

#### Instructions

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#### 1. Identifying information.

Enter your full name. If you are NOT the corresponding author please check the box "no" and a space to enter the name of the corresponding author in the space that appears. Provide the requested manuscript information. Double-check the manuscript number and enter it.

#### 2. The work under consideration for publication.

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Section 1.	Identifying Infor	mation			
1. Given Name (Fin Nicola	rst Name)	2. Surnai Hanania	me (Last Name) I		3. Effective Date (07-August-2008) 16-March-2011
4. Are you the cor	responding author?	Yes	✓ No	Corresponding Author's Na Mark Eisner, MD	ame
5. Manuscript Title Omalizumab in S		a Inadequat	tely Controlled	on Standard Therapy: A Rai	ndomized, Controlled Trial

6. Manuscript Identifying Number (if you know it)

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The Work Under Consideration for Publication						
Туре	No	Money Paid to You	Money to Your Institution*	Name of Entity	Comments**	
1. Grant			$\checkmark$	Genentech		×
						ADD
2. Consulting fee or honorarium		$\checkmark$		Speaker bureau: Genentech		×
						ADD

\* This means money that your institution received for your efforts on this study.

\*\* Use this section to provide any needed explanation.



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Relevant financial activities outside the submitted work						
Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your Institution*	Entity	Comments	
1. Board membership		$\checkmark$		GSK, Boehringer Ingelheim, Novartis, Pfizer		×
						ADD
4. Expert testimony	$\checkmark$					×
						ADD
5. Grants/grants pending			$\checkmark$	Pfizer, Novartis, GSK, Boehringer Ingelheim		×
						ADD
<ol> <li>Payment for lectures including service on speakers bureaus</li> </ol>		$\checkmark$		Astra Zeneca, GSK, Genentech		×
						ADD

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5. Manuscript Title Omalizumab in S		a Inadequat	ely Controlled	on Standard Therapy: A Rar	ndomized, Controlled Trial

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Туре	No	Paid	Money to Your Institution*	Name of Entity	Comments**	

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Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your Institution*	Entity	Comments	
Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your Institution*	Entity	Comments	
3. Employment		$\checkmark$		Genentech Inc	Employee of	×
						ADD
11. Stock/stock options		$\checkmark$		Genentech Inc	Employee Stock Plan	×
						ADD

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1. Given Name (Fir Daniel	st Name)	2. Surname (Last Name) Hamilos	3. Effective Date (07-August-2008) 21-March-2011
4. Are you the corr	esponding author?	Yes 🖌 No	Corresponding Author's Name Mark Eisner
5. Manuscript Title Omalizumab in S		a Inadequately Controlled	on Standard Therapy. A Randomized Trial

6. Manuscript Identifying Number (if you know it) M10-1852

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The Work Under Consideration f	for Pub	lication				
Туре	No	Money Paid to You	Money to Your Institution*	Name of Entity	Comments**	
1. Grant	$\checkmark$					×
						ADD
2. Consulting fee or honorarium	$\checkmark$					×
						ADD
3. Support for travel to meetings for the study or other purposes	$\checkmark$					×
						ADD
<ol> <li>Fees for participation in review activities such as data monitoring boards, statistical analysis, end point committees, and the like</li> </ol>	$\checkmark$					×
						ADD
5. Payment for writing or reviewing the manuscript	$\checkmark$					×
						ADD
<ol> <li>Provision of writing assistance, medicines, equipment, or administrative support</li> </ol>	$\checkmark$					×



The Work Under Consideration for Publication						
Туре	No	Money Paid to You	Money to Your Institution*	Name of Entity	Comments**	
						ADD
7. Other			$\checkmark$	Massachusetts General Hospital	Payment for conducting the research study.	×
						ADD

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Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your Institution*	Entity	Comments	
1. Board membership	$\checkmark$					×
						ADD
2. Consultancy	$\checkmark$					×
						ADD
3. Employment	$\checkmark$					×
						ADD
4. Expert testimony	$\checkmark$					×
						ADD
5. Grants/grants pending			$\checkmark$	FAMRI; NIH		×
						ADD
<ol> <li>Payment for lectures including service on speakers bureaus</li> </ol>	$\checkmark$					×
						ADD
7. Payment for manuscript preparation	$\checkmark$					×



