

Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

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This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

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Royalties: Funds are coming in to you or your institution due to your patent

Nathwani 1



Section 1.	dentifying Inform	ation			
1. Given Name (First Neesha	Name)	2. Surname (Las Nathwani	t Name)		3. Date 14-March-2016
4. Are you the corres	4. Are you the corresponding author? ✓ Yes No				
5. Manuscript Title Risk of Hospitalized Retrospective Coho	-	g New Users of S	axagliptin, Sitagliptir	n, and Other Ant	tihyperglycemic Drugs: A
6. Manuscript Identii M15-2568	fying Number (if you kn	ow it)			
Section 2.	he Work Under Co	onsideration f	or Publication		
Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest? Yes No					
Section 3.	Relevant financial a	activities outs	ido tho submitted	lwork	
Place a check in the of compensation) velicking the "Add +	e appropriate boxes in with entities as descri	n the table to ind bed in the instru port relationship:	dicate whether you h ctions. Use one line f	nave financial relator for each entity; a	ationships (regardless of amount add as many lines as you need by nonths prior to publication.
Section 4					
Section 4.	ntellectual Proper	ty Patents &	Copyrights		
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo					

Nathwani 2



Section 5. Polationships not sovered above
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Section 6. Disclosure Statement
Disclosure Statement
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.
Dr. Nathwani has nothing to disclose.

Evaluation and Feedback

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Nathwani 3



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Hampp 1



Section 1. Identifying Infor	mation	
1. Given Name (First Name) Christian	2. Surname (Last Name) Hampp	3. Date 07-March-2016
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Darren Toh
5. Manuscript Title Risk of Hospitalized Heart Failure amo Retrospective Cohort Study	ong New Users of Saxagliptin	, Sitagliptin, and Other Antihyperglycemic Drugs: A
6. Manuscript Identifying Number (if you M15-2568	know it)	_
Section 2. The Work Under	Consideration for Public	ation
	ng but not limited to grants, dat	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,
Section 3. Relevant financia	al activities outside the s	ubmitted work.
of compensation) with entities as desc	cribed in the instructions. Us report relationships that were	ether you have financial relationships (regardless of amount e one line for each entity; add as many lines as you need by e present during the 36 months prior to publication .
Section 4. Intellectual Prop	erty Patents & Copyrig	hts
Do you have any patents, whether pla	nned, pending or issued, bro	oadly relevant to the work? Yes V No

Hampp 2



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Toh 1



Section 1. Identifying Inform	ation					
Given Name (First Name) Sengwee	2. Surname (Last Name) Toh		3. Date 07-March-2016			
4. Are you the corresponding author? Yes No						
5. Manuscript Title Risk of Hospitalized Heart Failure among Retrospective Cohort Study	Risk of Hospitalized Heart Failure among New Users of Saxagliptin, Sitagliptin, and Other Antihyperglycemic Drugs: A					
6. Manuscript Identifying Number (if you kn M15-2568	ow it)					
Section 2. The Work Under Co	onsideration for Publicat	ion				
Did you or your institution at any time recei any aspect of the submitted work (including statistical analysis, etc.)?						
Are there any relevant conflicts of intered If yes, please fill out the appropriate info		nore than one entit	ty proce the "ADD" button to add a row			
Excess rows can be removed by pressing		note than one enti-	button to add a fow.			
Name of Institution/Company	Grant	inancial Other?	Comments			
FDA	✓		The work was conducted under the Mini-Sentinel contract funded by the FDA.			
Section 3. Relevant financial	activities outside the sub	omitted work.				
Place a check in the appropriate boxes i of compensation) with entities as descri clicking the "Add +" box. You should rep	bed in the instructions. Use of	one line for each en	itity; add as many lines as you need by			
Are there any relevant conflicts of intere	est? Yes ✓ No					
Section 4. Intellectual Proper	ty Patents & Copyright	ts				
Do you have any patents, whether plant	ned, pending or issued, broa	dly relevant to the	work? Yes No			

Toh 2



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Dr. Toh reports grants from FDA, during the conduct of the study; .

