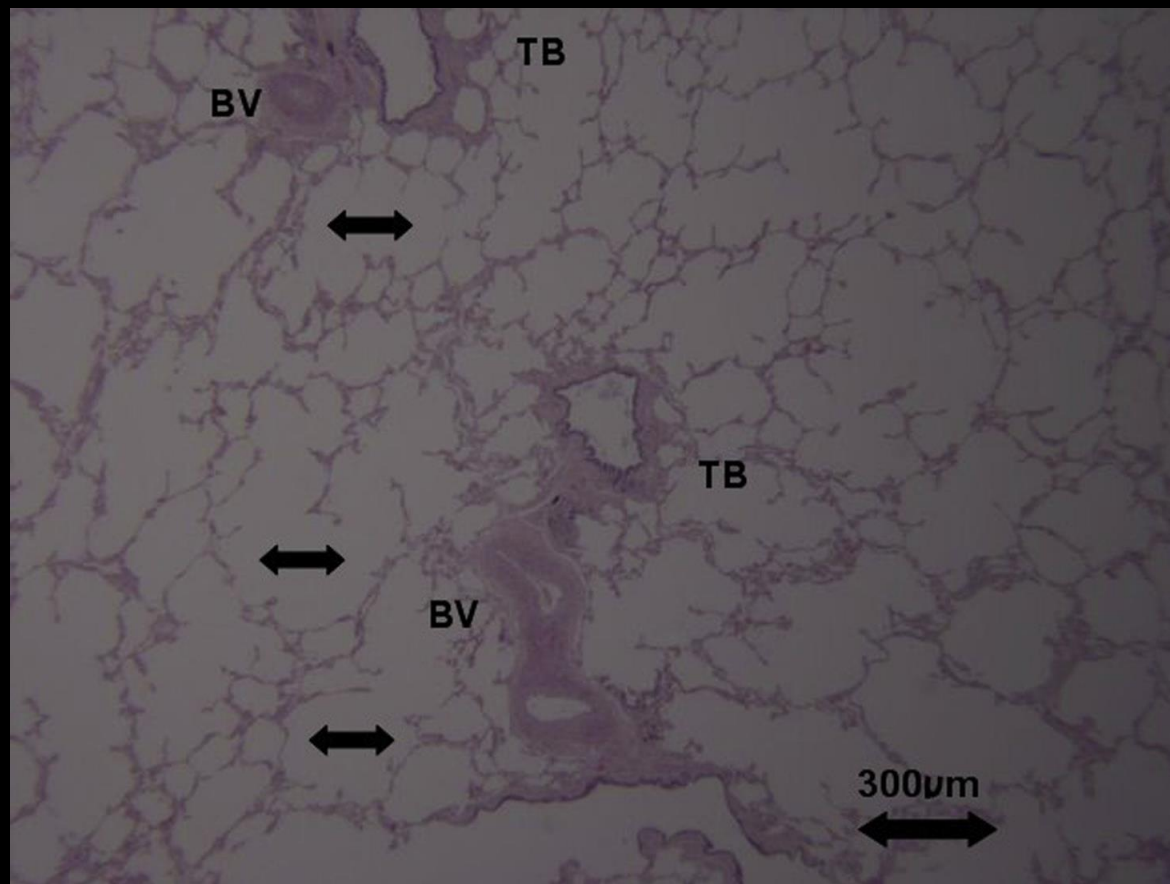
# Pathways to Chronic Airflow Obstruction



## Unexpected mild emphysema in non-smoking asthma with persistent AFO

72-year-old woman non-smoker, lifelong asthma

- Mild centrilobular emphysema, fractured alveolar septae
- Mucin in terminal bronchioles
- Neutrophils predominate



# Inflammatory cells in airway walls in COPD and asthma with fixed airflow obstruction

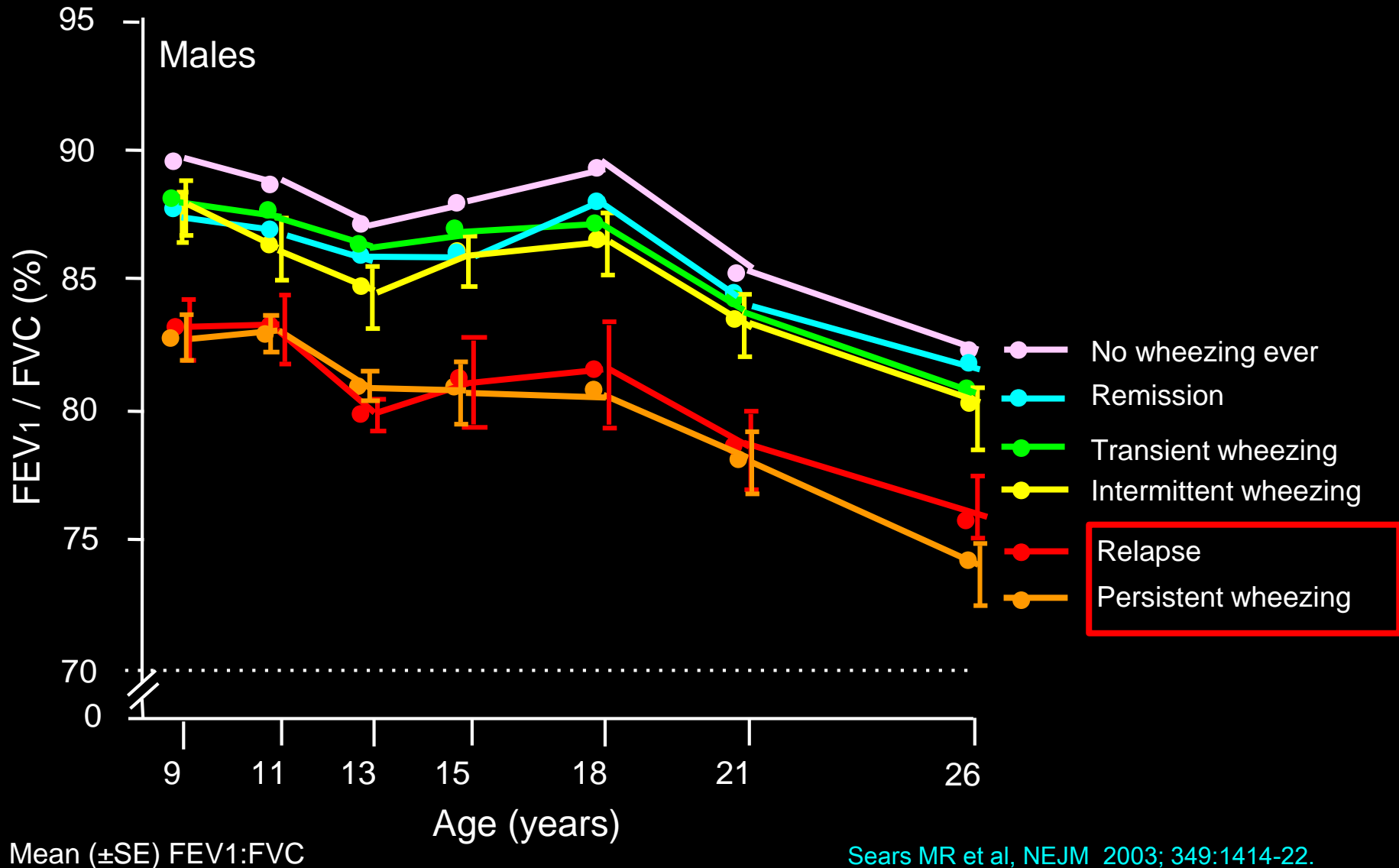
## Inflammatory cells in the lamina propria

Cells (cells/mm <sup>2</sup> )	Fixed airflow obstruction (n=21)	History of COPD (n=11)	History of asthma (n=10)
Macrophages	91.3 (47.0–102.0)	99.4 (65.0–105.6)	86.0 (41.0–97.7)
Neutrophils	109.5 (71.0–180.0)	88.5 (43.0–156.0)	157.0 (99.0–183.0)
Eosinophils	30.0 (5.0–57.5)	5.0 (2.3–33.0)	50.0 (10.0–280.0)**
Mast cells	45.0 (13.5–70.0)	40.0 (18.7–65.0)	53.0 (9.2–120.0)
CD4 <sup>+</sup>	142.0 (65.0–210.0)	109.0 (18.0–138.2)	218.0 (110.7–372.2)*
CD8 <sup>+</sup>	45.0 (25.2–102.0)	72.5 (36.5–145.0)	40.0 (15.2–71.5)
CD4 <sup>+</sup> /CD8 <sup>+</sup>	2.0 (0.97–7.75)	1.2 (0.27–3.15)	7.0 (2.0–21.0)

