Instructions

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1. Identifying information.

2. The work under consideration for publication.

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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

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Issued: The patent has been issued by the agency

Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

Austrom 1



Section 1. Identifying Inform	nation	
Given Name (First Name) Mary Guerriero	2. Surname (Last N Austrom	ame) 3. Date 21-October-2016
4. Are you the corresponding author?	Yes No	Corresponding Author's Name Christopher Callahan
5. Manuscript Title "Targeting Functional Decline: Results	from the Alzheimer'	Disease Multiple Intervention Trial"
6. Manuscript Identifying Number (if you k M16-0830	now it)	
Section 2. The Work Under C		
		es from a third party (government, commercial, private foundation, etc.) for ents, data monitoring board, study design, manuscript preparation,
Are there any relevant conflicts of inter	est? ☐ Yes ✓] No
Section 3. Polymore from the	Some State of the	
Relevant financial	activities outside	the submitted work.
of compensation) with entities as descr	ibed in the instruction	te whether you have financial relationships (regardless of amount ons. Use one line for each entity; add as many lines as you need by at were present during the 36 months prior to publication .
Are there any relevant conflicts of inter-		No
Section 4. Intellectual Prope	rty Patents & Co	pyrights
Do you have any patents, whether plan	ned, pending or issu	ed, broadly relevant to the work? Yes Vo



Section 5. Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):
✓ No other relationships/conditions/circumstances that present a potential conflict of interest
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.
Section 6. Disclosure Statement
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.
Dr. Austrom has nothing to disclose.

Evaluation and Feedback

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Royalties: Funds are coming in to you or your institution due to your patent

Lane 1



Section 1.	Identifying Inform	ation			
1. Given Name (Fi Kathleen	rst Name)	2. Surname (Last Name) Lane		3. Date 24-Octobe	er-2016
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Christopher	g Author's Name Callahan	
5. Manuscript Title Targeting Functi		om the Alzheimers Dise	ase Multiple Inte	rvention Trial	
6. Manuscript Ider M16-0830	ntifying Number (if you kn	now it)			
Section 2.	The Work Under Co	onsideration for Pub	lication		
any aspect of the s statistical analysis, Are there any rel If yes, please fill o	ubmitted work (including etc.)? evant conflicts of intere	but not limited to grants, est? Yes No ormation below. If you h	data monitoring bo	overnment, commercial, pri oard, study design, manusc ne entity press the "ADD	cript preparation,
Name of Institut	ion/Company	Grant? Personal N	on-Financial O	comments	
NIH		✓			
	l				
Section 3.	Relevant financial	activities outside the	e submitted wo	ork.	
of compensation clicking the "Add Are there any rel) with entities as descri +" box. You should rep evant conflicts of intere	bed in the instructions. port relationships that w est? Yes 🕡 No	Use one line for e vere present dur i	e financial relationships (each entity; add as many ing the 36 months prio	lines as you need by
Section 4.	Intellectual Proper	ty Patents & Copy	rights		
Do you have any	patents, whether plant	ned, pending or issued,	broadly relevant	to the work? Yes	✓ No

Lane 2



Section 5. Polationships not sovered above
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Dr. Lane reports grants from NIH, during the conduct of the study; .

Evaluation and Feedback

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Lane 3



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Schmid 1



Section 1.	Identifying Inform	nation	
1. Given Name (Fi Arlene	rst Name)	2. Surname (Last Name) Schmid	3. Date 16-September-2016
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Name Christopher Callahan
5. Manuscript Title Targeting Functi		om the Alzheimer?s Disea	se Multiple Intervention Trial
6. Manuscript Ider	ntifying Number (if you kr	now it)	
			_
Section 2.	The Work Under Co	onsideration for Public	cation
any aspect of the s statistical analysis,	ubmitted work (including	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation,
<i>c</i>			
Section 3.	Relevant financial	activities outside the s	submitted work.
of compensation clicking the "Add) with entities as descri	ibed in the instructions. Us port relationships that wer	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re present during the 36 months prior to publication .
Section 4.			
Section 4.	Intellectual Proper	rty Patents & Copyric	ghts
Do you have any	patents, whether plan	ned, pending or issued, br	roadly relevant to the work? Yes V No

Schmid 2



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Dr. Schmid has nothing to disclose.

