

ICMJE Form for Disclosure of Potential Conflicts of Interest

Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

1. Identifying information.

2. The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes".

3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work's sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

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Non-Financial Support: Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

Other: Anything not covered under the previous three boxes

Pending: The patent has been filed but not issued

Issued: The patent has been issued by the agency

Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)
Harlan

2. Surname (Last Name)
Krumholz

3. Date
27-February-2018

4. Are you the corresponding author? Yes No

5. Manuscript Title
Publicly Reported Readmission Measures and the Hospital Readmissions Reduction Program: A False Equivalence?

6. Manuscript Identifying Number (if you know it)
M18-0536

Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest? Yes No

Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were **present during the 36 months prior to publication**.

Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below.

| Name of Entity | Grant? | Personal Fees? | Non-Financial Support? | Other? | Comments |
|---|--------------------------|-------------------------------------|--------------------------|-------------------------------------|---|
| Johnson & Johnson (Janssen) and Medtronic | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> | Research agreement, through Yale, to develop methods of clinical trial data sharing |
| UnitedHealth | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | Chair, cardiac scientific advisory board |
| Hugo | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> | Founder of Hugo, a personal health information platform. |
| IBM Watson | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | Participant/participant representative of the IBM Watson Health Life Sciences Board |
| Element Science | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | Member, Advisory Board |

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|--|-------------------------------------|-------------------------------------|--------------------------|-------------------------------------|--|
| Aetna | <input type="checkbox"/> | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | Member, Physician Advisory Board |
| Centers for Medicare & Medicaid Services | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> | Contracts to develop and maintain measures of hospital performance |
| Medtronic | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | Research grant, through Yale, to develop methods for post-market surveillance of medical devices |

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes No

Section 5. Relationships not covered above

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- Yes, the following relationships/conditions/circumstances are present (explain below):
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Section 6. Disclosure Statement

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Dr. Krumholz reports other from Johnson & Johnson (Janssen) and Medtronic, personal fees from UnitedHealth, other from Hugo, personal fees from IBM Watson, personal fees from Element Science, personal fees from Aetna, other from Centers for Medicare & Medicaid Services, grants from Medtronic, outside the submitted work; .

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

| | | |
|---|---|---|
| 1. Given Name (First Name) Zhenqiu | 2. Surname (Last Name) Lin | 3. Date 27-February-2018 |
| 4. Are you the corresponding author? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | Corresponding Author's Name Harlan M. Krumholz |
| 5. Manuscript Title Publicly Reported Readmission Measures and the Hospital Readmissions Reduction Program: A False Equivalence? | | |
| 6. Manuscript Identifying Number (if you know it) | | |

Section 2. The Work Under Consideration for Publication

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Are there any relevant conflicts of interest? Yes No

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Section 6. Disclosure Statement

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Dr. Lin has nothing to disclose.

Evaluation and Feedback

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| | | |
|---|---|---|
| 1. Given Name (First Name) Rohan | 2. Surname (Last Name) Khera | 3. Date 27-February-2018 |
| 4. Are you the corresponding author? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | Corresponding Author's Name Harlan M. Krumholz |
| 5. Manuscript Title Publicly Reported Readmission Measures and the Hospital Readmissions Reduction Program: A False Equivalence? | | |
| 6. Manuscript Identifying Number (if you know it) | | |

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Dr. Kherra has nothing to disclose.

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Section 1. Identifying Information

1. Given Name (First Name)
Leora

2. Surname (Last Name)
Horwitz

3. Date
11-March-2018

4. Are you the corresponding author? Yes No
Corresponding Author's Name
Rohan Khera

5. Manuscript Title
Publicly Reported Readmission Measures and the Hospital Readmissions Reduction Program: A False Equivalence?

6. Manuscript Identifying Number (if you know it)
M18-0536

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Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

| Name of Institution/Company | Grant? | Personal Fees? | Non-Financial Support? | Other? | Comments |
|--|-------------------------------------|--------------------------|--------------------------|--------------------------|---|
| Center for Medicare & Medicaid Services | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | Dr. Horwitz works under contract to CMS to develop quality measures, including the hospital-wide readmission measure. |
| Agency for Healthcare Research and Quality | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | Dr. Horwitz is PI of a grant to study readmissions, including the hospital readmissions reduction program |

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Dr. Horwitz reports grants from Center for Medicare & Medicaid Services, grants from Agency for Healthcare Research and Quality, during the conduct of the study; .

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