Medians with interquartile range

Versus COPD patients: \*p<0.05, \*\*p<0.01

# Longitudinal asthma cohort: Lung function decline



# Evidence for early-life origins of COPD risk

European Community

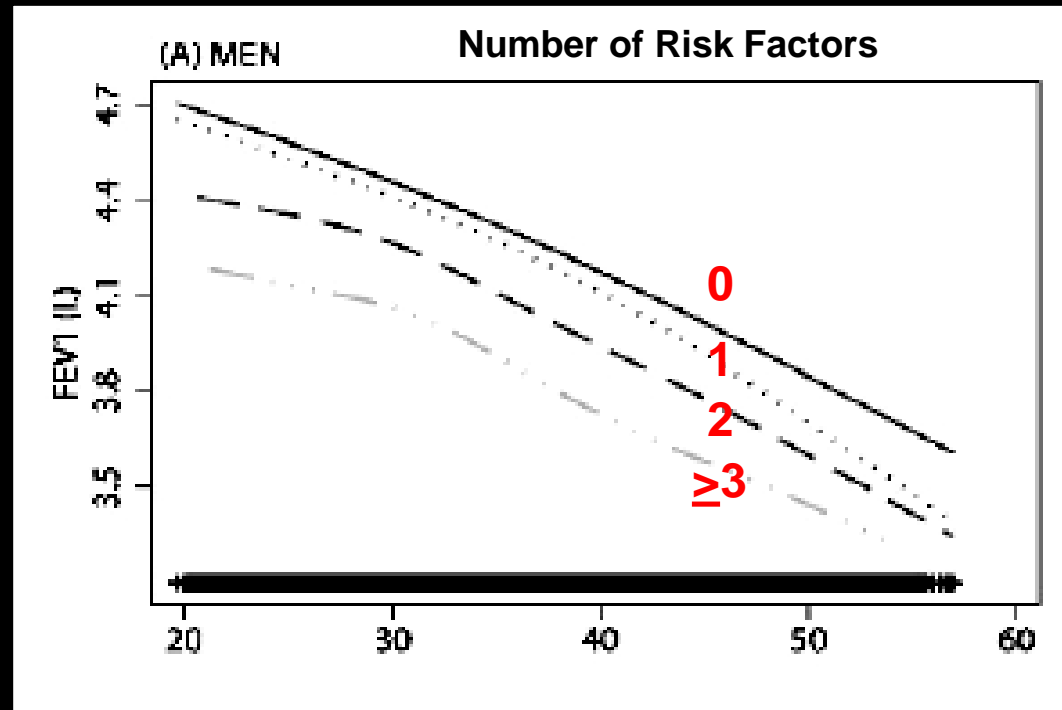
Respiratory Health study

1993 13 359 20-45 year  
olds

Follow-up 9 years later on  
7738

## Risk factors for FEV1 decline

- Maternal asthma
- Paternal asthma
- Childhood asthma
- Maternal smoking
- Childhood respiratory infections



## Early childhood disadvantage associated with:

- ✦ Lower lung function
- ✦ No catch-up
- ✦ Faster rate of decline
- ✦ Higher risk of COPD

Svanes C, et al. *Thorax*. 2010;65:14-20.

# COPD clinical phenotypes (Spanish guidelines - GesEPOC)

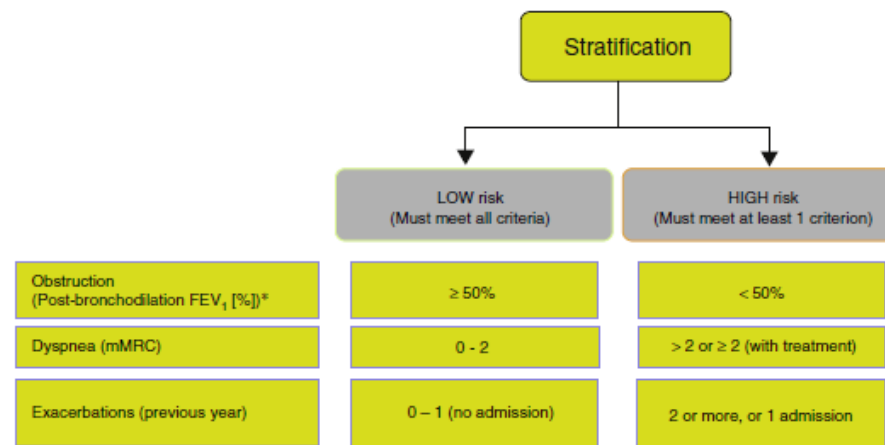
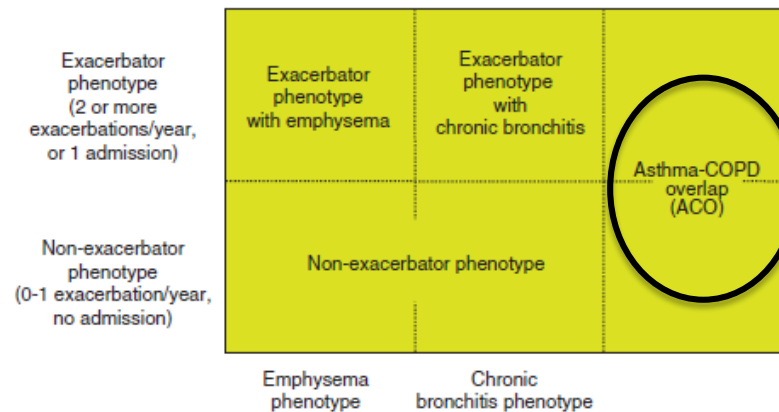


Fig. 1. Risk stratification in patients with COPD.





# **The Asthma-COPD Overlap Syndrome: A Common Clinical Problem in the Elderly**

**Amir A. Zeki,<sup>1,2</sup> Michael Schivo,<sup>1,2</sup> Andrew Chan,<sup>1,3</sup> Timothy E. Albertson,<sup>1,3</sup>  
and Samuel Louie<sup>1</sup>**

## **Two Clinical Definitions (phenotype):**

1. Asthma with partially reversible airflow obstruction, with or without emphysema or DLCO <80% pred.
2. COPD with emphysema accompanied by reversible or partially reversible airflow obstruction, with or without environmental allergies or DLCO <80% pred.