Relevant financial activities outs	Relevant financial activities outside the submitted work						
Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your Institution*	Entity	Comments		
						ADD	
<ol> <li>Patents (planned, pending or issued)</li> </ol>	$\checkmark$					×	
						ADD	
9. Royalties		$\checkmark$		UpToDate		×	
						ADD	
10. Payment for development of educational presentations	$\checkmark$					×	
						ADD	
11. Stock/stock options	$\checkmark$					×	
						ADD	
<ol> <li>Travel/accommodations/ meeting expenses unrelated to activities listed**</li> </ol>	$\checkmark$					×	
						ADD	
13. Other (err on the side of full disclosure)	$\checkmark$					×	
						ADD	

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5. Manuscript Title Omalizumab in S A Randomized T	evere Allergic Asthma	a Inadequately Controlled	d on Standard Therapy:	
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1. Grant	$\checkmark$					×
						ADD
2. Consulting fee or honorarium	$\checkmark$					×
						ADD
3. Support for travel to meetings for the study or other purposes	$\checkmark$					×
						ADD
<ol> <li>Fees for participation in review activities such as data monitoring boards, statistical analysis, end point committees, and the like</li> </ol>	$\checkmark$					×
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5. Payment for writing or reviewing the manuscript	$\checkmark$					×
						ADD
<ol> <li>Provision of writing assistance, medicines, equipment, or administrative support</li> </ol>	✓					×



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						ADD		
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						ADD		
3. Employment	$\checkmark$					×		
						ADD		
4. Expert testimony	$\checkmark$					×		
						ADD		
5. Grants/grants pending	$\checkmark$					×		
						ADD		
<ol><li>Payment for lectures including service on speakers bureaus</li></ol>	$\checkmark$					×		
						ADD		
7. Payment for manuscript preparation	$\checkmark$					×		



Relevant financial activities outs	ide the	submit	ted work			
Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your Institution*	Entity	Comments	
						ADD
<ol> <li>Patents (planned, pending or issued)</li> </ol>	$\checkmark$					×
						ADD
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						ADD
11. Stock/stock options	$\checkmark$					×
						ADD
<ol> <li>Travel/accommodations/ meeting expenses unrelated to activities listed**</li> </ol>	$\checkmark$					×
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						ADD
<ol> <li>Fees for participation in review activities such as data monitoring boards, statistical analysis, end point committees, and the like</li> </ol>	$\checkmark$					×
						ADD
5. Payment for writing or reviewing the manuscript	$\checkmark$					×
						ADD
<ol> <li>Provision of writing assistance, medicines, equipment, or administrative support</li> </ol>	$\checkmark$					×



The Work Under Consideration for Publication									
Туре	No	Money Paid to You	Money to Your Institution*	Name of Entity	Comments**				
						ADD			
7. Other	$\checkmark$					×			
						ADD			

\* This means money that your institution received for your efforts on this study.

\*\* Use this section to provide any needed explanation.

### Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to submission.

Relevant financial activities out	Relevant financial activities outside the submitted work									
Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your Institution*	Entity	Comments					
1. Board membership	$\checkmark$					×				
						ADD				
2. Consultancy	$\checkmark$					×				
						ADD				
3. Employment		$\checkmark$		Genentech	Full time employee	×				
						ADD				
4. Expert testimony	$\checkmark$					×				
						ADD				
5. Grants/grants pending	$\checkmark$					×				
						ADD				
<ol><li>Payment for lectures including service on speakers bureaus</li></ol>	$\checkmark$					×				
						ADD				
7. Payment for manuscript preparation	$\checkmark$					×				



Relevant financial activities outs	ide the	submit	ted work			
Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your Institution*	Entity	Comments	
						ADD
<ol> <li>Patents (planned, pending or issued)</li> </ol>	$\checkmark$					×
						ADD
9. Royalties	$\checkmark$					×
						ADD
10. Payment for development of educational presentations	$\checkmark$					×
						ADD
11. Stock/stock options	$\checkmark$					×
						ADD
<ol> <li>Travel/accommodations/ meeting expenses unrelated to activities listed**</li> </ol>	$\checkmark$					×
						ADD
13. Other (err on the side of full disclosure)	$\checkmark$					×
						ADD

\* This means money that your institution received for your efforts.

\*\* For example, if you report a consultancy above there is no need to report travel related to that consultancy on this line.

