

ICMJE Form for Disclosure of Potential Conflicts of Interest

Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

1. Identifying information.

2. The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes".

3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

5. Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

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Other: Anything not covered under the previous three boxes

Pending: The patent has been filed but not issued

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Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)
Omu

2. Surname (Last Name)
Anzala

3. Date
02-December-2015

4. Are you the corresponding author? Yes No

5. Manuscript Title
An International Study to Assess the Safety and Immunogenicity of Two Novel Vaccine Platforms for HIV-1 Prevention: A Randomized Trial

6. Manuscript Identifying Number (if you know it)
M15-0880

Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest? Yes No

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Are there any relevant conflicts of interest? Yes No

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes No

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Section 6. Disclosure Statement

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Dr. Anzala has nothing to disclose.

Evaluation and Feedback

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) _____
Lindsey

2. Surname (Last Name) _____
Baden

3. Date _____
22-October-2015

4. Are you the corresponding author? Yes No

5. Manuscript Title
An International Study to Assess the Safety and Immunogenicity of Two Novel Vaccine Platforms for HIV-1 Prevention: A Randomized Trial

6. Manuscript Identifying Number (if you know it)
M15-0880

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Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
Ragon Institute	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	HIV Vaccine Development
NIH/NIAID	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	HIV Vaccine Development

Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were **present during the 36 months prior to publication**.

Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
Ragon Institute	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	HIV Vaccine Development
NIH/NIAID	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	HIV Vaccine Development

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Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
Gates Foundation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	HIV Vaccine Development

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes No

Section 5. Relationships not covered above

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- Yes, the following relationships/conditions/circumstances are present (explain below):
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Dr Baden is involved in HIV vaccine clinical trials conducted in collaboration with the NIH, HIV Vaccine Trials Network (HVTN), International AIDS Vaccine Initiative (IAVI), Crucell/Janssen, Military HIV Research Program (MHRP), Gates Foundation, and the Ragon Institute.

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Section 6. Disclosure Statement

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Dr. Baden reports grants from Ragon Institute and NIH/NIAID during the conduct of the study; grants from Ragon Institute, NIH/NIAID, and Gates Foundation outside the submitted work; and Dr. Baden reports involvement in HIV vaccine clinical trials conducted in collaboration with the NIH, HIV Vaccine Trials Network (HVTN), International AIDS Vaccine Initiative (IAVI), Crucell/Janssen, Military HIV Research Program (MHRP), Gates Foundation, and the Ragon Institute.

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Royalties: Funds are coming in to you or your institution due to your patent

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Section 1. Identifying Information

1. Given Name (First Name)
Dan

2. Surname (Last Name)
Barouch

3. Date
18-October-2015

4. Are you the corresponding author? Yes No
Corresponding Author's Name
Lindsey Baden

5. Manuscript Title
An International Study to Assess the Safety and Immunogenicity of Two Novel Vaccine Platforms for HIV-1 Prevention: A Randomized Trial

6. Manuscript Identifying Number (if you know it)
M15-0880

Section 2. The Work Under Consideration for Publication

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Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
NIH	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Ragon Institute	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

Section 3. Relevant financial activities outside the submitted work.

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Are there any relevant conflicts of interest? Yes No

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Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
NIH	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Gates Foundation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

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Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
DOD/HJF	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Ragon Institute	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Crucell	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Pfizer	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
amfAR	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes No

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

Patent?	Pending?	Issued?	Licensed?	Royalties?	Licensee?	Comments
vaccine vector and antigen patents	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Crucell	I am a co-inventor on vaccine patents licensed to Crucell. I am not eligible to receive pre-market royalties of any kind.

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Dr. Barouch reports grants from NIH, grants from Ragon Institute, during the conduct of the study; grants from NIH, grants from Gates Foundation, grants from DOD/HJF, grants from Ragon Institute, grants from Crucell, grants from Pfizer, grants from amfAR, outside the submitted work; In addition, Dr. Barouch has a patent vaccine vector and antigen patents licensed to Crucell.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Linda-Gail	2. Surname (Last Name) Bekker	3. Date 31-December-2015
4. Are you the corresponding author?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Corresponding Author's Name Lindsay Baden
5. Manuscript Title An International Study to Assess the Safety and Immunogenicity of Two Novel Vaccine Platforms for HIV-1 Prevention: A Randomized Trial.		
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Dr. Bekker has nothing to disclose.

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Royalties: Funds are coming in to you or your institution due to your patent

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Section 1. Identifying Information

1. Given Name (First Name) Yehuda	2. Surname (Last Name) Cohen	3. Date 15-October-2015
4. Are you the corresponding author?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Corresponding Author's Name Lindsey Baden
5. Manuscript Title An International Study to Assess the Safety and Immunogenicity of Two Novel Vaccine Platforms for HIV-1 Prevention: A Randomized Trial		
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Are there any relevant conflicts of interest? Yes No

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Are there any relevant conflicts of interest? Yes No

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes No

ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 5. Relationships not covered above

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Section 6. Disclosure Statement

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Dr. Cohen has nothing to disclose.