# Stepwise approach to diagnosis and initial treatment

## Chapter 5.

### Diagnosis and initial treatment of asthma, COPD and asthma-COPD overlap (ACO)

A joint project of GINA and GOLD

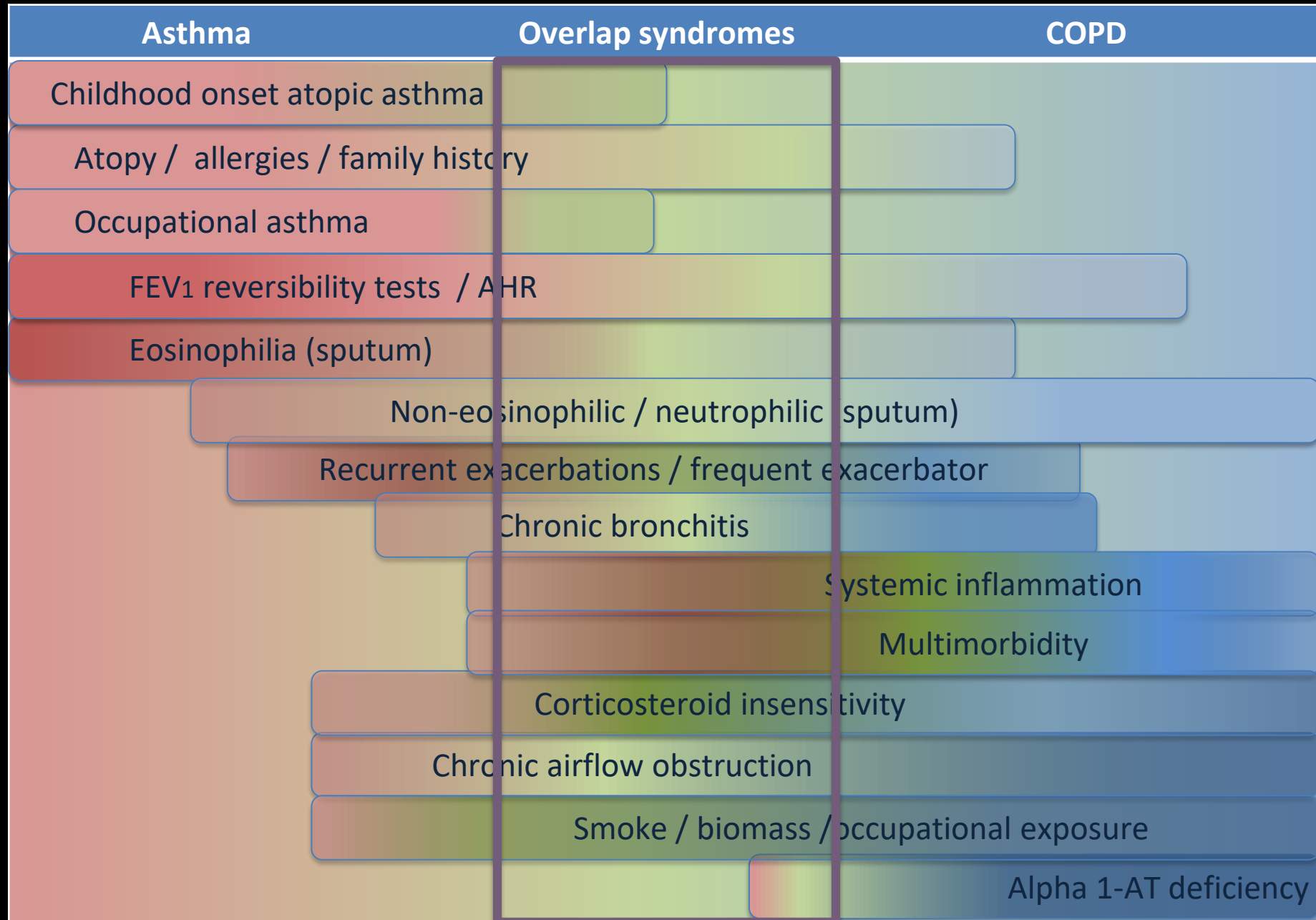


\*[www.ginasthma.org](http://www.ginasthma.org) &  
[www.goldcopd.org](http://www.goldcopd.org)

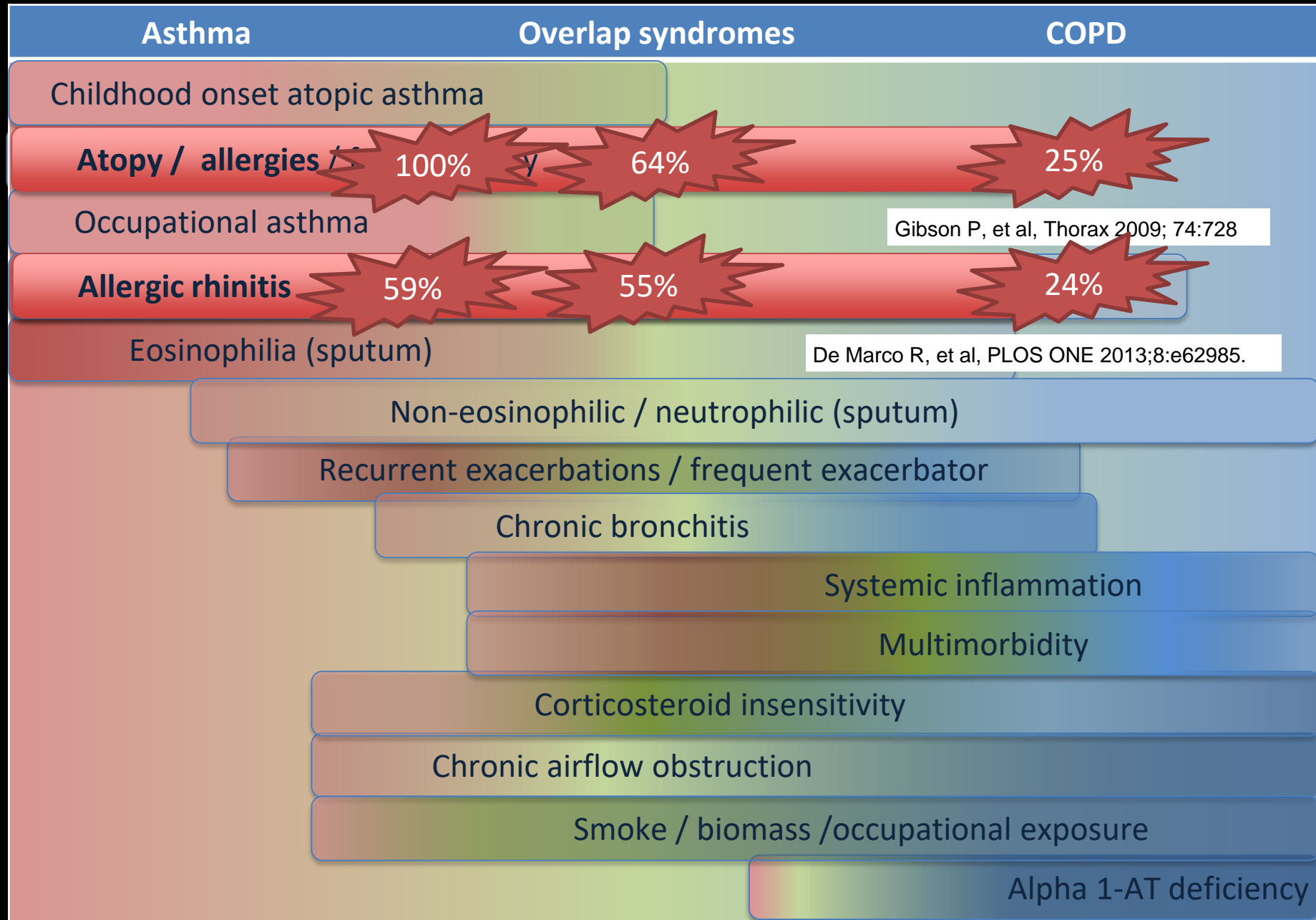
# Diagnosing Asthma, COPD and ACOS

- There are no pathognomonic features for asthma, COPD or ACOS
- Phenotypic features / risk factors present the likelihood (probability) of a diagnosis
- Pooling probabilities strengthens diagnosis

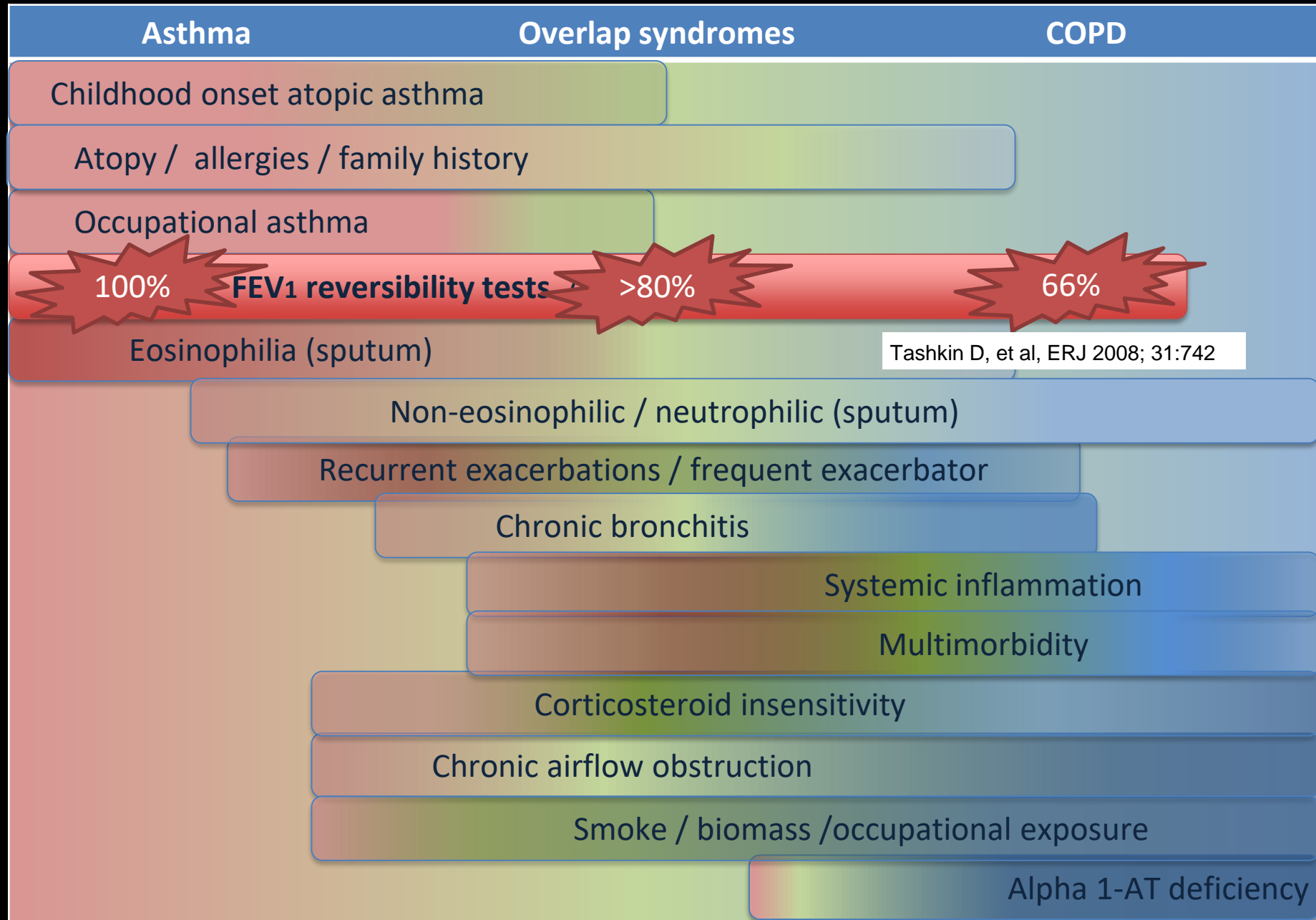
# Phenotypic features of asthma and COPD in adults