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Schmid 3



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Liganded: The patent has been issued by the agency

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Royalties: Funds are coming in to you or your institution due to your patent

Ferguson 1



Section 1. Identifying Inform	nation	
1. Given Name (First Name) Denisha	2. Surname (Last Name) Ferguson	3. Date 16-September-2016
4. Are you the corresponding author?	✓ Yes No	
5. Manuscript Title "Targeting Functional Decline: Results f	rom the Alzheimers Disease Multiple Intervention Tr	rial
6. Manuscript Identifying Number (if you kr	now it)	
Section 2. The Weak Under C		
The Work Under Co	onsideration for Publication	
	ive payment or services from a third party (government, cogo but not limited to grants, data monitoring board, study dest?	
Section 3. Relevant financial	activities outside the submitted work.	
Place a check in the appropriate boxes of compensation) with entities as descr	in the table to indicate whether you have financial re ibed in the instructions. Use one line for each entity; port relationships that were present during the 36 i	add as many lines as you need by
Section 4. Intellectual Proper	rty Patents & Copyrights	
Do you have any patents, whether plan	ned, pending or issued, broadly relevant to the work	</td

Ferguson 2



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Royalties: Funds are coming in to you or your institution due to your patent



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1. Given Name (First Name) Michael	2. Surname (Last Name) LaMantia	3. Date 16-September-2016
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Christopher Callahan
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6. Manuscript Identifying Number (if you k M16-0830	now it)	
Section 2. The Work Under C	onsideration for Public	cation
	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation,
If yes, please fill out the appropriate inf Excess rows can be removed by pressir		ve more than one entity press the "ADD" button to add a row.
Name of Institution/Company	Grant? Personal Nor	n-Financial Other? Comments
NIH	✓	
Section 3. Relevant financial	activities outside the s	submitted work.
of compensation) with entities as describing the "Add +" box. You should re	ribed in the instructions. Us port relationships that wer	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re present during the 36 months prior to publication.
Are there any relevant conflicts of inter If yes, please fill out the appropriate inf		



	Support !		Comments
			I received a new investigator award from the American Geriatrics Society as part of this award, I received a travel honorarium to support my travel to the AGS annual meeting. This honorarium was provided by Merck but was administered by the American Geriatrics Society
		✓	I received an honorarium for speaking at the academy's winter meeting/CME event

Patent <mark>?</mark>	Pending?	Issued?	Licensed?	Royalties?	Licensee?	Comments
I have intellectual property rights in a software package that is used to manage the care of older adults with dementia and late life depression.						I do not believe that these intellectual property rights are relevant to the related work (but share them in the interest of full disclosure). These IP rights are managed by the Indiana University Research and Technology Corporation and have not resulted in any income for me to date.



Section 5.	Relationships not covered above
	elationships or activities that readers could perceive to have influenced, or that give the appearance of ncing, what you wrote in the submitted work?
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Section 6.	Disclosure Statement
Based on the abo	ove disclosures, this form will automatically generate a disclosure statement, which will appear in the box
Geriatrics Societ addition, Dr. LaN	orts grants from NIH, during the conduct of the study; other from Merck honorarium for American y New Investigator Award, other from Indiana Academy of Family Practice, outside the submitted work; In lantia has a patent I have intellectual property rights in a software package that is used to manage the care with dementia and late life depression. pending.

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Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

Boustani 1



Section 1.	Identifying Inform	ation					
1. Given Name (Fi Malaz	rst Name)		2. Surname (Last Name) Boustani		3. Date 19-September-2016		
4. Are you the cor	responding author?	✓ Yes	✓ Yes No				
•	5. Manuscript Title Targeting Functional Decline: Results from the Alzheimer's Disease Multiple Intervention Trial						
6. Manuscript Ider	6. Manuscript Identifying Number (if you know it)						
Section 2.	The Work Under Co	onsiderat	ion for Publication				
Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest? Yes Vo							
Section 3.	Dalaman Caran in I	41141	- arthur al - artis to	4. dameda			
	Relevant financial						
of compensation clicking the "Add) with entities as descri +" box. You should rep	bed in the port relatio	instructions. Use one I nships that were pres	ine for each entity; a	ationships (regardless of amount add as many lines as you need by nonths prior to publication.		
Are there any rel	evant conflicts of intere	est? Y	'es ✓ No				
Section 4.							
Section 4.	Intellectual Proper	ty Pate	nts & Copyrights				
Do you have any	patents, whether plan	ned, pendi	ng or issued, broadly r	elevant to the work?	? ☐ Yes ✓ No		

Boustani 2



Section 5.				
Section 5.	Relationships not covered above			
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✓ Yes, the follow	wing relationships/conditions/circumstances are present (explain below):			
No other rela	tionships/conditions/circumstances that present a potential conflict of interest			
	tion Health Management, LLC (ownership interest, consulting) Board, AstraZeneca (Honoraria, board member)			
	anuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements rnals may ask authors to disclose further information about reported relationships.			
Section 6.	Disclosure Statement			
Based on the abo	ove disclosures, this form will automatically generate a disclosure statement, which will appear in the box			
	orts and Preferred Population Health Management, LLC (ownership interest, consulting) Board, AstraZeneca (Honoraria, board member).			