Evaluation and Feedback

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Royalties: Funds are coming in to you or your institution due to your patent

ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Josephine	2. Surname (Last Name) Cox	3. Date 23-October-2015
4. Are you the corresponding author?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Corresponding Author's Name Lindsey Baden
5. Manuscript Title An International Study to Assess the Safety and Immunogenicity of Two Novel Vaccine Platforms for HIV-1 Prevention: A Randomized Trial		
6. Manuscript Identifying Number (if you know it) M15-0880		

Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest? Yes No

Section 3. Relevant financial activities outside the submitted work.

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Are there any relevant conflicts of interest? Yes No

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Evaluation and Feedback

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Len	2. Surname (Last Name) Dally	3. Date 15-October-2015
4. Are you the corresponding author?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Corresponding Author's Name Lindsey Baden
5. Manuscript Title An International Study to Assess the Safety and Immunogenicity of Two Novel Vaccine Platforms for HIV-1 Prevention: A Randomized Trial		
6. Manuscript Identifying Number (if you know it) M15-0880		

Section 2. The Work Under Consideration for Publication

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Are there any relevant conflicts of interest? Yes No

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Section 4. Intellectual Property -- Patents & Copyrights

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Dr. Dally has nothing to disclose.

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Other: Anything not covered under the previous three boxes

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Royalties: Funds are coming in to you or your institution due to your patent

ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Raphael

2. Surname (Last Name) Dolin

3. Date 28-October-2015

4. Are you the corresponding author? Yes No Corresponding Author's Name Lindsey Baden

5. Manuscript Title An International Study to Assess the Safety and Immunogenicity of Two Novel Vaccine Platforms for HIV-1 Prevention: A Randomized Trial

6. Manuscript Identifying Number (if you know it) M15-0880

Section 2. The Work Under Consideration for Publication

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Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
Ragon Institute	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
NIAID	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

Section 3. Relevant financial activities outside the submitted work.

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Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
Gates Foundation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Janssen	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes No

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Dr. Dolin reports grants from Ragon Institute, grants from NIAID, during the conduct of the study; grants from Gates Foundation, grants from Janssen, outside the submitted work; .

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Patricia	2. Surname (Last Name) Fast	3. Date 15-October-2015
4. Are you the corresponding author?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Corresponding Author's Name L. Baden
5. Manuscript Title "An International Study to Assess the Safety and Immunogenicity of Two Novel Vaccine Platforms for HIV-1 Prevention: A Randomized Trial."		
6. Manuscript Identifying Number (if you know it) M15-0880		

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IAVI owns the rights for use of Ad35 for prevention of HIV infection.

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Dr. Fast reports and IAVI owns the rights for use of Ad35 for prevention of HIV infection..

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)
Nicole

2. Surname (Last Name)
Frahm

3. Date
15-October-2015

4. Are you the corresponding author? Yes No
Corresponding Author's Name
Lindsey Baden

5. Manuscript Title
An International Study to Assess the Safety and Immunogenicity of Two Novel Vaccine Platforms for HIV-1 Prevention: A Randomized Trial

6. Manuscript Identifying Number (if you know it)
M15-0880

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Are there any relevant conflicts of interest? Yes No

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Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
NIH/NIAD	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

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Dr. Frahm reports grants from NIH/NIAID, during the conduct of the study; .

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The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

1. Identifying information.

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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

5. Relationships not covered above.

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Pending: The patent has been filed but not issued

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Royalties: Funds are coming in to you or your institution due to your patent

ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Jill	2. Surname (Last Name) Gilmour	3. Date 29-October-2015
4. Are you the corresponding author?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Corresponding Author's Name Lindsey Baden
5. Manuscript Title An International Study to Assess the Safety and Immunogenicity of Two Novel Vaccine Platforms for HIV-1 Prevention: A Randomized Trial		
6. Manuscript Identifying Number (if you know it) M15-0880		

Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest? Yes No

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Are there any relevant conflicts of interest? Yes No

Section 4. Intellectual Property -- Patents & Copyrights

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Dr Gilmour has nothing to disclose.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)
Glenda

2. Surname (Last Name)
Gray

3. Date
28-October-2015

4. Are you the corresponding author?

Yes No

Corresponding Author's Name

5. Manuscript Title
An International Study to Assess the Safety and Immunogenicity of Two Novel Vaccine Platforms for HIV-1 Prevention: A Randomized Trial"

6. Manuscript Identifying Number (if you know it)
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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)
Maria Grazia

2. Surname (Last Name)
Pau

3. Date
02-November-2015

4. Are you the corresponding author? Yes No
Corresponding Author's Name
Lindsey Baden

5. Manuscript Title
An International Study to Assess the Safety and Immunogenicity of Two Novel Vaccine Platforms for HIV-1 Prevention: A Randomized Trial

6. Manuscript Identifying Number (if you know it)
M15-0880

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Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
NIH	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	IPCAVD grant