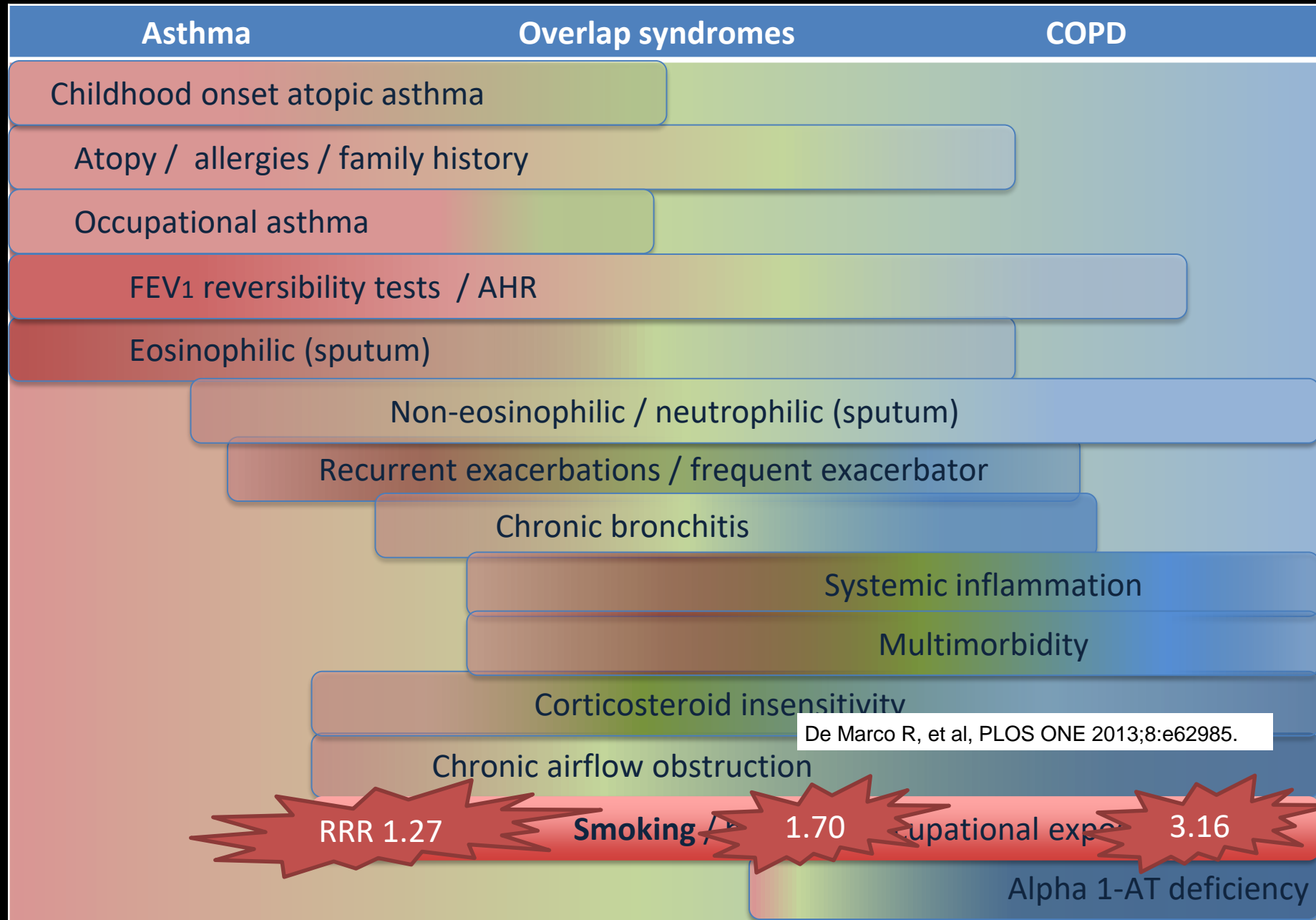
# Phenotypic features of asthma and COPD in adults



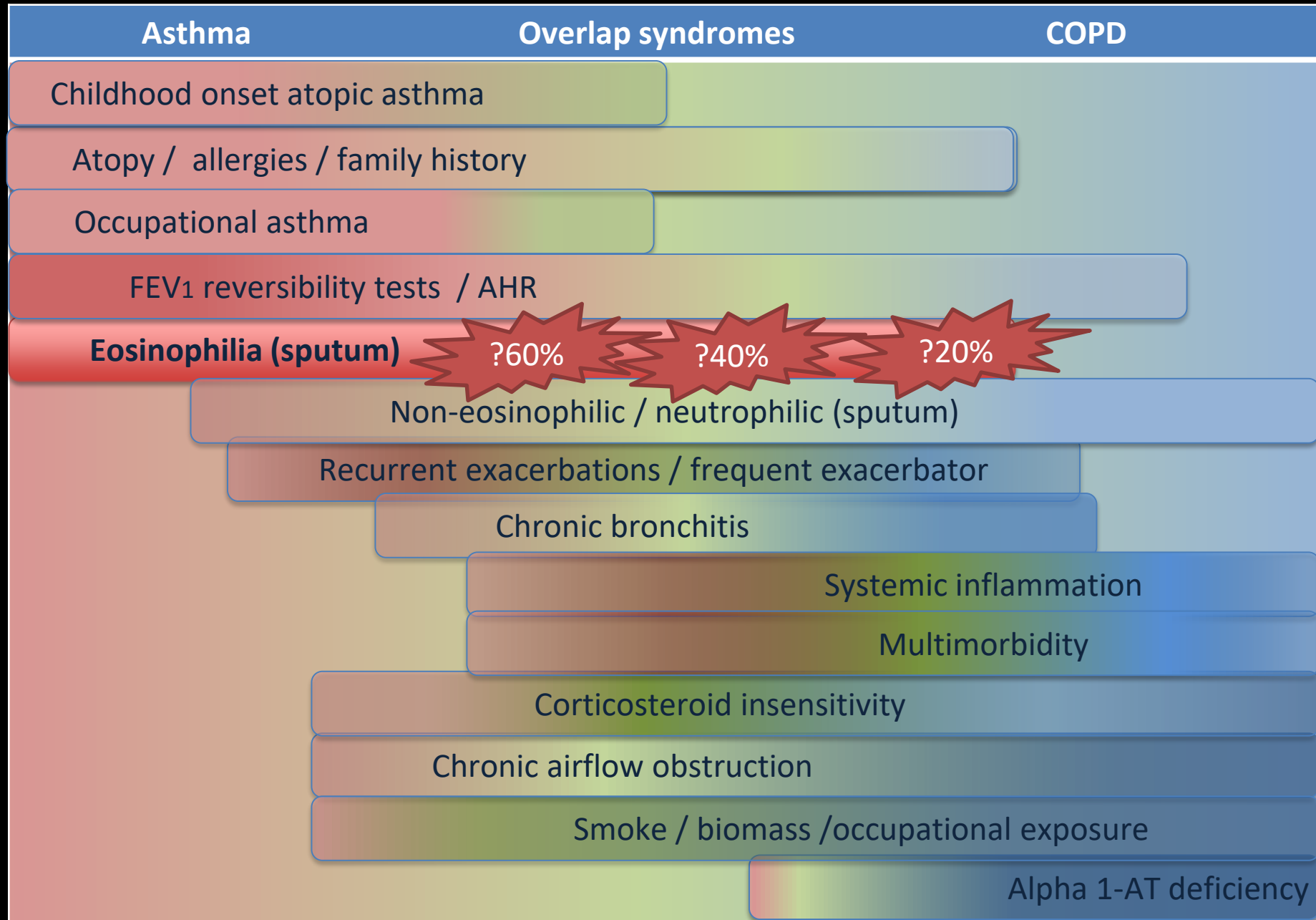
# Phenotypic features of asthma and COPD in adults



