

#### Instructions

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## 4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

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1. Given Name (First Name) Sebastien	2. Surname (Last Name) Haneuse	3. Date 13-April-2018
4. Are you the corresponding author?	Yes 🖌 No	Corresponding Author's Name David Arterburn
5. Manuscript Title Microvascular Outcomes in Patients v	vith Diabetes after Bariatric	Surgery versus Usual-Care: A Matched Cohort Study
	know it)	
6. Manuscript Identifying Number (if you M17-2383		

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

**√** No

Are there any relevant conflicts of interest?	Yes
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No

Are there any relevant conflicts of interest?		Yes	$\checkmark$	1
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# Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, penuing of issued, broadly relevant to the work?     res   $\mathbf{v}$   no	e any patents, whether planned, pending or issued, broadly relevant to	the work?	Yes	🖌 No
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Dr. Haneuse has nothing to disclose.

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Section 1.	ntifying Information	
lde		
1. Given Name (First Nar Patrick	ne) 2. Surname (Last Name) O'Connor	3. Date 16-April-2018
4. Are you the correspor	ding author? 🖌 Yes 🗌 No	
5. Manuscript Title Microvascular Outcom	es in Patients with Diabetes after Bariatric Surgery ve	rsus Usual-Care: A Matched Cohort Study
6. Manuscript Identifyin	) Number (if you know it)	
Section 2. The	Work Under Consideration for Publication	
	n <b>at any time</b> receive payment or services from a third part ed work (including but not limited to grants, data monitori conflicts of interest? Yes V No	

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1. Given Name (Fi Emily	rst Name)	2. Surname (Last Name) Schroeder	3. Date 16-April-2018
4. Are you the corresponding author?		Yes 🖌 No	Corresponding Author's Name David Arterburn
5. Manuscript Title Microvascular O		vith Diabetes after Bariatric	Surgery versus Usual-Care: A Matched Cohort Study
6. Manuscript Ider M17-2383	ntifying Number (if you	know it)	

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No

Are there any relevant conflicts of interest?  $\checkmark$  Yes

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees	Non-Financial Support?	Other?	Comments	
NIDDK	$\checkmark$				1K23DK099237-01	

# Section 4. Intellectual Property -- Patents & Copyrights Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes



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Dr. Schroeder reports grants from NIDDK, during the conduct of the study; .

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statistical analysis, etc.)? Are there any relevant conflicts of inter	rest? Yes 🖌 No	

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4. Are you the cor	responding author?	Yes 🖌 No	Corresponding Author's Na	me
			Surgery versus Usual-Care:	A Matched Cohort Study
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Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

Name of Institution/Company	Grant?	Personal Fees <sup>?</sup>	Non-Financial Support <mark>?</mark>	Other?	Comments	
National Institutes of Health	$\checkmark$				Analysis supported by Dr. Arterburn's PROMISE grant.	

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1. Given Name (First Name) David P	2. Surname (Last Name) Fisher	3. Date 12-April-2018
4. Are you the corresponding autho	or? Yes 🖌 No	Corresponding Author's Name David A Arterburn, MD
5. Manuscript Title "Microvascular Outcomes in Pat 6. Manuscript Identifying Number (		ric Surgery versus Usual-Care: A Matched Cohort Study"
M17-2383		

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

🖌 No

Are there any re	elevant conf	licts of	interest?		Yes
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# Section 3. Relevant financial activities outside the submitted work.

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No

Are there any relevant conflicts of interest?		Yes	$\checkmark$	1
-----------------------------------------------	--	-----	--------------	---

# Section 4. Intellectual Property -- Patents & Copyrights

by you have any patents, whether planned, pending of issued, broadly relevant to the work:     res	Do you have any patents, whether planned, pending or issued, broadly relevant to the work? $\; [$	Yes	🖌 No
----------------------------------------------------------------------------------------------------	---------------------------------------------------------------------------------------------------	-----	------



# Section 5. Relationships not covered above

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Dr. Fisher has nothing to disclose.

