

#### **Instructions**

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

### Identifying information.

### 2. The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes".

#### 3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work's sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

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### 4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

## Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

#### Definitions.

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Other: Anything not covered under the previous three boxes

**Pending:** The patent has been filed but not issued

**Issued:** The patent has been issued by the agency

**Licensed:** The patent has been licensed to an entity, whether earning royalties or not

**Royalties:** Funds are coming in to you or your institution due to your patent

Petitti 1



| Section 1. Ide  | entifying Informa   | ntion   |  |   |  |  |  |  |  |
|---|---|---|--|---|--|--|--|--|--|
| 1. Given Name (First Na<br>Diana  |   | 2. Surname (Last Name)<br>Petitti   |  | 3. Date<br>14-April-2018  |  |  |  |  |  |
| 4. Are you the correspo   | onding author?  | Yes ✓ No  | Corresponding Author's Nar                                       | Corresponding Author's Name Louise Davies   |  |  |  |  |  |
| 5. Manuscript Title Defining, Measuring, and Communicating Overdiagnosis in Cancer Screening  |   |   |  |   |  |  |  |  |  |
| 6. Manuscript Identifyir<br>M18-0694  | ng Number (if you know  | w it)   |  |   |  |  |  |  |  |
|   |   |   | _  |   |  |  |  |  |  |
| Section 2. The  | e Work Under Cor  | nsideration for Publi   | cation   |   |  |  |  |  |  |
| Did you or your institution <b>at any time</b> receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?  Are there any relevant conflicts of interest?  Yes  No |   |   |  |   |  |  |  |  |  |
| Section 3. Rel  | evant financial ac  | ctivities outside the   | submitted work.  |   |  |  |  |  |  |
| Place a check in the a of compensation) wit   | ppropriate boxes in<br>h entities as describe<br>oox. You should repo | the table to indicate whed in the instructions. Uport relationships that we | nether you have financial rela<br>se one line for each entity; a | ationships (regardless of amount<br>dd as many lines as you need by<br>nonths prior to publication. |  |  |  |  |  |
| Section 4. Into   | ellectual Property  | y Patents & Copyri  | ghts   |   |  |  |  |  |  |
| Do you have any pate  | ents, whether planne  | ed, pending or issued, b  | roadly relevant to the work?                                     | Yes 🗸 No  |  |  |  |  |  |

Petitti 2



| Section 5. Relationships not covered above   |
|--|
| Relationships not covered above  |
| Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?  |
| Yes, the following relationships/conditions/circumstances are present (explain below):   |
| ✓ No other relationships/conditions/circumstances that present a potential conflict of interest  |
| At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements On occasion, journals may ask authors to disclose further information about reported relationships. |
| Section 6. Disclosure Statement  |
| Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.  |
| Dr. Petitti has nothing to disclose.   |

#### **Evaluation and Feedback**

Please visit <a href="http://www.icmje.org/cgi-bin/feedback">http://www.icmje.org/cgi-bin/feedback</a> to provide feedback on your experience with completing this form.

Petitti 3



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**Royalties:** Funds are coming in to you or your institution due to your patent

Lin 1



| Section 1. Identify  | ring Information         |                 |                          |            |   |     |
|--|--------------------------|-----------------|--------------------------|------------|---|-----|
| Given Name (First Name) Jennifer                             | 2. Surnan<br>Lin         | ne (Last Name)  |                          |            | 3. Date<br>12-April-2018  |     |
| 4. Are you the corresponding                                 | author? Yes              | ✓ No            | Correspond<br>Louise Day | _          | or's Name   |     |
| 5. Manuscript Title<br>Defining, Measuring, and C            | ommunicating Overdia     | agnosis in Cand | cer Screening            |            |   |     |
| 6. Manuscript Identifying Nun<br>M18-0694                    | nber (if you know it)    |                 |                          |            |   |     |
|  |                          |                 |                          |            |   |     |
| Section 2. The Wor   | rk Under Considerat      | tion for Publi  | ication                  |            |   |     |
|  |                          |                 |                          |            | ent, commercial, private foundation, etc.)<br>udy design, manuscript preparation,                                     | for |
| Are there any relevant conf                                  |                          |                 |                          |            |   |     |
| If yes, please fill out the app<br>Excess rows can be remove |                          |                 | ive more than            | one enti   | ty press the "ADD" button to add a ro   | W.  |
| Name of Institution/Comp                                     | Grant?                   | •               | on-Financial<br>Support  | Other?     | Comments  |     |
| AHRQ   | <b>V</b>                 |                 |                          |            | work was done as part of an AHRQ<br>contract to support the work of the<br>USPSTF                                     |     |
|  |                          |                 |                          |            |   |     |
| Section 3. Relevan   | t financial activities   | outside the     | submitted v              | work.      |   |     |
| of compensation) with enti                                   | ties as described in the | instructions. U | Jse one line fo          | or each en | ial relationships (regardless of amour<br>atity; add as many lines as you need b<br>a 36 months prior to publication. |     |
| Are there any relevant conf                                  | licts of interest?       | ∕es ✓ No        |                          |            |   |     |
| Section 4. Intellect   |                          |                 |                          |            |   |     |
| Intellect  | tual Property Pate       | nts & Copyri    | ights                    |            |   |     |
| Do you have any patents, w                                   | hether planned, pendi    | ng or issued, b | oroadly releva           | nt to the  | work? Yes V No  |     |