# Phenotypic features of asthma and COPD in adults

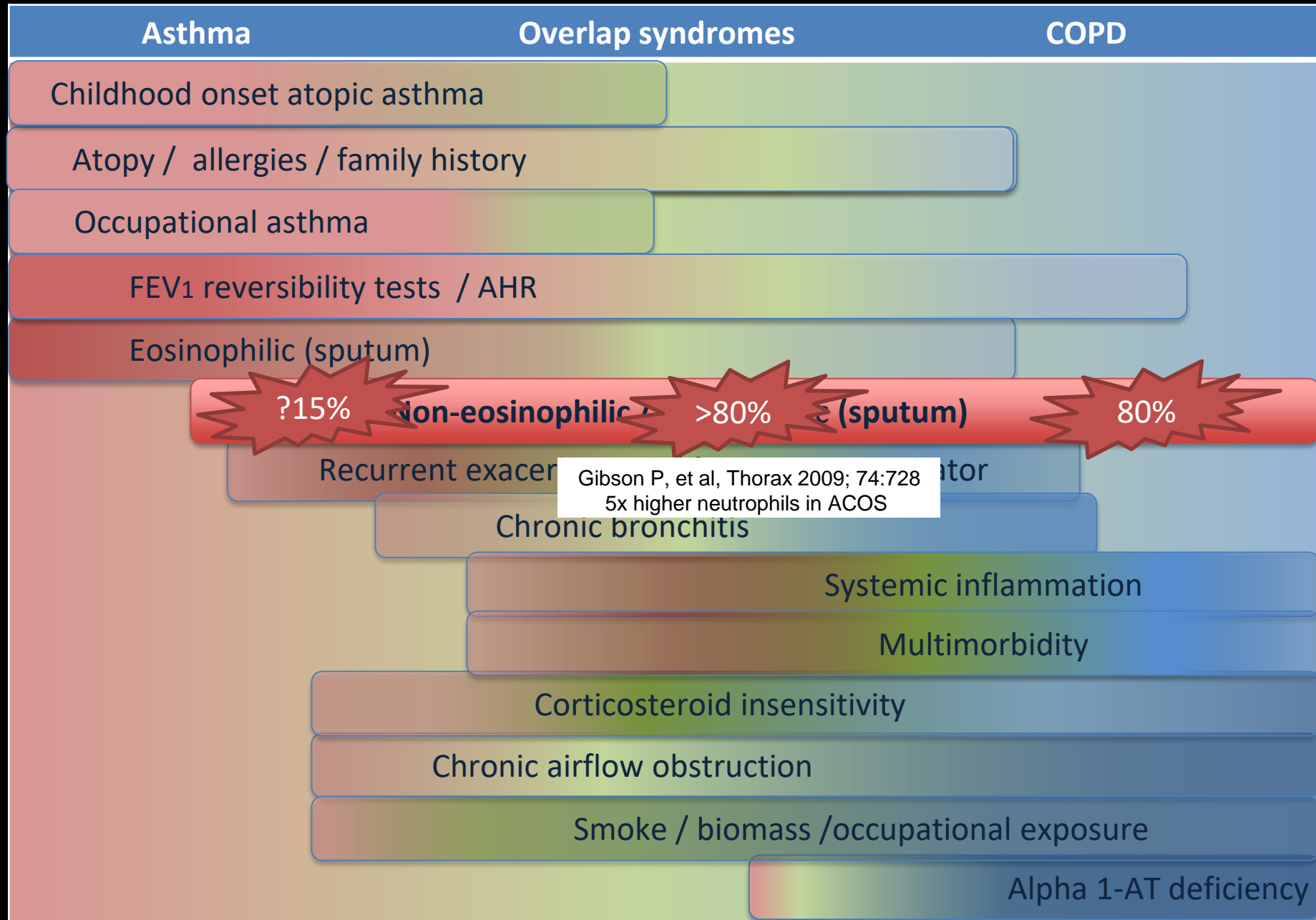


# Phenotypic features of asthma and COPD in adults





# Phenotypic features of asthma and COPD in adults



# Usual features of ACOS

Age of onset	Age >40 years, but may have symptoms in childhood or early adulthood
Pattern of respiratory symptoms	Symptoms, including exertional dyspnea, are persistent but variability may be predominant
Lung function	Airflow limitation is not fully reversible, but often with current or historical variability
Lung function between symptoms	Persistent airflow limitation
Past or family history	Frequently a history of doctor-diagnosed asthma (current or previous), allergies and a family history of asthma and/or history of noxious exposures
Time course	Symptoms are partly but significantly reduced by treatment. Progression is usual and treatment needs are high
Chest X-ray	May have hyperinflation and other changes of COPD
Exacerbations	More common than in COPD but are reduced by treatment. Comorbidities can contribute to impairment
Typical airway inflammation	Eosinophils and/or neutrophils in sputum

## STEP 1 DIAGNOSE CHRONIC AIRWAYS DISEASE

Do symptoms suggest chronic airways disease?

Yes

No

Consider other diseases first

## STEP 2 SYNDROMIC DIAGNOSIS IN ADULTS

- Assemble the features for asthma and for COPD that best describe the patient.
- Compare number of features in favour of each diagnosis and select a diagnosis

Feature: if present suggests -	ASTHMA	COPD
Age of onset	<input type="checkbox"/> Before age 20 years	<input type="checkbox"/> After age 40 years
Pattern of symptoms	<input type="checkbox"/> Variation over minutes, hours or days <input type="checkbox"/> Worse during the night or early morning  <input type="checkbox"/> Triggered by exercise, emotions including laughter, dust or exposure to allergens	<input type="checkbox"/> Persistent despite treatment <input type="checkbox"/> Good and bad days but always daily symptoms and exertional dyspnea <input type="checkbox"/> Chronic cough & sputum preceded onset of dyspnea, unrelated to triggers
Lung function	<input type="checkbox"/> Record of variable airflow limitation (spirometry or peak flow)	<input type="checkbox"/> Record of persistent airflow limitation (FEV <sub>1</sub> /FVC < 0.7 post-BD)
Lung function between symptoms	<input type="checkbox"/> Normal	<input type="checkbox"/> Abnormal
Past history or family history	<input type="checkbox"/> Previous doctor diagnosis of asthma  <input type="checkbox"/> Family history of asthma, and other allergic conditions (allergic rhinitis or eczema)	<input type="checkbox"/> Previous doctor diagnosis of COPD, chronic bronchitis or emphysema <input type="checkbox"/> Heavy exposure to risk factor: tobacco smoke, biomass fuels
Time course	<input type="checkbox"/> No worsening of symptoms over time. Variation in symptoms either seasonally, or from year to year  <input type="checkbox"/> May improve spontaneously or have an immediate response to bronchodilators or to ICS over weeks	<input type="checkbox"/> Symptoms slowly worsening over time (progressive course over years) <input type="checkbox"/> Rapid-acting bronchodilator treatment provides only limited relief
Chest X-ray	<input type="checkbox"/> Normal	<input type="checkbox"/> Severe hyperinflation

NOTE: • These features best distinguish between asthma and COPD. • Several positive features (3 or more) for either asthma or COPD suggest that diagnosis. • If there are a similar number for both asthma and COPD, consider diagnosis of ACOS

DIAGNOSIS	Asthma	Some features of asthma	Features of both	Some features of COPD	COPD
CONFIDENCE IN DIAGNOSIS	Asthma	Possible asthma	Could be ACOS	Possibly COPD	COPD

## STEP 3 PERFORM SPIROMETRY

Marked reversible airflow limitation (pre-post bronchodilator) or other proof of variable airflow limitation

FEV<sub>1</sub>/FVC < 0.7 post-BD

## STEP 4 INITIAL TREATMENT\*

Asthma drugs No LABA monotherapy	Asthma drugs No LABA monotherapy	ICS and consider LABA +/- LAMA	COPD drugs	COPD drugs
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\*Consult GINA and GOLD documents for recommended treatments.