#### Section 4.

Other relationships

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

✓ No other relationships/conditions/circumstances that present a potential conflict of interest

Yes, the following relationships/conditions/circumstances are present (explain below):

At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.

Hide All Table Rows Checked 'No'

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**Evaluation and Feedback** 

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#### Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in four parts.

#### 1. Identifying information.

Enter your full name. If you are NOT the corresponding author please check the box "no" and a space to enter the name of the corresponding author in the space that appears. Provide the requested manuscript information. Double-check the manuscript number and enter it.

#### 2. The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes". Then complete the appropriate boxes to indicate the type of support and whether the payment went to you, or to your institution, or both.

#### 3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

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For grants you have received for work outside the submitted work, you should disclose support ONLY from entities that could be perceived to be affected financially by the published work, such as drug companies, or foundations supported by entities that could be perceived to have a financial stake in the outcome. Public funding sources, such as government agencies, charitable foundations or academic institutions, need not be disclosed. For example, if a government agency sponsored a study in which you have been involved and drugs were provided by a pharmaceutical company, you need only list the pharmaceutical company.

#### Other relationships.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.



Section 1.	Identifying Inform	nation				
1. Given Name (Fii Karin	rst Name)	2. Surname (Last l Rosen	Name)			3. Effective Date (07-August-2008) 16-March-2011
4. Are you the corresponding author? Yes 🖌 No		0	Corresponding A Mark Eisner	uthor's Na	me	
5. Manuscript Title Omalizumab in S		Inadequately Con	trolled or	Standard Thera	apy: A Ran	domized, Controlled Trial

6. Manuscript Identifying Number (if you know it)

M10-1852

## Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc...)?

The Work Under Consideration f	for Pub	lication				
Туре	No	Money Paid to You	Money to Your Institution*	Name of Entity	Comments**	
1. Grant	$\checkmark$					×
						ADD
2. Consulting fee or honorarium	$\checkmark$					×
						ADD
3. Support for travel to meetings for the study or other purposes	$\checkmark$					×
						ADD
<ol> <li>Fees for participation in review activities such as data monitoring boards, statistical analysis, end point committees, and the like</li> </ol>	$\checkmark$					×
						ADD
5. Payment for writing or reviewing the manuscript	$\checkmark$					×
						ADD
<ol> <li>Provision of writing assistance, medicines, equipment, or administrative support</li> </ol>	$\checkmark$					×



The Work Under Consideration for Publication									
Туре	No	Money Paid to You	Money to Your Institution*	Name of Entity	Comments**				
						ADD			
7. Other	$\checkmark$					×			
						ADD			

\* This means money that your institution received for your efforts on this study.

\*\* Use this section to provide any needed explanation.

### Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to submission.

Relevant financial activities outside the submitted work							
Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your Institution*	Entity	Comments		
1. Board membership	$\checkmark$					×	
						ADD	
2. Consultancy	$\checkmark$					×	
						ADD	
3. Employment	$\checkmark$					×	
						ADD	
4. Expert testimony	$\checkmark$					×	
						ADD	
5. Grants/grants pending	$\checkmark$					×	
						ADD	
<ol><li>Payment for lectures including service on speakers bureaus</li></ol>	$\checkmark$					×	
						ADD	
7. Payment for manuscript preparation	$\checkmark$					×	



Relevant financial activities outside the submitted work									
Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your Institution*	Entity	Comments				
						ADD			
<ol> <li>Patents (planned, pending or issued)</li> </ol>	$\checkmark$					×			
						ADD			
9. Royalties	$\checkmark$					×			
						ADD			
10. Payment for development of educational presentations	$\checkmark$					×			
						ADD			
11. Stock/stock options	$\checkmark$					×			
						ADD			
<ol> <li>Travel/accommodations/ meeting expenses unrelated to activities listed**</li> </ol>	$\checkmark$					×			
						ADD			
13. Other (err on the side of full disclosure)	$\checkmark$					×			
						ADD			

\* This means money that your institution received for your efforts.

\*\* For example, if you report a consultancy above there is no need to report travel related to that consultancy on this line.

#### Section 4.

#### Other relationships

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

No other relationships/conditions/circumstances that present a potential conflict of interest

✓ Yes, the following relationships/conditions/circumstances are present (explain below):

I'm an employee at Genentech Inc.