Lin 2



| Section 5. Relationships not covered above   |
|--|
| Relationships not covered above  |
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| Dr. Lin reports grants from AHRQ, during the conduct of the study.   |

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Lin 3



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Davies 1



| Section 1.  | Identifying Inform                                  | ation   |   |                  |   |  |  |  |  |
|---|---|---|---|------------------|---|--|--|--|--|
| 1. Given Name (Fi<br>Louise   |   | 2. Surname (Last Nar<br>Davies                    | me)   |                  | 3. Date<br>13-April-2018  |  |  |  |  |
| 4. Are you the corresponding author? Yes No   |   |   |   |                  |   |  |  |  |  |
| 5. Manuscript Title<br>Defining, Measuring, and Communicating Overdiagnosis in Cancer Screening |   |   |   |                  |   |  |  |  |  |
| 6. Manuscript lder<br>M18-0694  | ntifying Number (if you kr                          | ow it)  |   |                  |   |  |  |  |  |
| Section 2.  |   |   |   |                  |   |  |  |  |  |
| Did you or your ins<br>any aspect of the s<br>statistical analysis,                             | ubmitted work (including                            | ve payment or services<br>but not limited to gran | from a third party                            |                  | ommercial, private foundation, etc.) for esign, manuscript preparation,                         |  |  |  |  |
|   | out the appropriate info<br>be removed by pressin   |   | u have more tha                               | n one entity pre | ess the "ADD" button to add a row.  |  |  |  |  |
| Name of Institut  | ion/Company   | Grant? Personal Fees?                             | Non-Financial Support?                        | Other? Co        | mments  |  |  |  |  |
| Kaiser Permanente   |   |   |   | Cont             | ract to complete work   |  |  |  |  |
|   |   |   |   |                  |   |  |  |  |  |
| Section 3.  | Relevant financial                                  | activities outside                                | the submitted                                 | work.            |   |  |  |  |  |
| of compensation clicking the "Add   | ) with entities as descri<br>+" box. You should rep | bed in the instructio<br>port relationships tha   | ns. Use one line f<br>t were <b>present</b> ( | or each entity;  | lationships (regardless of amount add as many lines as you need by months prior to publication. |  |  |  |  |
| Are there any rel   | evant conflicts of intere                           | est? Yes ✓  | INO   |                  |   |  |  |  |  |
| Section 4.  | Intellectual Proper                                 | ty Patents & Co                                   | pyrights                                      |                  |   |  |  |  |  |
| Do you have any   | patents, whether plan                               | ned, pending or issue                             | ed, broadly relev                             | ant to the work  | ?   |  |  |  |  |

Davies 2



| Section 5. Polationships not sovered above   |
|--|
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Davies 3



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### Identifying information.

Enter your full name. If you are NOT the corresponding author please check the box "no" and a space to enter the name of the corresponding author in the space that appears. Provide the requested manuscript information. Double-check the manuscript number and enter it.

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## 4. Other relationships.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.



| Section 1.                              | Identifying Infor       | mation                        |   |   |
|---|-------------------------|-------------------------------|---|---|
| 1. Given Name (Fi<br>Meghan             | rst Name)               | 2. Surname (Last Name)<br>Woo |   | 3. Effective Date (07-August-2008)<br>14-May-2018 |
| 4. Are you the cor                      | responding author?      | Yes Vo                        | Corresponding Author's Nan<br>Louise Davies, MD, MS, FA |   |
| 5. Manuscript Title<br>Defining, Estima |                         | ating Overdiagnosis in Car    | cer Screening   |   |
| 6. Manuscript Ide<br>M18-0694           | ntifying Number (if you | know it)                      |   |   |

# Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc...)?

Complete each row by checking "No" or providing the requested information. If you have more than one relationship click the "Add" button to add a row. Excess rows can be removed by clicking the "X" button.