## STEP 5 SPECIALISED INVESTIGATIONS or REFER IF:

- Persistent symptoms and/or exacerbations despite treatment.
- Diagnostic uncertainty (e.g. suspected pulmonary hypertension, cardiovascular diseases and other causes of respiratory symptoms).
- Suspected asthma or COPD with atypical or additional symptoms or signs (e.g. haemoptysis, weight loss, night sweats, fever, signs of bronchiectasis or other structural lung disease).
- Few features of either asthma or COPD.
- Comorbidities present.
- Reasons for referral for either diagnosis as outlined in the GINA and GOLD strategy reports.



For an adult who presents with respiratory symptoms:

- Does the patient have chronic airways disease?
- Syndromic diagnosis of asthma, COPD and ACOS
- Spirometry
- Commence initial therapy
- Referral for specialized investigations (if necessary)



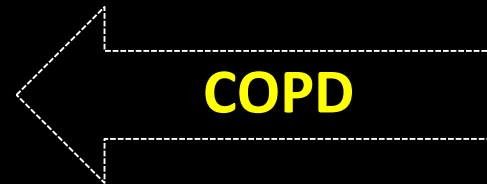
## ACOS - A description for clinical use

ACOS is characterized by persistent airflow limitation with several features usually associated with asthma and several features usually associated with COPD. ACOS is therefore identified by the features that it shares with both asthma and COPD.

**Asthma**

**ACOS**

**COPD**





## STEP 2

### SYNDROMIC DIAGNOSIS IN ADULTS

- (i) Assemble the features for asthma and for COPD that best describe the patient.
- (ii) Compare number of features in favour of each diagnosis and select a diagnosis

Feature: if present suggests -	ASTHMA	COPD
Age of onset	<input type="checkbox"/> Before age 20 years	<input type="checkbox"/> After age 40 years
Pattern of symptoms	<input type="checkbox"/> Variation over minutes, hours or days <input type="checkbox"/> Worse during the night or early morning <input type="checkbox"/> Triggered by exercise, emotions including laughter, dust or exposure to allergens	<input type="checkbox"/> Persistent despite treatment <input type="checkbox"/> Good and bad days but always daily symptoms and exertional dyspnea <input type="checkbox"/> Chronic cough & sputum preceded onset of dyspnea, unrelated to triggers
Lung function	<input type="checkbox"/> Record of variable airflow limitation (spirometry or peak flow)	<input type="checkbox"/> Record of persistent airflow limitation ( $FEV_1/FVC < 0.7$ post-BD)
Lung function between symptoms	<input type="checkbox"/> Normal	<input type="checkbox"/> Abnormal
Past history or family history	<input type="checkbox"/> Previous doctor diagnosis of asthma <input type="checkbox"/> Family history of asthma, and other allergic conditions (allergic rhinitis or eczema)	<input type="checkbox"/> Previous doctor diagnosis of COPD, chronic bronchitis or emphysema <input type="checkbox"/> Heavy exposure to risk factor: tobacco smoke, biomass fuels
Time course	<input type="checkbox"/> No worsening of symptoms over time. Variation in symptoms either seasonally, or from year to year <input type="checkbox"/> May improve spontaneously or have an immediate response to bronchodilators or to ICS over weeks	<input type="checkbox"/> Symptoms slowly worsening over time (progressive course over years) <input type="checkbox"/> Rapid-acting bronchodilator treatment provides only limited relief
Chest X-ray	<input type="checkbox"/> Normal	<input type="checkbox"/> Severe hyperinflation

NOTE: • These features best distinguish between asthma and COPD. • Several positive features (3 or more) for either asthma or COPD suggest that diagnosis. • If there are a similar number for both asthma and COPD, consider diagnosis of ACOS

DIAGNOSIS	Asthma	Some features of asthma	Features of both	Some features of COPD	COPD
CONFIDENCE IN DIAGNOSIS	Asthma	Possible asthma	Could be ACOS	Possibly COPD	COPD

# Accuracy of Syndromic Diagnosis of COPD (vs asthma) in patients with cough and difficult breathing:

Combining positive & negative features (n=800)

Diagnostic feature For COPD	FEATURE PRESENT Adjusted likelihood ratio	FEATURE ABSENT Adjusted likelihood ratio
Smoking $\geq 20$ P-yrs	1.97 (1.42-2.71)	0.59 (0.46-0.76)
Symptoms worsen slowly	1.84 (1.40-2.45)	0.54 (0.41-0.73)
Onset of symptoms >40 yr	1.54 (1.22-0.96)	0.57 (0.42-0.79)
No previous diagnosis of asthma	4.08 (3.05-5.38)	0.28 (0.22-0.36)
No day to day variability	1.99 (1.43-2.76)	0.58 (0.46-0.78)
Male	1.40 (1.02-1.88)	0.72 (0.54-0.98)
	Combination of features:	
7 features	135.5 (44.8-315.8)	0.02 (0.01-0.03)
4 features	10.72	0.19
ROC for 4 features	0.95	0.95

# Accuracy of Syndromic Diagnosis of ASTHMA (vs COPD) on history in patients with cough and difficult breathing:

Combining positive & negative features (n=800)

Diagnostic feature For ASTHMA	FEATURE PRESENT Adjusted likelihood ratio	FEATURE ABSENT Adjusted likelihood ratio
Previous diagnosis of asthma	4.03 (3.03-5.18)	0.21 (0.16-0.28)
Audible wheeze	1.48 (1.08-2.00)	0.72 (0.56-0.94)
Day to day variability of symptoms	1.73 (1.37-2.22)	0.49 (0.37-0.67)
No worsening of symptoms over time	2.17 (1.62-2.91)	0.46 (0.35-0.61)
Smoking <20 p-yrs	1.80 (1.31-2.48)	0.48 (0.36-0.70)
Female	1.42 (1.05-1.85)	0.70 (0.53-0.95)
	Combination of features:	
7 features	58.0 (32.2-105.1)	0.01 (0.01-0.20)
4 features	11.9	0.14
ROC for 4 features	0.95	0.95

## Step 2 – Syndromic diagnosis of asthma, COPD and ACOS



- Assemble the features that, **when present**, most favor a diagnosis of asthma or COPD
- Compare the number of features on each side
  - If the patient has  **$\geq 3$  features** of either asthma or COPD, there is a strong likelihood that this is the correct diagnosis
- Consider the level of certainty around the diagnosis
  - Diagnoses are made on the weight of evidence
  - The absence of any of these typical features does not rule out either diagnosis, e.g. absence of atopy does not rule out asthma
- **When a patient has a similar number of features of both asthma and COPD, consider the diagnosis of ACOS**



# Step 2



**Box 5-2a. Usual features of asthma, COPD and asthma-COPD overlap**

Feature	Asthma	COPD	Asthma-COPD overlap
<i>Age of onset</i>	Usually childhood onset but can commence at any age.	Usually > 40 years of age	Usually age ≥40 years, but may have had symptoms in childhood or early adulthood
<i>Pattern of respiratory symptoms</i>	Symptoms may vary over time (day to day, or over longer periods), often triggered by exercise, emotions including laughter, dust or exposure to allergens	Chronic usually continuous symptoms, particularly during exercise, with 'better' and 'worse' days	Respiratory symptoms including exertional dyspnea are persistent but variability may be prominent
<i>Lung function</i>	Current and/or historical variable airflow limitation, e.g. BD reversibility, AHR	FEV <sub>1</sub> may be improved by therapy, but post-BD FEV <sub>1</sub> /FVC < 0.7 persists	Airflow limitation not fully reversible, but often with current or historical variability
<i>Lung function between symptoms</i>	May be normal between symptoms	Persistent airflow limitation	Persistent airflow limitation
<i>Past history or family history</i>	Many patients have allergies and a personal history of asthma in childhood, and/or family history of asthma	History of exposure to noxious particles and gases (mainly tobacco smoking and biomass fuels)	Frequently a history of doctor-diagnosed asthma (current or previous), allergies and a family history of asthma, and/or a history of noxious exposures
<i>Time course</i>	Often improves spontaneously or with treatment, but may result in fixed airflow limitation	Generally, slowly progressive over years despite treatment	Symptoms are partly but significantly reduced by treatment. Progression is usual and treatment needs are high
<i>Chest X-ray</i>	Usually normal	Severe hyperinflation & other changes of COPD	Similar to COPD
<i>Exacerbations</i>	Exacerbations occur, but the risk of exacerbations can be considerably reduced by treatment	Exacerbations can be reduced by treatment. If present, comorbidities contribute to impairment	Exacerbations may be more common than in COPD but are reduced by treatment. Comorbidities can contribute to impairment
<i>Airway inflammation</i>	Eosinophils and/or neutrophils	Neutrophils ± eosinophils in sputum, lymphocytes in airways, may have systemic inflammation	Eosinophils and/or neutrophils in sputum.