At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.

Hide All Table Rows Checked 'No'

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2	<u> </u>	

**Evaluation and Feedback** 

Please visit <u>http://www.icmje.org/cgi-bin/feedback</u> to provide feedback on your experience with completing this form.



#### Instructions

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#### 1. Identifying information.

Enter your full name. If you are NOT the corresponding author please check the box "no" and a space to enter the name of the corresponding author in the space that appears. Provide the requested manuscript information. Double-check the manuscript number and enter it.

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This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

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#### 4. Other relationships.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.



Section 1. Identifying Info	rmation	
1. Given Name (First Name) Jin	2. Surname (Last Name) Zhu	3. Effective Date (07-August-2008) 17-March-2011
4. Are you the corresponding author?	✓ Yes No	
5. Manuscript Title Omalizumab in Severe Allergic Asthr A Randomized, Controlled Trial	na Inadequately Controlled on Standard Therapy:	

6. Manuscript Identifying Number (if you know it)

## Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc...)?

The Work Under Consideration for Publication									
Туре	No	Money Paid to You	Money to Your Institution*	Name of Entity	Comments**				
1. Grant	$\checkmark$					×			
						ADD			
2. Consulting fee or honorarium	$\checkmark$					×			
						ADD			
3. Support for travel to meetings for the study or other purposes	$\checkmark$					×			
						ADD			
<ol> <li>Fees for participation in review activities such as data monitoring boards, statistical analysis, end point committees, and the like</li> </ol>	$\checkmark$					×			
						ADD			
5. Payment for writing or reviewing the manuscript	$\checkmark$					×			
						ADD			
<ol> <li>Provision of writing assistance, medicines, equipment, or administrative support</li> </ol>	$\checkmark$					×			



The Work Under Consideration for Publication							
Туре	No	Money Paid to You	Money to Your Institution*	Name of Entity	Comments**		
						ADD	
7. Other	$\checkmark$					×	
						ADD	

\* This means money that your institution received for your efforts on this study.

\*\* Use this section to provide any needed explanation.

### Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to submission.

Relevant financial activities outside the submitted work								
Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your Institution*	Entity	Comments			
1. Board membership	$\checkmark$					×		
						ADD		
2. Consultancy	$\checkmark$					×		
						ADD		
3. Employment		$\checkmark$		l am a Genentech employee		×		
						ADD		
4. Expert testimony	$\checkmark$					×		
						ADD		
5. Grants/grants pending	$\checkmark$					×		
						ADD		
<ol><li>Payment for lectures including service on speakers bureaus</li></ol>	$\checkmark$					×		
						ADD		
7. Payment for manuscript preparation	$\checkmark$					×		



Relevant financial activities outside the submitted work									
Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your Institution*	Entity	Comments				
						ADD			
<ol> <li>Patents (planned, pending or issued)</li> </ol>	$\checkmark$					×			
						ADD			
9. Royalties	$\checkmark$					×			
						ADD			
10. Payment for development of educational presentations	$\checkmark$					×			
						ADD			
11. Stock/stock options		$\checkmark$		I have stock options from Genentech as a Genentech employee		×			
						ADD			
<ol> <li>Travel/accommodations/ meeting expenses unrelated to activities listed**</li> </ol>	$\checkmark$					×			
						ADD			
13. Other (err on the side of full disclosure)	$\checkmark$					×			
						ADD			

\* This means money that your institution received for your efforts.

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### Section 4. Other relationships

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

 $\checkmark$  No other relationships/conditions/circumstances that present a potential conflict of interest

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**Evaluation and Feedback** 

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#### 3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

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#### Other relationships.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.



Section 1.	Identifying Inform	mation		
1. Given Name (Fin Irmarie	rst Name)	2. Surname (Last Nam Reyes-Rivera	e)	3. Effective Date (07-August-2008) 17-March-2011
4. Are you the cor	responding author?	Yes 🖌 No	Corresponding Author's Nar Dr. Mark Eisner	ne
5. Manuscript Title Omalizumab in S A Randomized T	evere Allergic Asthma	a Inadequately Controll	ed on Standard Therapy:	
6. Manuscript Ider M10-1852	ntifying Number (if you k	(now it)		

## Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc...)?