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Gao 1



Section 1.	Identifying Inform	nation				
1. Given Name (Fir Sujuan	rst Name) 2. Surname (Last Name) Gao		3. Date 22-September-2016			
4. Are you the corr	ou the corresponding author? Yes ✓ No		Corresponding Author's Name			
5. Manuscript Title Targeting Functional Decline: Results from the Alzheimer's Disease Multiple Intervention Trial						
6. Manuscript Ider M16-0830	ntifying Number (if you kn	now it)	_			
Section 2. The Work Under Consideration for Publication						
any aspect of the si statistical analysis,	ubmitted work (including	but not limited to grants, da	a third party (government, com ta monitoring board, study des	nmercial, private foundation, etc.) for ign, manuscript preparation,		
Section 3.	Relevant financial	activities outside the s	submitted work.			
of compensation clicking the "Add) with entities as descri	bed in the instructions. Us port relationships that wer	se one line for each entity; ac	tionships (regardless of amount dd as many lines as you need by onths prior to publication.		
Section 4.	Intellectual Proper	ty Patents & Copyric	yhts			
Do you have any	patents, whether plan	ned, pending or issued, br	oadly relevant to the work?	☐ Yes 🗸 No		

Gao 2



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Callahan 1



Section 1.	Identifying Inform	ation				
Given Name (Fire Christopher Christop	rst Name)	3. Date 27-September-2016				
4. Are you the cor	ou the corresponding author? Yes No					
5. Manuscript Title Targeting Functi		om the Alzh	heimer's Disease Multiple Intervention Tria	ıl		
6. Manuscript Ider	ntifying Number (if you kn	ow it)				
Section 2. The Work Under Consideration for Publication						
Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest? Yes No						
Section 3.	Relevant financial	activities (outside the submitted work.			
of compensation clicking the "Add	the appropriate boxes in with entities as descri	n the table bed in the i port relation	to indicate whether you have financial relations. Use one line for each entity; ach ships that were present during the 36 m Tes No	dd as many lines as you need by		
Section 4.	Intellectual Proper	tv Pater	nts & Copyrights			
Do you have any		•	ng or issued, broadly relevant to the work?	☐ Yes ✓ No		

Callahan 2



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patent

hendrie 1



Section 1.	Identifying Inform	nation						
1. Given Name (Fir hugh			3. Date 19-October-2106					
4. Are you the cor	responding author?	Yes 🗸 No	Corresponding Author's Name Christopher Callahan					
5. Manuscript Title Targeting Functional Decline: Results from the Allzheimer's Disease Multiple Intervention Trial								
6. Manuscript Ider	ntifying Number (if you kr	now it)						
Section 2. The Work Under Consideration for Publication								
Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest? Yes No								
Section 3.	Relevant financial	activities outside the	submitted work.					
of compensation clicking the "Add) with entities as descri	ibed in the instructions. U port relationships that we	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re present during the 36 months prior to publication .					
Section 4.	Intellectual Proper	rty Patents & Copyri	ghts					
Do you have any	•		roadly relevant to the work? Yes V No					

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Relationships not covered above
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Miller 1



Section 1. Id	entifying Inform	ation				
1. Given Name (First N Douglas K.	, ,		ne (Last Nam	e)		3. Date 25-October-2016
4. Are you the corresp	onding author?	Yes	✓ No	Correspond	ding Autho	or's Name
5. Manuscript Title Targeting Functional Decline: Results from the Alzheimer's Disease Multiple Intervention Trial						on Trial
6. Manuscript Identifyi M160830 Callahan	ing Number (if you kno	ow it)				
Section 2. Th	e Work Under Co	nsiderat	ion for Pu	blication		
any aspect of the subm statistical analysis, etc.) Are there any relevan	nitted work (including ? Int conflicts of intere The appropriate info	but not limist? Y	ited to grant es N	s, data monitoring	g board, sto	ent, commercial, private foundation, etc.) for udy design, manuscript preparation, ty press the "ADD" button to add a row.
Name of Institution				Non-Financial Support?	Other?	Comments
National Institute on Agir	ng	✓				Grant #: R01 AG034946 (Callahan CM, PI). Not personal fees, non-financial support, etc. are not provided by this grant.
Section 3. Re	levant financial a	ctivities	outside tl	he submitted	work.	
Place a check in the a of compensation) wi clicking the "Add +" Are there any relevan	appropriate boxes ir th entities as descrik box. You should rep	n the table bed in the ort relation	to indicate	whether you ha s. Use one line fo were present d	ave financ or each er	cial relationships (regardless of amount native; add as many lines as you need by a 36 months prior to publication.
Section 4. Int	tellectual Propert	ty Pate	nts & Cop	yrights		
Do you have any pat	ents, whether plann	ed, pendii	ng or issued	d, broadly releva	int to the	work? Yes V

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Dr. Miller reports grants from National Institute on Aging, during the conduct of the study; .

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