

ICMJE Form for Disclosure of Potential Conflicts of Interest

Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

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Royalties: Funds are coming in to you or your institution due to your patent

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Section 1. Identifying Information

1. Given Name (First Name)

Phyllis

2. Surname (Last Name)

Yan

3. Date

06-March-2019

4. Are you the corresponding author?

☐ Yes ☒ No

Corresponding Author's Name

Andrew M. Ryan

5. Manuscript Title

Performance in the Medicare Shared Savings Program After Accounting for Non-Random Exit: An Instrumental Variable Analysis

6. Manuscript Identifying Number (if you know it)

Section 2. The Work Under Consideration for Publication

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Section 1. Identifying Information

1. Given Name (First Name)
Edward

2. Surname (Last Name)
Norton

3. Date
06-March-2019

4. Are you the corresponding author?

☐ Yes ☒ No

Corresponding Author's Name
Andrew Ryan

5. Manuscript Title
Performance in the Medicare Shared Savings Program After Accounting for Non-Random Exit: An Instrumental Variable Analysis

6. Manuscript Identifying Number (if you know it)

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Dr. Norton has nothing to disclose.

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Section 1. Identifying Information

1. Given Name (First Name) John	2. Surname (Last Name) Ayanian	3. Date 06-March-2019
4. Are you the corresponding author? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		Corresponding Author's Name Andrew Ryan
5. Manuscript Title Performance in the Medicare Shared Savings Program After Accounting for Non-Random Exit: An Instrumental Variable Analysis		
6. Manuscript Identifying Number (if you know it) M18-2539		

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Dr. Ayanian has nothing to disclose.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) John	2. Surname (Last Name) Hollingsworth	3. Date 11-March-2019
4. Are you the corresponding author? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		Corresponding Author's Name Dr. Andrew M. Ryan
5. Manuscript Title Performance in the Medicare Shared Savings Program After Accounting for Non-Random Exit: An Instrumental Variable Analysis		
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Are there any relevant conflicts of interest? ☒ Yes ☐ No

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
University of California San Francisco	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Paid consult fees, had no effect on the analysis presented in this manuscript.

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? ☐ Yes ☒ No

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Dr. Hollingsworth reports other support from University of California San Francisco that had no effect on the analysis presented in this manuscript.

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Section 1. Identifying Information

1. Given Name (First Name)
Andrew

2. Surname (Last Name)
Ryan

3. Date
08-March-2019

4. Are you the corresponding author? ☒ Yes ☐ No

5. Manuscript Title
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6. Manuscript Identifying Number (if you know it)
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Dr. Ryan has nothing to disclose.

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Entity: government agency, foundation, commercial sponsor, academic institution, etc.

Grant: A grant from an entity, generally [but not always] paid to your organization

Personal Fees: Monies paid to you for services rendered, generally honoraria, royalties, or fees for consulting, lectures, speakers bureaus, expert testimony, employment, or other affiliations

Non-Financial Support: Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

Other: Anything not covered under the previous three boxes

Pending: The patent has been filed but not issued

Issued: The patent has been issued by the agency

Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)
Adam

2. Surname (Last Name)
Markovitz

3. Date
08-March-2019

4. Are you the corresponding author?

☐ Yes ☒ No

Corresponding Author's Name
Dr. Andrew M. Ryan

5. Manuscript Title
Performance in the Medicare Shared Savings Program After Accounting for Non-Random Exit: An Instrumental Variable Analysis

6. Manuscript Identifying Number (if you know it)
M18-2539

Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest? ☐ Yes ☒ No

Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were **present during the 36 months prior to publication**.

Are there any relevant conflicts of interest? ☐ Yes ☒ No

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? ☐ Yes ☒ No

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Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

- ☐ Yes, the following relationships/conditions/circumstances are present (explain below):
- ☒ No other relationships/conditions/circumstances that present a potential conflict of interest

At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.

Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Markovitz has nothing to disclose.

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