The Work Under Consideration for Publication								
Туре	No	Money Paid to You	Money to Your Institution*	Name of Entity	Comments**			
1. Grant	$\checkmark$					×		
						ADD		
2. Consulting fee or honorarium	$\checkmark$					×		
						ADD		
3. Support for travel to meetings for the study or other purposes	$\checkmark$					×		
						ADD		
<ol> <li>Fees for participation in review activities such as data monitoring boards, statistical analysis, end point committees, and the like</li> </ol>	$\checkmark$					×		
						ADD		
5. Payment for writing or reviewing the manuscript	$\checkmark$					×		
						ADD		
<ol> <li>Provision of writing assistance, medicines, equipment, or administrative support</li> </ol>	✓					×		



The Work Under Consideration for Publication							
Туре	No	Money Paid to You	Money to Your Institution*	Name of Entity	Comments**		
						ADD	
7. Other		$\checkmark$		Genentech	l am a Genentech employee	×	
						ADD	

\* This means money that your institution received for your efforts on this study.

\*\* Use this section to provide any needed explanation.

### Section 3. Relevant financial activities outside the submitted work.

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Relevant financial activities outside the submitted work								
Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your Institution*	Entity	Comments			
1. Board membership	$\checkmark$					×		
						ADD		
2. Consultancy	$\checkmark$					×		
						ADD		
3. Employment		$\checkmark$		Genentech	l am a Genentech employee	×		
						ADD		
4. Expert testimony	$\checkmark$					×		
						ADD		
5. Grants/grants pending	$\checkmark$					×		
						ADD		
<ol><li>Payment for lectures including service on speakers bureaus</li></ol>	$\checkmark$					×		
						ADD		



Relevant financial activities outside the submitted work									
Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your Institution*	Entity	Comments				
7. Payment for manuscript preparation	$\checkmark$					×			
						ADD			
<ol> <li>Patents (planned, pending or issued)</li> </ol>	$\checkmark$					×			
						ADD			
9. Royalties	$\checkmark$					×			
						ADD			
10. Payment for development of educational presentations	$\checkmark$					×			
						ADD			
11. Stock/stock options		$\checkmark$		Genentech	Genentech employee eligible for stock options	×			
						ADD			
<ol> <li>Travel/accommodations/ meeting expenses unrelated to activities listed**</li> </ol>	$\checkmark$					×			
						ADD			
13. Other (err on the side of full disclosure)	$\checkmark$					×			
						ADD			

\* This means money that your institution received for your efforts.

\*\* For example, if you report a consultancy above there is no need to report travel related to that consultancy on this line.

## Section 4. Other relationships

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

✓ No other relationships/conditions/circumstances that present a potential conflict of interest

Yes, the following relationships/conditions/circumstances are present (explain below):



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Hide All Table Rows Checked 'No'

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**Evaluation and Feedback** 

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#### **INSTRUCTIONS:**

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form has five parts.

#### 1. Identifying information.

Each author should submit a separate form. Provide complete information and double-check the manuscript number. If you are NOT the corresponding author please insert his or her name.

#### 2. The work under consideration for publication.

Please provide information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The idea is to provide for the reader information about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. If you check the "No" box it means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds to pay you. If you or your institution did receive funds from a third party to support the work, check "Yes" along with the appropriate boxes to indicate the type of support and whether you or your institution received it.

#### 3. Relevant financial activities outside the submitted work.

Please report all sources of revenue relevant to the submitted work that accrued either directly to you or were paid to your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. If there is any question, it is usually better to disclose a relationship than not to do so. Please note that your interactions with the work's sponsor outside the submitted work should be listed here. For each category list each entity on a separate line. Use as many lines as necessary to provide complete information. In addition, please disclose relationships that fall outside the 36-month window that readers may want to know about and could reasonably criticize you for not disclosing (for example, long-term financial relationships that are now ended).

The goal of this section is to provide information for our reviewers and readers about your interactions with entities in the biomedical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer. For grants you have received for work outside the submitted work, you should disclose support ONLY from entities that could be perceived to benefit financially from the published work, such as drug companies, or foundations supported by entities that could be perceived to have a financial stake in the outcome. Public funding sources, such as the NIH or the MRC, need not be disclosed. For example, if the NIH sponsored a piece of work you have been involved in but drugs were provided by a pharmaceutical company, you need only list the pharmaceutical company.