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Section 1.	Identifying Inform	nation		
1. Given Name (Fii Stephen	rst Name)	2. Surname (Last Name) Sidney		3. Date 16-April-2018
4. Are you the corr	responding author?	Yes 🖌 No	Corresponding Author's Na	ime
5. Manuscript Title Microvascular Ou		ith Diabetes after Bariatric	Surgery versus Usual-Care:	A Matched Cohort Study

6. Manuscript Identifying Number (if you know it)

M17-2383

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Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

Name of Institution/Company	Grant?	Personal Fees	Non-Financial Support <mark>?</mark>	Other?	Comments	
National Institute of Diabetes and Digestive and Kidney Diseases	$\checkmark$					

Section 3.

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No

Are there any relevant conflicts of interest? Yes

If yes, please fill out the appropriate information below.



Name of Entity	Grant?	Personal Fees	Non-Financial Support?	Other?	Comments
National Heart Lung and Blood Institute	$\checkmark$				
National Institute of Neurological Disorders and Stroke	$\checkmark$				
National Institute on Aging	$\checkmark$				

#### Section 4.

#### **Intellectual Property -- Patents & Copyrights**

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes 🗸 No

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Dr. Sidney reports grants from National Institute of Diabetes and Digestive and Kidney Diseases, from null, from null, from null, during the conduct of the study; grants from National Heart Lung and Blood Institute, grants from National Institute of Neurological Disorders and Stroke, grants from National Institute on Aging, outside the submitted work; .



**Evaluation and Feedback** 



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1. Given Name (Fi Timothy Andrev	,	2. Surname (Last Nam Bogart	e) 3. Date 15-May-2018
4. Are you the corresponding author? Yes		Yes 🖌 No	Corresponding Author's Name David Arterburn
5. Manuscript Titl "Microvascular C		vith Diabetes after Baria	atric Surgery versus Usual-Care: A Matched Cohort Study"
6. Manuscript Ide M17-2383	ntifying Number (if you	know it)	

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Are there any relevant conflicts of interest?	$\checkmark$	Yes		No
-----------------------------------------------	--------------	-----	--	----

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Name of Institution/Company	Grant?	Personal Fees	Non-Financial Support <mark>?</mark>	Other?	Comments	
NIH	$\checkmark$					

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Are there any relevant conflicts of interest?  $\Box$  Yes  $\checkmark$  No

Section 4.	Intellectual Property Patents & Copyrights

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Mr. Bogart reports grants from NIH, during the conduct of the study.

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Section 1. Identifying Inform	mation		
1. Given Name (First Name) Mary	2. Surname (Last Name) Theis		3. Date 31-May-2018
4. Are you the corresponding author?	Yes 🖌 No	Corresponding Author's Na David Arterburn	ame
5. Manuscript Title Microvascular Outcomes in Patients w	ith Diabetes after Bariatric	Surgery versus Usual-Care:	A Matched Cohort Study
6. Manuscript Identifying Number (if you k	know it)		
Section 2. The Work Under O	Consideration for Publ	ication	
Did you or your institution <b>at any time</b> rec any aspect of the submitted work (includin statistical analysis, etc.)?			
Are there any relevant conflicts of inte	rest? Yes 🖌 No		
Section 3. Delevent financia			
Relevant financia	l activities outside the	submitted work.	
Place a check in the appropriate boxes of compensation) with entities as desc clicking the "Add +" box. You should re	ribed in the instructions. U	Jse one line for each entity;	add as many lines as you need by
Are there any relevant conflicts of inte	rest? Yes 🖌 No		

# Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? $                                    $	issued, broadly relevant to the work? Yes	Do you have any patents, whether planned, pending or issued
-----------------------------------------------------------------------------------------------------------------------------------	-------------------------------------------	-------------------------------------------------------------



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Section 1. Identifying Inform	nation		
1. Given Name (First Name) Jane	2. Surname (Last Name) Anau		3. Date 12-April-2018
4. Are you the corresponding author?	Yes 🖌 No	Corresponding Author's Na David E. Arterburn	ame
5. Manuscript Title Microvascular Outcomes in Patients wi	th Diabetes after Bariatric S	urgery versus Usual-Care:	A Matched Cohort Study
6. Manuscript Identifying Number (if you ki M17-2383	now it)		
Section 2. The Work Under C	onsideration for Public	ation	
Did you or your institution <b>at any time</b> rece any aspect of the submitted work (including statistical analysis, etc.)?	g but not limited to grants, dat		
Are there any relevant conflicts of inter- lf yes, please fill out the appropriate infe Excess rows can be removed by pressin	ormation below. If you have	e more than one entity pre	ess the "ADD" button to add a row.
Name of Institution/Company	Grant? Personal Non Fees? Su	-Financial upport? Other? Co	mments
lational Institute of Diabetes and Digestive nd Kidney Diseases			