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Griffin 1



Section 1.	Identifying Inform	nation			
1. Given Name (Fi Marie	rst Name)	2. Surname (Last Name) Griffin		3. Date 07-March-2016	
4. Are you the cor	responding author?	☐ Yes ✓ No	Corresponding Aut	thor's Name	
Retrospective Co	zed Heart Failure amor		otin, Sitagliptin, and C	Other Antihyperglycemic Drugs: A	
Section 2.	The Week Heeker C	and and an faul Dula	li andi an		
		onsideration for Pub			•
any aspect of the s statistical analysis, Are there any rel If yes, please fill o	ubmitted work (including etc.)? evant conflicts of intere	g but not limited to grants, est? Yes No ormation below. If you h	data monitoring board,	ment, commercial, private foundation, etc.), study design, manuscript preparation, ntity press the "ADD" button to add a ro	
Name of Institut	ion/Company	Grant? Personal N	on-Financial Other	? Comments	
Harvard Pilgrim Healt	th Care, Inc	✓			
	I				
Section 3.	Relevant financial	activities outside the	submitted work.		
of compensation clicking the "Add Are there any rel) with entities as descri	ibed in the instructions. port relationships that w	Use one line for each ere present during t	ancial relationships (regardless of amour entity; add as many lines as you need b the 36 months prior to publication.	
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Griffin 2



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Dr. Griffin reports grants from Harvard Pilgrim Health Care, Inc, during the conduct of the study; .

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Graham 1



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1. Given Name (First Name) David	2. Surname (Last Name Graham	3. Date 08-March-2016
4. Are you the corresponding	author? Yes V No	Corresponding Author's Name Sengwee Toh
5. Manuscript Title Risk of Hospitalized Heart Fa Retrospective Cohort Study		ptin, Sitagliptin, and Other Antihyperglycemic Drugs: A
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Section 2. The Wor	k Under Consideration for Pu	olication
	ork (including but not limited to grants	om a third party (government, commercial, private foundation, etc.) for , data monitoring board, study design, manuscript preparation, o
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IYER 1



Section 1. Identifying In	formation			
1. Given Name (First Name) 2. Surname (Last Name) AARTHI IYER		3. Date 08-March-2016		
4. Are you the corresponding author?	Yes ✓ No	Corresponding Author's Name		
5. Manuscript Title Risk of Hospitalized Heart Failure among New Users of Saxagliptin, Sitagliptin, and Other Antihyperglycemic Drugs: A Retrospective Cohort Study 6. Manuscript Identifying Number (if you know it)				
Section 2. The Work Und	er Consideration for Public	cation		
Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest? Yes No				
Section 3. Relevant finar	icial activities outside the s	ubmitted work.		
of compensation) with entities as of clicking the "Add +" box. You show Are there any relevant conflicts of	described in the instructions. Us ld report relationships that wer	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by e present during the 36 months prior to publication.		
Section 4. Intellectual Pr	operty Patents & Copyric	phts		
Do you have any patents, whether	planned, pending or issued, br	oadly relevant to the work? Yes V No		

IYER 2



Section 5. Polationships not sovered above
Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):
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IYER 3



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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

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Pending: The patent has been filed but not issued **Issued:** The patent has been issued by the agency

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Royalties: Funds are coming in to you or your institution due to your patent

Hamilton 1



Section 1.	Identifying Inform	nation			
1. Given Name (Fi Jack	rst Name)	2. Surname (Last Name) Hamilton	3. Date 09-March-2016		
4. Are you the corresponding author?		Yes ✓ No	Corresponding Author's Name Sengwee Toh		
5. Manuscript Title Risk of Hospitalized Heart Failure among New Users of Saxagliptin, Sitagliptin, and Other Antihyperglycemic Drugs: A Retrospective Cohort Study					
6. Manuscript Ide M15-2568	ntifying Number (if you kr	now it)			
Section 2.	The Work Under C	onsideration for Public	ation		
Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest? Yes Vo					
	ı				
Section 3.	Relevant financial	activities outside the s	ubmitted work.		
of compensation clicking the "Add	n) with entities as descri	bed in the instructions. Us port relationships that wer	ether you have financial relationships (regardless of amount e one line for each entity; add as many lines as you need by e present during the 36 months prior to publication.		
Section 4.	Intellectual Proper	rty Patents & Copyrig	hts		
Do you have any	patents, whether plan	ned, pending or issued, br	oadly relevant to the work? Yes V No		

Hamilton 2



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Section 6. Disclosure Statement
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Mr. Hamilton has nothing to disclose.