#### 4. Financial relationships involving your spouse or partner or your children (under 18 years of age).

If monies from the types of relationships listed in Section 3 were paid to your spouse or partner or dependent children, please list the type of activity and source of the money.

#### 5. Nonfinancial associations.

Please report any personal, professional, political, institutional, religious, or other associations that a reasonable reader would want to know about in relation to the submitted work.



#### Section 1. Identifying Information.

Given Name: (or first)	Willi	am	Surname: (or last)	Busse	Effective Date:	19-March-2011
<b>、</b>	corr	esponding author?	Format exam	ple: 07-August-2008		
Manuscript Ti	itle:	Omalizumab in Seve Controlled Trial	re Allergic Asth	ma Inadequately Controlled on S	itandard Therapy: A R	andomized,
Manuscript Id	lenti	fying Number (if yo	ou know it):			

#### Section 2. Information about the support of the work under consideration for publication.

Did you or your institution at any time receive payment or support in kind for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc...)?

🛛 No

Yes, specify nature of compensation

#### Section 3. Information about relevant financial relationships outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with any entities that have an interest related to the submitted work. Use one line for each entity; add as many lines as you need. Use the comments column to indicate any additional information that you think a reader or editor would want to know about the compensation. Report relationships that were present during the 36 months prior to submission. In addition please disclose relationships that fall outside the 36-month window that readers may want to know about and could reasonably criticize you for not disclosing (for example, long-term financial relationships that are now ended).

If you have more than one relationship, click "Add +" to add a row. Click "Del ×" to delete an extra row.

Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your institution	Entity	Comments	
Board membership		$\boxtimes$		Centocor		Del ×
Board membership		$\boxtimes$		Merck		Del ×
						Add +
Consultancy		$\boxtimes$		AstraZeneca		$\text{Del} \times$
Consultancy		$\boxtimes$		Boehringer Ingelheim		$\text{Del} \times$
Consultancy		$\boxtimes$		Novartis		$\text{Del} \times$
Consultancy				TEVA		$\text{Del} \times$
Consultancy		$\boxtimes$		GlaxoSmithKline		$\text{Del} \times$



Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your institution	Entity	Comments	
Consultancy				MedImmune		$\text{Del} \times$
Consultancy				Genentech		$\text{Del} \times$
Consultancy				Amgen		Del ×
Consultancy		$\square$		Pfizer		$\text{Del} \times$
						Add +
Employment	$\boxtimes$					$\text{Del} \times$
		1		1		Add +
Expert testimony	$\boxtimes$					Del ×
		1	1	1		Add +
Gifts	$\boxtimes$					$\text{Del} \times$
		1	•			Add +
Grants/grants pending			$\square$	Novartis		Del ×
Grants/grants pending				AstraZeneca		Del ×
Grants/grants pending			$\square$	GlaxoSmithKline		$\text{Del} \times$
Grants/grants pending			$\boxtimes$	MedImmune		$\text{Del} \times$
Grants/grants pending			$\boxtimes$	Ception		Del ×
		•	•			Add +
Honoraria	$\boxtimes$					$\text{Del} \times$
						Add +
Payment for manuscript preparation	$\boxtimes$					$\text{Del} \times$
					I	Add +
Patents (planned, pending or issued)	$\boxtimes$					Del ×
				•		Add +
Royalties	$\boxtimes$					$\text{Del} \times$
						Add +
Payment for development of educational presentations including service on speakers' bureaus	$\boxtimes$					Del ×
						Add +



Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your institution	Entity	Comments	
Stock/stock options	$\boxtimes$					$\text{Del} \times$
						Add +
Travel/accommodations expenses covered or reimbursed	$\boxtimes$					Del ×
						Add +
Other (err on the side of full disclosure)	$\boxtimes$					Del ×
						Add +

# Section 4. Information about financial relationships involving your spouse or partner or your children (under 18 years of age).

Do your children or your spouse or partner have financial relationships with entities that have an interest in the content of the submitted work?

No other relationships/conditions/circumstances that present potential conflict of interest

Yes, the following relationships/conditions/circumstances are present (explain below):

#### Section 5. Information about relevant nonfinancial associations.

Do you have any relevant nonfinancial associations or interests (personal, professional, political, institutional, religious, or other) that a reasonable reader would want to know about in relation to the submitted work?