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 Section 4.
 Intellectual Property -- Patents & Copyrights

 Do you have any patents, whether planned, pending or issued, broadly relevant to the work?
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Dr. Anau reports grants from National Institute of Diabetes and Digestive and Kidney Diseases, during the conduct of the study; .

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Section 1. Ider	ntifying Information	
1. Given Name (First Nam David	ne) 2. Surname (Last Name) Arterburn	3. Date 15-May-2018
4. Are you the correspond	ding author? 🖌 Yes 🗌 No	
5. Manuscript Title Comparative Effectiver	ness of Bariatric Procedures for Weight Loss amor	ng 46.510 PCORnet Patients: A Cohort Study

6. Manuscript Identifying Number (if you know it)

M17-2383

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PCORI	$\checkmark$					

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No

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Name of Entity	Grant?	Personal Fees <b>?</b>	Non-Financial Support?	Other?	Comments	
NIH	$\checkmark$					
Michigan Bariatric Surgery Collaborative		$\checkmark$	$\checkmark$			



## Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Ves

## Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

Yes, the following relationships/conditions/circumstances are present (explain below):

✓ No other relationships/conditions/circumstances that present a potential conflict of interest

At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.

## Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Arterburn reports grants from PCORI, during the conduct of the study; grants from NIH, personal fees and non-financial support from Michigan Bariatric Surgery Collaborative, outside the submitted work; .

#### **Evaluation and Feedback**



#### Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

## 1. Identifying information.

## 2. The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes".

#### 3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work's sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

For grants you have received for work outside the submitted work, you should disclose support ONLY from entities that could be perceived to be affected financially by the published work, such as drug companies, or foundations supported by entities that could be perceived to have a financial stake in the outcome. Public funding sources, such as government agencies, charitable foundations or academic institutions, need not be disclosed. For example, if a government agency sponsored a study in which you have been involved and drugs were provided by a pharmaceutical company, you need only list the pharmaceutical company.

## 4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

#### 5. Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

#### **Definitions.**

**Entity:** government agency, foundation, commercial sponsor, academic institution, etc.

**Grant:** A grant from an entity, generally [but not always] paid to your organization

**Personal Fees:** Monies paid to you for services rendered, generally honoraria, royalties, or fees for consulting , lectures, speakers bureaus, expert testimony, employment, or other affiliations

**Non-Financial Support:** Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

Other: Anything not covered under the previous three boxes Pending: The patent has been filed but not issued Issued: The patent has been issued by the agency Licensed: The patent has been licensed to an entity, whether earning royalties or not Royalties: Funds are coming in to you or your institution due to your



Section 1. Identifying Infor	mation	
1. Given Name (First Name) Rebecca	2. Surname (Last Name) O' Brien	3. Date 06-July-2018
4. Are you the corresponding author?	Yes 🖌 No	Corresponding Author's Name David Arterburn
5. Manuscript Title Microvascular Outcomes in Patients W	/ith Diabetes After Bariatric	Surgery Versus Usual Care: A Matched Cohort Study
6. Manuscript Identifying Number (if you	know it)	
		-
Section 2. The Work Under		
The Work Under	Consideration for Public	ation
		a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,
Are there any relevant conflicts of inte	rest? 🗌 Yes 🖌 No	
Section 3. Relevant financia	l activities outside the s	ubmitted work.
Place a check in the appropriate boxes	s in the table to indicate wh	ether you have financial relationships (regardless of amount

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were **present during the 36 months prior to publication**.

Are there any relevant conflicts of interest?		Yes	$\checkmark$	No
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# Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?		Yes	I	No
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# Section 5. Relationships not covered above

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Dr. O' Brien has nothing to disclose.

#### **Evaluation and Feedback**