**Box 5-2b. Features that if present favor asthma or COPD**

More likely to be asthma if several of ...*	More likely to be COPD if several of ...*
<input type="checkbox"/> Onset before age 20 years	<input type="checkbox"/> Onset after age 40 years
<input type="checkbox"/> Variation in symptoms over minutes, hours or days <input type="checkbox"/> Symptoms worse during the night or early morning <input type="checkbox"/> Symptoms triggered by exercise, emotions including laughter, dust or exposure to allergens	<input type="checkbox"/> Persistence of symptoms despite treatment <input type="checkbox"/> Good and bad days but always daily symptoms and exertional dyspnea <input type="checkbox"/> Chronic cough and sputum preceded onset of dyspnea, unrelated to triggers
<input type="checkbox"/> Record of variable airflow limitation (spirometry, peak flow)	<input type="checkbox"/> Record of persistent airflow limitation (post-bronchodilator FEV <sub>1</sub> /FVC < 0.7)
<input type="checkbox"/> Lung function normal between symptoms	<input type="checkbox"/> Lung function abnormal between symptoms
<input type="checkbox"/> Previous doctor diagnosis of asthma <input type="checkbox"/> Family history of asthma, and other allergic conditions (allergic rhinitis or eczema)	<input type="checkbox"/> Previous doctor diagnosis of COPD, chronic bronchitis or emphysema <input type="checkbox"/> Heavy exposure to a risk factor: tobacco smoke, biomass fuels
<input type="checkbox"/> No worsening of symptoms over time. Symptoms vary either seasonally, or from year to year <input type="checkbox"/> May improve spontaneously or have an immediate response to BD or to ICS over weeks	<input type="checkbox"/> Symptoms slowly worsening over time (progressive course over years) <input type="checkbox"/> Rapid-acting bronchodilator treatment provides only limited relief.
<input type="checkbox"/> Normal	<input type="checkbox"/> Severe hyperinflation
<p><b>*Syndromic diagnosis of airways disease: how to use Box 5-2b</b>                      Shaded columns list features that, <u>when present</u>, best identify patients with typical asthma and COPD. For a patient, count the number of check boxes in each column. If three or more boxes are checked for either asthma or COPD, the patient is likely to have that disease. If there are similar numbers of checked boxes in each column, the diagnosis of ACO should be considered. See Step 2 for more details.</p>	

# Step 3 - Spirometry



Spirometric variable	Asthma	COPD	ACOS
Normal FEV <sub>1</sub> /FVC pre- or post-BD	Compatible with asthma	Not compatible with diagnosis (GOLD)	Not compatible unless other evidence of chronic airflow limitation
Post-BD FEV <sub>1</sub> /FVC <0.7	Indicates airflow limitation; may improve	Required for diagnosis by GOLD criteria	Usual in ACOS
FEV <sub>1</sub> =80% predicted	Compatible with asthma (good control, or interval between symptoms)	Compatible with GOLD category A or B if post BD FEV <sub>1</sub> /FVC <0.7	Compatible with mild ACOS
FEV <sub>1</sub> <80% predicted	Compatible with asthma. A risk factor for exacerbations	Indicates severity of airflow limitation and risk of exacerbations and mortality	Indicates severity of airflow limitation and risk of exacerbations and mortality
Post-BD increase in FEV <sub>1</sub> >12% and 200mL from baseline (reversible airflow limitation)	Usual at some time in course of asthma; not always present	Common in COPD and more likely when FEV <sub>1</sub> is low, but consider ACOS	Common in ACOS, and more likely when FEV <sub>1</sub> is low
Post-BD increase in FEV <sub>1</sub> >12% and 400mL from baseline	High probability of asthma	Unusual in COPD. Consider ACOS	Compatible with diagnosis of ACOS

## Step 4 Initial Therapy

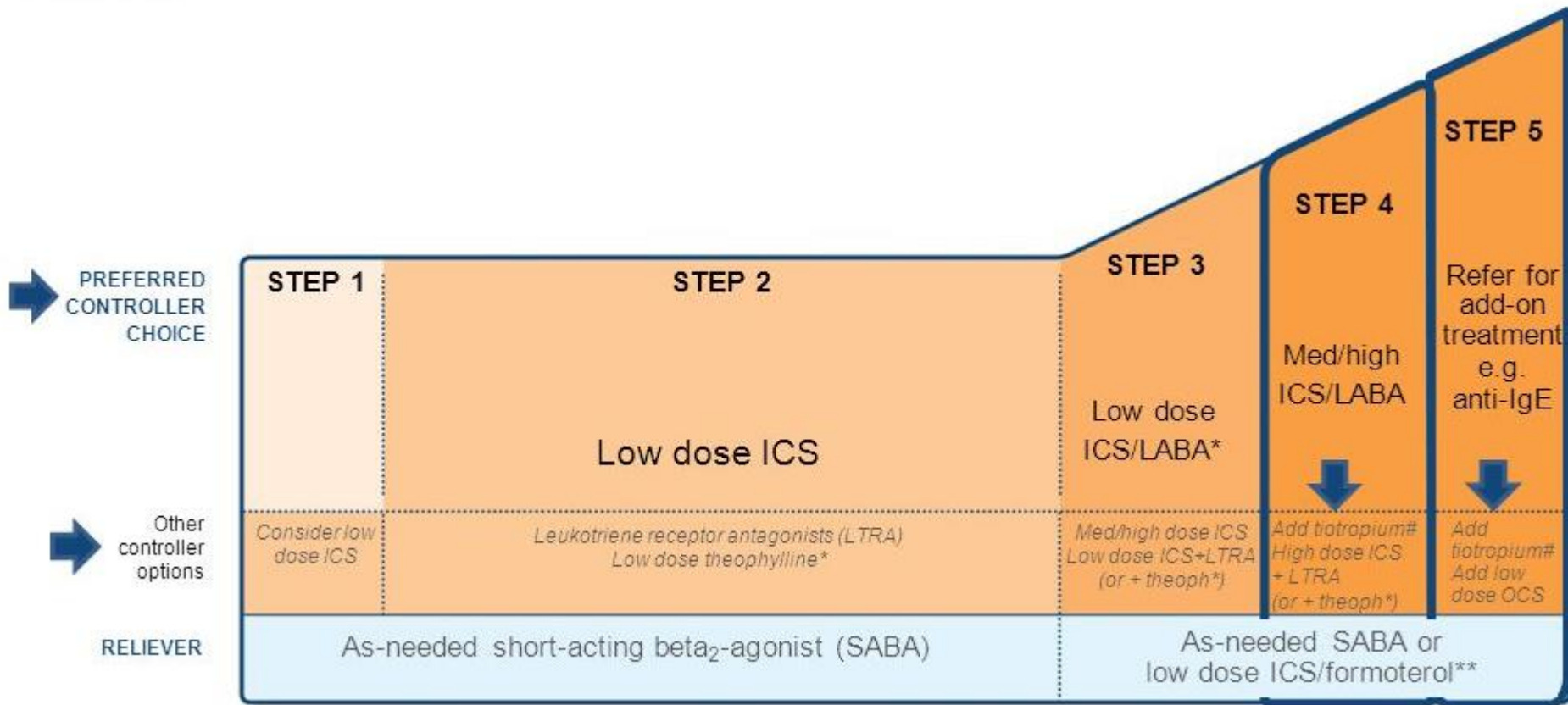
If **asthma** → treat asthma,  
avoid LABA monotherapy

If **COPD** → LABA/LAMA +/-  
ICS

If **ACO** (equal balance of  
features)

- ICS low/medium dose and use  
asthma step up treatment  
approach





## Step 4 PLUS

two or more controllers + as-needed inhaled reliever

- LAMA
- Roflumilast (PDE4-inhibitor)
- Theophylline
- Omalizumab? Bronchial thermoplasty??

## STEP 1 DIAGNOSE CHRONIC AIRWAYS DISEASE

Do symptoms suggest chronic airways disease?

