

Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

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This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

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Section 1.	lentifying Infor	mation		
1. Given Name (First N Joseph	Name)	2. Surname (Last Name) Ross	3. Date 09-May-2019	
4. Are you the corresp	oonding author?	✓ Yes No		
5. Manuscript Title Medicare Spending	on Single-Enantic	omer Drugs vs Their Racemic Precursors		

m19-1085

Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

🖌 No

Are there any relevant conflicts of interest? Yes

Section 3. Relevant financial activities outside the submitted work.

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No

Are there any relevant conflicts of interest? \checkmark Yes

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees ?	Non-Financial Support?	Other?	Comments	
Food and Drug Administration (FDA)	✓				Dr. Ross received support from the Food and Drug Administration (FDA) through a research grant to determine best practices in medical device post-market surveillance (work concluded Spring 2018).	
Medtronic, Inc.	\checkmark				Dr. Ross received support from Medtronic, Inc. through a research grant to determine best practices in medical device post-market surveillance (work concluded Spring 2018).	



Name of Entity	Grant?	Personal Fees [?]	Non-Financial Support?	Other?	Comments	
Johnson & Johnson	✓				Dr. Ross receives support from Johnson & Johnson through the Yale University Open Access Data project to develop methods to promote clinical trial data sharing.	
Centers for Medicare and Medicaid Services (CMS)	✓				Dr. Ross received support through a contract with the Centers for Medicare and Medicaid Services (CMS) to develop and maintain performance measures that are used to publicly report hospital and physician quality of care (work concluded Fall 2017).	
Blue Cross-Blue Shield Association (BCBSA)	✓				Dr. Ross received support from the Blue Cross-Blue Shield Association (BCBSA) through a research grant to better understand medical technology evidence generation (work concluded Summer 2017).	
Food and Drug Administration (FDA)	\checkmark				Dr. Ross receives support from the Food and Drug Administration (FDA) through a research grant to establish the Yale-Mayo Clinic Center for Excellence in Regulatory Science and Innovation (CERSI).	
Agency for Healthcare Research and Quality (AHRQ)	\checkmark				Dr. Ross receives support from the Agency for Healthcare Research and Quality (AHRQ) through a research grant to study patient, hospital and community factors associated with readmission risk.	
Laura and John Arnold Foundation	\checkmark				Dr. Ross receives support from the Laura and John Arnold Foundation through a research grant to support the Good Pharma Scorecard.	
National Institutes of Health (NIH/NHLBI)	\checkmark				Dr. Ross receives support from the National Institutes of Health (NIH/ NHLBI) through a research grant to study the diffusion of clinical evidence into practice.	
Laura and John Arnold Foundation	✓				This project was supported by a grant from the Laura and John Arnold Foundation to support the Collaboration for Research Integrity and Transparency (CRIT) at Yale.	



Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes 🖌 No

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Yes, the following relationships/conditions/circumstances are present (explain below):

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Section 6. Disclosure Statement

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Dr. Ross reports grants from Food and Drug Administration (FDA), grants from Medtronic, Inc., grants from Johnson & Johnson, grants from Centers for Medicare and Medicaid Services (CMS), grants from Blue Cross-Blue Shield Association (BCBSA), grants from Food and Drug Administration (FDA), grants from Agency for Healthcare Research and Quality (AHRQ), grants from Laura and John Arnold Foundation, grants from National Institutes of Health (NIH/NHLBI), grants from Laura and John Arnold Foundation work; .

Evaluation and Feedback



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Section 1.	Identifying Info	rmation	
1. Given Name (First Name) Audrey		2. Surname (Last Name) Zhang	3. Date 24-May-2019
4. Are you the corresponding author?		Yes 🖌 No	Corresponding Author's Name Alexander Egilman
5. Manuscript Titl Medicare Spend		omer Drugs vs Their Racem	ic Precursors
6. Manuscript Ide M19-1085	ntifying Number (if you	know it)	

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Are there any relevant conflicts of interest?	Yes
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No

Are there any relevant conflicts of interest?		Yes	\checkmark	1
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Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? $\; [$	Yes	🖌 No	
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In the past 36 months, Ms. Zhang has received research support from the FDA Yale-Mayo Clinic CERSI and the Laura and John Arnold Foundation.

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Section 6.

Disclosure Statement

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Dr. Zhang reports and In the past 36 months, Ms. Zhang has received research support from the FDA Yale-Mayo Clinic CERSI and the Laura and John Arnold Foundation.

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✓ No

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Name of Entity	Grant?	Personal Fees	Non-Financial Support?	Other?	Comments	
Laura and John Arnold Foundation	\checkmark				The Collaboration for Research Integrity and Transparency (CRIT) is supported by the Laura and John Arnold Foundation.	

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	0		4.

Intellectual Property -- Patents & Copyrights

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Name of Institution/Company	Grant?	Personal Fees	Non-Financial Support?	Other?	Comments	
Laura and John Arnold Foundation	✓				This project was conducted as part of the Collaboration for Research Integrity and Transparency (CRIT) at Yale, funded by the Laura and John Arnold Foundation, which supports Mr. Egilman and Drs. Wallach and Ross.	

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Mr. Egilman reports grants from the Laura and John Arnold Foundation, during the conduct of the study.

Evaluation and Feedback