No relevant nonfinancial relationships/conditions/circumstances to report.

Yes, the following relevant nonfinancial relationships/conditions/circumstances are present (explain below):

At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.

Save Form



#### Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in four parts.

#### 1. Identifying information.

Enter your full name. If you are NOT the corresponding author please check the box "no" and a space to enter the name of the corresponding author in the space that appears. Provide the requested manuscript information. Double-check the manuscript number and enter it.

#### 2. The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes". Then complete the appropriate boxes to indicate the type of support and whether the payment went to you, or to your institution, or both.

#### 3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work's sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

For grants you have received for work outside the submitted work, you should disclose support ONLY from entities that could be perceived to be affected financially by the published work, such as drug companies, or foundations supported by entities that could be perceived to have a financial stake in the outcome. Public funding sources, such as government agencies, charitable foundations or academic institutions, need not be disclosed. For example, if a government agency sponsored a study in which you have been involved and drugs were provided by a pharmaceutical company, you need only list the pharmaceutical company.

#### Other relationships.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.



Section 1.	Identifying Infor	mation			
1. Given Name (Fi Oral	rst Name)	2. Surnar Alpan	me (Last Name)		3. Effective Date (07-August-2008) 21-March-2011
4. Are you the cor	responding author?	Yes	✓ No	Corresponding Author's Na Mark Eisner	ame
5. Manuscript Title Omalizumab in S		a Inadequat	tely Controlled	on Standard Therapy: A Rar	ndomized, Controlled Trial

6. Manuscript Identifying Number (if you know it)

## Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc...)?

The Work Under Consideration for Publication									
Туре	No	Money Paid to You	Money to Your Institution*	Name of Entity	Comments**				
1. Grant			$\checkmark$	O & O ALPAN, LLC		×			
						ADD			
2. Consulting fee or honorarium	$\checkmark$					×			
						ADD			
3. Support for travel to meetings for the study or other purposes	$\checkmark$					×			
						ADD			
<ol> <li>Fees for participation in review activities such as data monitoring boards, statistical analysis, end point committees, and the like</li> </ol>	$\checkmark$					×			
						ADD			
5. Payment for writing or reviewing the manuscript	$\checkmark$					×			
						ADD			
<ol> <li>Provision of writing assistance, medicines, equipment, or administrative support</li> </ol>	$\checkmark$					×			



The Work Under Consideration for Publication								
Туре	No	Money Paid to You	Money to Your Institution*	Name of Entity	Comments**			
						ADD		
7. Other	$\checkmark$					×		
						ADD		

\* This means money that your institution received for your efforts on this study.

\*\* Use this section to provide any needed explanation.

### Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to submission.

Relevant financial activities outside the submitted work									
Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your Institution*	Entity	Comments				
1. Board membership	$\checkmark$					×			
						ADD			
2. Consultancy	$\checkmark$					×			
						ADD			
3. Employment	$\checkmark$					×			
						ADD			
4. Expert testimony	$\checkmark$					×			
						ADD			
5. Grants/grants pending			$\checkmark$	O & O ALPAN, LLC		×			
						ADD			
<ol><li>Payment for lectures including service on speakers bureaus</li></ol>	$\checkmark$					×			
						ADD			
7. Payment for manuscript preparation	$\checkmark$					×			



Relevant financial activities outside the submitted work									
Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your Institution*	Entity	Comments				
						ADD			
<ol> <li>Patents (planned, pending or issued)</li> </ol>	$\checkmark$					×			
						ADD			
9. Royalties	$\checkmark$					×			
						ADD			
10. Payment for development of educational presentations	$\checkmark$					×			
						ADD			
11. Stock/stock options	$\checkmark$					×			
						ADD			
<ol> <li>Travel/accommodations/ meeting expenses unrelated to activities listed**</li> </ol>	$\checkmark$					×			
						ADD			
13. Other (err on the side of full disclosure)	$\checkmark$					×			
						ADD			

\* This means money that your institution received for your efforts.

\*\* For example, if you report a consultancy above there is no need to report travel related to that consultancy on this line.

#### Section 4.

Other relationships

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

✓ No other relationships/conditions/circumstances that present a potential conflict of interest

Yes, the following relationships/conditions/circumstances are present (explain below):

At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.

Hide All Table Rows Checked 'No'

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