Yes

No

Consider other diseases first

## STEP 2 SYNDROMIC DIAGNOSIS IN ADULTS

(i) Assemble the features for asthma and for COPD that best describe the patient.  
(ii) Compare number of features in favour of each diagnosis and select a diagnosis

Feature: if present suggests -	ASTHMA	COPD
Age of onset	<input type="checkbox"/> Before age 20 years	<input type="checkbox"/> After age 40 years
Pattern of symptoms	<input type="checkbox"/> Variation over minutes, hours or days <input type="checkbox"/> Worse during the night or early morning  <input type="checkbox"/> Triggered by exercise, emotions including laughter, dust or exposure to allergens	<input type="checkbox"/> Persistent despite treatment <input type="checkbox"/> Good and bad days but always daily symptoms and exertional dyspnea <input type="checkbox"/> Chronic cough & sputum preceded onset of dyspnea, unrelated to triggers
Lung function	<input type="checkbox"/> Record of variable airflow limitation (spirometry or peak flow)	<input type="checkbox"/> Record of persistent airflow limitation (FEV <sub>1</sub> /FVC < 0.7 post-BD)
Lung function between symptoms	<input type="checkbox"/> Normal	<input type="checkbox"/> Abnormal
Past history or family history	<input type="checkbox"/> Previous doctor diagnosis of asthma  <input type="checkbox"/> Family history of asthma, and other allergic conditions (allergic rhinitis or eczema)	<input type="checkbox"/> Previous doctor diagnosis of COPD, chronic bronchitis or emphysema <input type="checkbox"/> Heavy exposure to risk factor: tobacco smoke, biomass fuels
Time course	<input type="checkbox"/> No worsening of symptoms over time. Variation in symptoms either seasonally, or from year to year <input type="checkbox"/> May improve spontaneously or have an immediate response to bronchodilators or to ICS over weeks	<input type="checkbox"/> Symptoms slowly worsening over time (progressive course over years) <input type="checkbox"/> Rapid-acting bronchodilator treatment provides only limited relief
Chest X-ray	<input type="checkbox"/> Normal	<input type="checkbox"/> Severe hyperinflation

NOTE: • These features best distinguish between asthma and COPD. • Several positive features (3 or more) for either asthma or COPD suggest that diagnosis. • If there are a similar number for both asthma and COPD, consider diagnosis of ACOS

DIAGNOSIS	Asthma	Some features of asthma	Features of both	Some features of COPD	COPD
CONFIDENCE IN DIAGNOSIS	Asthma	Possible asthma	Could be ACOS	Possibly COPD	COPD

## STEP 3 PERFORM SPIROMETRY

Marked reversible airflow limitation (pre-post bronchodilator) or other proof of variable airflow limitation

FEV<sub>1</sub>/FVC < 0.7 post-BD

## STEP 4 INITIAL TREATMENT\*

Asthma drugs  
No LABA monotherapy

Asthma drugs  
No LABA monotherapy

ICS and consider LABA +/- LAMA

COPD drugs

COPD drugs

\*Consult GINA and GOLD documents for recommended treatments.

## STEP 5 SPECIALISED INVESTIGATIONS or REFER IF:

- Persistent symptoms and/or exacerbations despite treatment.
- Diagnostic uncertainty (e.g. suspected pulmonary hypertension, cardiovascular diseases and other causes of respiratory symptoms).
- Suspected asthma or COPD with atypical or additional symptoms or signs (e.g. haemoptysis, weight loss, night sweats, fever, signs of bronchiectasis or other structural lung disease).
- Few features of either asthma or COPD.
- Comorbidities present.
- Reasons for referral for either diagnosis as outlined in the GINA and GOLD strategy reports.



# Specialized investigations



	Asthma	COPD
<b>Lung function tests</b>		
DLCO	Normal (or slightly elevated),	Often reduced.
Arterial blood gases	Normal between exacerbations	May be chronically abnormal between exacerbations in more severe forms of COPD
Airway hyperresponsiveness (AHR)	Not useful on its own in distinguishing asthma from COPD, but higher levels of AHR favor asthma	
<b>Imaging</b>		
High resolution CT Scan	Usually normal but air trapping and increased bronchial wall thickness may be observed.	Low attenuation areas denoting either air trapping or emphysematous change can be quantitated; bronchial wall thickening and features of pulmonary hypertension may be seen.
<b>Inflammatory biomarkers</b>		
Test for atopy (specific IgE and/or skin prick tests)	Modestly increases probability of asthma; not essential for diagnosis	Conforms to background prevalence; does not rule out COPD
FENO	A high level (>50 ppb) in non-smokers is associated with eosinophilic airway inflammation	Usually normal. Low in current smokers.
Blood eosinophilia	Supports diagnosis of eosinophilic airway inflammation	May be present in COPD including during exacerbations
Sputum inflammatory cell analysis	Role in differential diagnosis is not established in large populations	
DLCO: diffusing capacity of the lungs for carbon monoxide; FENO: fractional concentration of exhaled nitric oxide; IgE: immunoglobulin E		

# Asthma, COPD and Asthma COPD Overlap Syndrome

## Perspectives

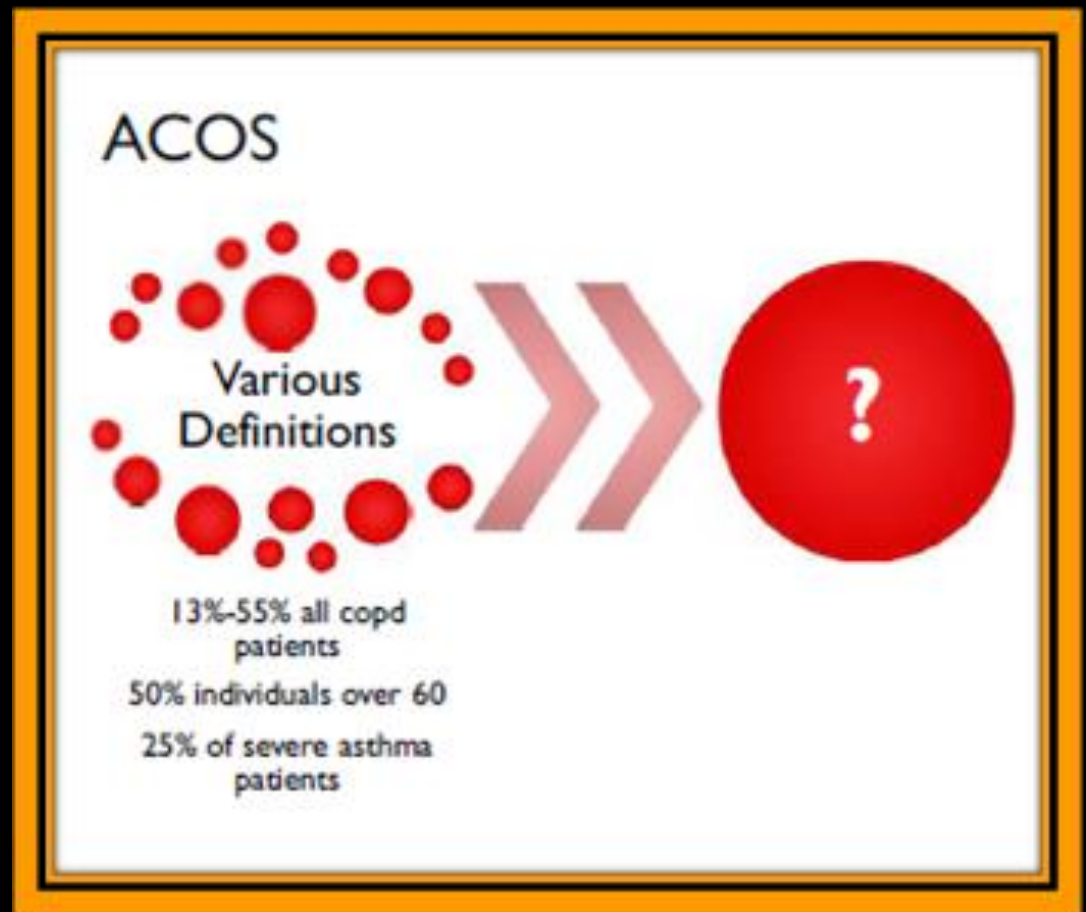
1. A problem of definitions
2. Asthma and COPD may coexist and share risk factors
3. An approach to diagnosis & initial treatment
  - GINA/GOLD (2018)
4. Future research:
  - Phenotyping & mechanisms of disease
  - Clinical trials of treatment

# Conclusion

- We must treat patients by personalizing therapy on the basis of these treatable traits present in each subject.



# Conclusion



# Conclusion

## Treatment

- In General

COPD

LAMA +/-  
LABA/ICS

Asthma

ICS

ACOS

ICS +

- THERAPY MAY BETTER DIRECTED IF BASED ON PHENOTYPE THAN SEVERITY.

# Conclusion

## Take Home Points

- Clinically an overlap appears apparent
- Different phenotypes driven by various endotypes of diseases
- Now more scientific evidence
- ICS treatment can lead to clinical and spirometric improvement and decrease in exacerbations if based on eosinophilic inflammation

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