Evaluation and Feedback

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Hamilton 3



Instructions

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Royalties: Funds are coming in to you or your institution due to your patent

Pimentel 1



Section 1. Identifying Inform	nation	
1. Given Name (First Name) Madelyn	2. Surname (Last Name) Pimentel	3. Date 14-March-2016
4. Are you the corresponding author?	Yes ✓ No	Corresponding Author's Name Dr. Sengwee Toh
5. Manuscript Title Risk of Hospitalized Heart Failure amon Retrospective Cohort Study	g New Users of Saxagliptin	, Sitagliptin, and Other Antihyperglycemic Drugs: A
6. Manuscript Identifying Number (if you kr M15-2568	now it)	-
Section 2. The Work Under Co	onsideration for Public	ation
	but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,
Section 3. Relevant financial	activities outside the s	ubmitted work.
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Section 4. Intellectual Proper	rty Patents & Copyrig	hts
Do you have any patents, whether plant	ned, pending or issued, bro	oadly relevant to the work? ☐ Yes ✓ No

Pimentel 2



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Royalties: Funds are coming in to you or your institution due to your patent

1

Lendle



Section 1. Identifying Inform	nation		
1. Given Name (First Name) Samuel	2. Surname (Last Name) Lendle		3. Date 21-March-2016
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Sengwee Toh	
5. Manuscript Title Risk of Hospitalized Heart Failure amon Retrospective Cohort Study		n, Sitagliptin, and Oth	er Antihyperglycemic Drugs: A
6. Manuscript Identifying Number (if you kr	iow it)		
Section 2. The Work Under Co	onsideration for Public	cation	
any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of intere If yes, please fill out the appropriate info	y but not limited to grants, da est? Yes No ormation below. If you hav	ta monitoring board, st	ent, commercial, private foundation, etc.) for udy design, manuscript preparation, ity press the "ADD" button to add a row.
Excess rows can be removed by pressing		n-Financial	
Name of Institution/Company	Grant'	upport?	Comments
-DA	✓		Mini-Sentinel project
Section 3. Relevant financial	activities outside the s	submitted work	
Place a check in the appropriate boxes i of compensation) with entities as descri clicking the "Add +" box. You should rep Are there any relevant conflicts of intere	in the table to indicate who ibed in the instructions. Us port relationships that wer	ether you have financ se one line for each er	ntity; add as many lines as you need by
Section 4. Intellectual Proper	rty Patents & Copyric	ghts	
Do you have any patents, whether plan	ned, pending or issued, br	oadly relevant to the	work? Yes Vo

Lendle 2



Section 5. Relationships not sovered above
Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
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Section 6. Disclosure Statement
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Dr. Lendle reports grants from FDA, during the conduct of the study; .

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Royalties: Funds are coming in to you or your institution due to your patent

Balakrishnan 1



Section 1.	ldentifying Inform	ation		
1. Given Name (Fi Suchitra	rst Name)	2. Surname (Last Name) Balakrishnan		3. Date 14-March-2016
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Nam Sengwee Toh	ne
5. Manuscript Title Risk of Hospitalized Heart Failure among New Users of Saxagliptin, Sitagliptin, and Other Antihyperglycemic Drugs: A Retrospective Cohort Study				hyperglycemic Drugs: A
6. Manuscript Idei	ntifying Number (if you kn	ow it)		
			_	
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Section 3.	Relevant financial	activities outside the s	ubmitted work.	
Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to publication . Are there any relevant conflicts of interest? Yes Vo				
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Do you have any	patents, whether plani	ned, pending or issued, br	oadly relevant to the work?	☐ Yes 🗸 No

Balakrishnan 2



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Dr. Balakrishnan has nothing to disclose.

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Balakrishnan 3



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Rucker 1



Section 1. Identifying Inform	nation					
1. Given Name (First Name) Malcolm	2. Surname (Last Name) Rucker	3. Date 14-March-2015				
4. Are you the corresponding author?	✓ Yes No					
Retrospective Cohort Study	Risk of Hospitalized Heart Failure among New Users of Saxagliptin, Sitagliptin, and Other Antihyperglycemic Drugs: A					
6. Manuscript Identifying Number (if you kr M15-2568	now it)					
Section 2. The Work Under Co	onsideration for Publication					
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Section 3. Relevant financial	activities outside the submitted work.					
of compensation) with entities as descri	in the table to indicate whether you have financial relibed in the instructions. Use one line for each entity; port relationships that were present during the 36 r est? Yes No	add as many lines as you need by				
Continue A						
Section 4. Intellectual Proper	rty Patents & Copyrights					
Do you have any patents, whether plan	ned, pending or issued, broadly relevant to the work	.? ☐ Yes ✓ No				

Rucker 2



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Dr. Rucker has nothing to disclose.

