

#### **Instructions**

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

## Identifying information.

## 2. The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes".

### 3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work's sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

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## 4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

## Relationships not covered above.

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#### Definitions.

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**Royalties:** Funds are coming in to you or your institution due to your patent

Roberts 1



Section 1.	Identifying Inform	nation			
1. Given Name (First Name) Eric		2. Surname (Last Name) Roberts	3. Date 13-Nov	ember-2017	
4. Are you the corresponding author?		Yes ✓ No	Corresponding Author's Name  J. Michael McWilliams		
5. Manuscript Title The Value-Based Payment Modifier: Program Outcomes and Implications for Physicians					
6. Manuscript Identifying Number (if you know it) M17-1740					
			-		
Section 2.	The Work Under Co	onsideration for Public	ation		
Did you or your institution <b>at any time</b> receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?  Are there any relevant conflicts of interest?  Yes  No					
Section 3.	Relevant financial	activities outside the s	ubmitted work.		
Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were <b>present during the 36 months prior to publication</b> .  Are there any relevant conflicts of interest? Yes Vo					
Section 4.	Intellectual Proper	rty Patents & Copyric	jhts		
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo					

Roberts 2



Section 5. Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):
✓ No other relationships/conditions/circumstances that present a potential conflict of interest
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements On occasion, journals may ask authors to disclose further information about reported relationships.
Section 6. Disclosure Statement
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.
Dr. Roberts has nothing to disclose.

### **Evaluation and Feedback**

Please visit <a href="http://www.icmje.org/cgi-bin/feedback">http://www.icmje.org/cgi-bin/feedback</a> to provide feedback on your experience with completing this form.

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Zaslavsky 1



Section 1.	Identifying Inform	nation				
1. Given Name (First Name) Alan		2. Surname (Last Name) Zaslavsky	3. Date			
4. Are you the corresponding author?		☐ Yes ✓ No	Corresponding Author's Name  J Michael McWilliams			
5. Manuscript Title The Value-Based Payment Modifier: Pro		ogram Outcomes and Impl	ications for Disparities			
6. Manuscript Ide	ntifying Number (if you kr	now it)				
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Section 3.	Delevent fine maiel		la ua taka ala ula			
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earning royalties or not **Royalties:** Funds are coming in to you or your institution due to your

patent

McWilliams 1



Section 1. Identifying Information	ation				
Given Name (First Name)  J. Michael	2. Surname (Last Name McWilliams	e)	3. Date 06-November-2017		
4. Are you the corresponding author?	✓ Yes No				
5. Manuscript Title The Value-Based Payment Modifier: Prog	gram Outcomes and In	nplications for Disparitie	25		
6. Manuscript Identifying Number (if you known M17-1740	ow it)				
Section 2. The Work Under Co	nsideration for Pul	plication			
Did you or your institution <b>at any time</b> received any aspect of the submitted work (including statistical analysis, etc.)?	ve payment or services fro but not limited to grants	om a third party (governm			
Are there any relevant conflicts of interes					
If yes, please fill out the appropriate info Excess rows can be removed by pressing	-	have more than one ent	ity press the "ADD" button to add a row.		
Name of Institution/Company		Non-Financial Other	Comments		
National Institute on Aging	<b>✓</b>				
aura and John Arnold Foundation	<b>✓</b>				
Section 3. Relevant financial a	ctivities outside th	e submitted work.			
Place a check in the appropriate boxes in of compensation) with entities as described clicking the "Add +" box. You should rep	oed in the instructions ort relationships that v	. Use one line for each e were <b>present during th</b>	ntity; add as many lines as you need by		
Are there any relevant conflicts of interest?  Yes  No If yes, please fill out the appropriate information below.					
if yes, please fill out the appropriate info	mation below.				
Name of Entity	Grant? Personal Fees?	Non-Financial Other	Comments		
Abt Associates Inc.			Dr. McWilliams reports serving as a consultant to Abt Associates on an evaluation of the ACO Investment		

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Model



Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
Medicare Payment Advisory Commission		<b>✓</b>			Dr. McWilliams reports serving as a consultant to the Medicare Payment Advisory Commission on use of low-value care measures
Section 4. Intellectual Property					
Intellectual Propert	y Pate	ents & Cop	pyrights		
Do you have any patents, whether plann	ed, pend	ing or issue	ed, broadly releva	nt to the	work? ☐ Yes ✓ No
Section 5. Polationships not a					
Relationships not c	overed	above			
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Dr. McWilliams reports grants from National Institute on Aging, grants from Laura and John Arnold Foundation, during the conduct of the study; personal fees from Abt Associates Inc., personal fees from Medicare Payment Advisory Commission, outside the submitted work; .					

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