| The Work Under Consideration for Publication   |          |                         |                                  |                |            |     |  |  |
|--|----------|-------------------------|----------------------------------|----------------|------------|-----|--|--|
| Туре   | No       | Money<br>Paid<br>to You | Money to<br>Your<br>Institution* | Name of Entity | Comments** |     |  |  |
| 1. Grant   | <b>✓</b> |                         |                                  |                |            | ×   |  |  |
|  |          |                         |                                  |                |            | ADD |  |  |
| 2. Consulting fee or honorarium  | <b>✓</b> |                         |                                  |                |            | ×   |  |  |
|  |          |                         |                                  |                |            | ADD |  |  |
| <ol><li>Support for travel to meetings for<br/>the study or other purposes</li></ol>   | <b>✓</b> |                         |                                  |                |            | ×   |  |  |
|  |          |                         |                                  |                |            | ADD |  |  |
| <ol> <li>Fees for participation in review<br/>activities such as data monitoring<br/>boards, statistical analysis, end<br/>point committees, and the like</li> </ol> | <b>✓</b> |                         |                                  |                |            | ×   |  |  |
|  |          |                         |                                  |                |            | ADD |  |  |
| <ol><li>Payment for writing or reviewing<br/>the manuscript</li></ol>  | <b>✓</b> |                         |                                  |                |            | ×   |  |  |
|  |          |                         |                                  |                |            | ADD |  |  |
| <ol><li>Provision of writing assistance,<br/>medicines, equipment, or<br/>administrative support</li></ol>   | <b>√</b> |                         |                                  |                |            | ×   |  |  |



| The Work Under Consideration for Publication |    |                         |                                  |   |  |     |  |  |
|--|----|-------------------------|----------------------------------|---|--|-----|--|--|
| Туре   | No | Money<br>Paid<br>to You | Money to<br>Your<br>Institution* | Name of Entity                                | Comments**   |     |  |  |
|  |    |                         |                                  |   |  | ADD |  |  |
| 7. Other                                     |    |                         | <b>√</b>                         | Agency for Healthcare<br>Research and Quality | AHRQ supported the research and writing of a report that served as background for the article under review. This work was funded under contract# HHSA290201600006C | ×   |  |  |
|  |    |                         |                                  |   |  | ADD |  |  |

<sup>\*</sup> This means money that your institution received for your efforts on this study.

#### Section 3.

Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to submission.

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| Relevant financial activities outside the submitted work |          |                         |                                  |        |          |     |  |  |
|--|----------|-------------------------|----------------------------------|--------|----------|-----|--|--|
| Type of Relationship (in alphabetical order)             | No       | Money<br>Paid to<br>You | Money to<br>Your<br>Institution* | Entity | Comments |     |  |  |
| 1. Board membership                                      | <b>✓</b> |                         |                                  |        |          | ×   |  |  |
|  |          |                         |                                  |        |          | ADD |  |  |
| 2. Consultancy   | <b>✓</b> |                         |                                  |        |          | ×   |  |  |
|  |          |                         |                                  |        |          | ADD |  |  |
| 3. Employment  | <b>✓</b> |                         |                                  |        |          | ×   |  |  |
|  |          |                         |                                  |        |          | ADD |  |  |
| 4. Expert testimony                                      | <b>✓</b> |                         |                                  |        |          | ×   |  |  |
|  |          |                         |                                  |        |          | ADD |  |  |
| 5. Grants/grants pending                                 | <b>✓</b> |                         |                                  |        |          | ×   |  |  |
|  |          |                         |                                  |        |          | ADD |  |  |

<sup>\*\*</sup> Use this section to provide any needed explanation.



| Relevant financial activities outside the submitted work                           |          |                         |                                  |        |          |     |  |  |
|--|----------|-------------------------|----------------------------------|--------|----------|-----|--|--|
| Type of Relationship (in alphabetical order)                                       | No       | Money<br>Paid to<br>You | Money to<br>Your<br>Institution* | Entity | Comments |     |  |  |
| Payment for lectures including service on speakers bureaus                         | <b>✓</b> |                         |                                  |        |          | ×   |  |  |
|  |          |                         |                                  |        |          | ADD |  |  |
| <ol><li>Payment for manuscript<br/>preparation</li></ol>                           | <b>✓</b> |                         |                                  |        |          | ×   |  |  |
|  |          |                         |                                  |        |          | ADD |  |  |
| <ol><li>Patents (planned, pending or issued)</li></ol>                             | <b>✓</b> |                         |                                  |        |          | ×   |  |  |
|  |          |                         |                                  |        |          | ADD |  |  |
| 9. Royalties   | <b>✓</b> |                         |                                  |        |          | ×   |  |  |
|  |          |                         |                                  |        |          | ADD |  |  |
| 10. Payment for development of educational presentations                           | <b>✓</b> |                         |                                  |        |          | ×   |  |  |
|  |          |                         |                                  |        |          | ADD |  |  |
| 11. Stock/stock options  | <b>✓</b> |                         |                                  |        |          | ×   |  |  |
|  |          |                         |                                  |        |          | ADD |  |  |
| 12. Travel/accommodations/<br>meeting expenses unrelated to<br>activities listed** | <b>✓</b> |                         |                                  |        |          | ×   |  |  |
|  |          |                         |                                  |        |          | ADD |  |  |
| <ol><li>Other (err on the side of full disclosure)</li></ol>                       | <b>✓</b> |                         |                                  |        |          | ×   |  |  |
| * This means money that your institution   | racaivad | for your of             | forts                            |        |          | ADD |  |  |

# Section 4. Other relationships

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

Yes, the following relationships/conditions/circumstances are present (explain below):

<sup>\*\*</sup> For example, if you report a consultancy above there is no need to report travel related to that consultancy on this line.



At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.

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