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Rucker 3



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Other: Anything not covered under the previous three boxes

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Royalties: Funds are coming in to you or your institution due to your patent

Fireman 1



Section 1. Identifying Infor	mation		
1. Given Name (First Name) Bruce	2. Surname (Last Name) Fireman	3. Date 14-March-2016	
4. Are you the corresponding author? Yes V No		Corresponding Author's Name Sengwee Toh	
5. Manuscript Title Risk of Hospitalized Heart Failure amo	ong New Users of Saxagliptir	n, Sitagliptin, and Other Antihyperglycemic Drugs:	
6. Manuscript Identifying Number (if you M15-2568	know it)		
Section 2. The Work Under	Consideration for Public	cation	
	ng but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,	
Section 3. Relevant financia	al activities outside the s	ubmitted work.	
of compensation) with entities as desc	cribed in the instructions. Us eport relationships that wer	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by se present during the 36 months prior to publication.	
Section 4. Intellectual Prop			
Intellectual Prop	erty Patents & Copyric	hts	
Do you have any patents, whether pla	nned, pending or issued, br	oadly relevant to the work? Yes V No	

Fireman 2



Section 5. Polationships not severed above
Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):
✓ No other relationships/conditions/circumstances that present a potential conflict of interest
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Section 6. Disclosure Statement
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Dr. Fireman has nothing to disclose.

Evaluation and Feedback

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Fireman 3



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Royalties: Funds are coming in to you or your institution due to your patent

Brown 1



Section 1. Identifying Inform	nation		
1. Given Name (First Name) Nancy	2. Surname (Last Name) Brown		3. Date 07-March-2016
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author Sengwee Toh, ScD	or's Name
 Manuscript Title Risk of Hospitalized Heart Failure amon Retrospective Cohort Study 	g New Users of Saxagliptir	n, Sitagliptin, and Oth	er Antihyperglycemic Drugs: A
6. Manuscript Identifying Number (if you kr M15-2568	now it)	_	
Section 2. The Work Under Co	onsideration for Public	ation	
any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of intere	but not limited to grants, da		ent, commercial, private foundation, etc.) for udy design, manuscript preparation,
Section 3. Relevant financial	activities outside the s	ubmitted work.	
Place a check in the appropriate boxes of compensation) with entities as descr clicking the "Add +" box. You should repart there any relevant conflicts of interesting the second conflicts of the sec	ibed in the instructions. Us port relationships that wer est?	e one line for each er	ntity; add as many lines as you need by
Name of Entity	Grant? Personal Fees? S	n-Financial upport?	Comments
Novartis Pharmaceuticals			Consulting and service on an adjudication committee
Section 4. Intellectual Proper	rty Patents & Copyric	jhts	
Do you have any patents, whether plan	ned, pending or issued, br	oadly relevant to the	work? ☐ Yes ✔ No

Brown 2



Section 5. Polationships not severed above				
Relationships not covered above				
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?				
Yes, the following relationships/conditions/circumstances are present (explain below):				
No other relationships/conditions/circumstances that present a potential conflict of interest				
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Section 6. Disclosure Statement				
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Dr. Brown reports personal fees from Novartis Pharmaceuticals, outside the submitted work; .				

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Pucino 1



Section 1.	Identifying Inform	nation	
1. Given Name (Fi Frank	rst Name)	2. Surname (Last Name) Pucino	3. Date 07-March-2016
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Name Sengwee Toh, ScD
5. Manuscript Title Risk of Hospitaliz Retrospective Co	zed Heart Failure amon	g New Users of Saxagliptin	, Sitagliptin, and Other Antihyperglycemic Drugs: A
6. Manuscript Ide M15-2568	ntifying Number (if you kr	now it)	
Section 2.	The Work Under Co	onsideration for Public	ation
any aspect of the s statistical analysis,	submitted work (including	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,
Section 3.	Relevant financial	activities outside the s	ubmitted work.
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Section 4.	Intellectual Proper	rty Patents & Copyrig	hts
Do you have any	patents, whether plan	ned, pending or issued, br	oadly relevant to the work? Yes V No

Pucino 2



Section 5. Relationships not covered above			
Relationships not covered above			
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Royalties: Funds are coming in to you or your institution due to your patent

Reichman 1



Section 1. Identifying Inform	nation			
1. Given Name (First Name) Marsha	2. Surname (Last Name) Reichman	3. Date 08-March-2016		
4. Are you the corresponding author?	Yes ✓ No	Corresponding Author's Name		
 5. Manuscript Title Risk of Hospitalized Heart Failure amon Retrospective Cohort Study" 6. Manuscript Identifying Number (if you kr) M15-2568 		n, Sitagliptin, and Other Antihyperglycemic Drugs: A		
Section 2. The Work Under Co	onsideration for Public	cation		
Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest? Yes Vo				
Section 3. Relevant financial	activities outside the s	ubmitted work.		
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Section 4. Intellectual Proper	rty Patents & Copyrig	jhts		
Do you have any patents, whether plan	ned, pending or issued, br	oadly relevant to the work? Yes V No		

Reichman 2



Section 5. Relationships not covered above			
Relationships not covered above			
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