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Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
Crucell-Janssen	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Employee of Crucell-Janssen
Crucell-Janssen	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Stock options and restricted shares

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Patent?	Pending?	Issued?	Licensed?	Royalties?	Licensee?	Comments
	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

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Dr. Pau reports grants from NIH, during the conduct of the study; personal fees from Crucell-Janssen, other from Crucell-Janssen, outside the submitted work; In addition, Dr. Pau has a patent pending.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Nicole	2. Surname (Last Name) Grunenberg	3. Date 28-October-2015
4. Are you the corresponding author? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		Corresponding Author's Name Lindsey Baden, MD
5. Manuscript Title An International Study to Assess the Safety and Immunogenicity of Two Novel Vaccine Platforms for HIV-1 Prevention: A Randomized Trial		
6. Manuscript Identifying Number (if you know it) M15-0880		

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Are there any relevant conflicts of interest? Yes No

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Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
National Institutes of Health, National Institute of Allergy and Infectious Diseases, Division of AIDS	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	

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Sanofi Pasteur	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	provider of vaccine products for other studies
Novartis Vaccines and Diagnostics	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	provider of vaccine products for other studies

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GeoVax	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	provider of vaccine products for other studies
Glaxo-Smith Kline	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	provider of vaccine products for other studies

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Peter	2. Surname (Last Name) Hayes	3. Date 19-October-2015
4. Are you the corresponding author?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Corresponding Author's Name Lindsey Baden
5. Manuscript Title An International Study to Assess the Safety and Immunogenicity of Two Novel Vaccine Platforms for HIV-1 Prevention: A Randomized Trial		
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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

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ICMJJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Craig	2. Surname (Last Name) Innes	3. Date 04-January-2016
4. Are you the corresponding author?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Corresponding Author's Name Lindsey R Baden
5. Manuscript Title An International Study to Assess the Safety and Immunogenicity of Two Novel Vaccine Platforms for HIV-1 Prevention: A Randomized Trial		
6. Manuscript Identifying Number (if you know it) M15-0880		

Section 2. The Work Under Consideration for Publication

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Are there any relevant conflicts of interest? Yes No

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Dr. Innes has nothing to disclose.

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ICMJJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Etienne	2. Surname (Last Name) Karita	3. Date 15-December-2015
4. Are you the corresponding author?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Corresponding Author's Name Lindsey Baden
5. Manuscript Title An International Study to Assess the Safety and Immunogenicity of Two Novel Vaccine Platforms for HIV-1 Prevention: A Randomized Trial		
6. Manuscript Identifying Number (if you know it)		

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Dr. Karita has nothing to disclose.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Fatima

2. Surname (Last Name) Laher

3. Date 15-October-2015

4. Are you the corresponding author? Yes No Corresponding Author's Name L Baden

5. Manuscript Title
An International Study to Assess the Safety and Immunogenicity of Two Novel Vaccine Platforms for HIV-1 Prevention: A Randomized Trial

6. Manuscript Identifying Number (if you know it)
M15-0880

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Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
International AIDS Vaccine Initiative (IAVI), NIAID/NIH, and the Ragon Institute of MGH, MIT, and Harvard in collaboration with Crucell Holland BV (now JNJ).	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

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Are there any relevant conflicts of interest? Yes No

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Dr. Laher reports grants from International AIDS Vaccine Initiative (IAVI), NIAID/NIH, and the Ragon Institute of MGH, MIT, and Harvard in collaboration with Crucell Holland BV (now JNJ), during the conduct of the study; .

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Dagna	2. Surname (Last Name) Laufer	3. Date 19-October-2015
4. Are you the corresponding author?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Corresponding Author's Name Lindsey Baden, MD
5. Manuscript Title An International Study to Assess the Safety and Immunogenicity of Two Novel Vaccine Platforms for HIV-1 Prevention: A Randomized Trial		
6. Manuscript Identifying Number (if you know it) M15-0880		

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Angela	2. Surname (Last Name) Lombardo	3. Date 15-October-2015
4. Are you the corresponding author?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Corresponding Author's Name Dr. Lindsey Baden
5. Manuscript Title An International Study to Assess the Safety and Immunogenicity of Two Novel Vaccine Platforms for HIV-1 Prevention: A Randomized Trial		
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Royalties: Funds are coming in to you or your institution due to your patent

ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)

M. Juliana

2. Surname (Last Name)

McElrath

3. Date

06-November-2015

4. Are you the corresponding author?

Yes No

Corresponding Author's Name

Nicole Grunenberg & Dagna Laufer

5. Manuscript Title

An International Study to Assess the Safety and Immunogenicity of Two Novel Vaccine Platforms for HIV-1 Prevention: A Randomized Trial

6. Manuscript Identifying Number (if you know it)

M15-0880

Section 2. The Work Under Consideration for Publication

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Are there any relevant conflicts of interest? Yes No

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes No

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Section 6. Disclosure Statement

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Dr. McElrath has nothing to disclose.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)
GAUDENSIA

2. Surname (Last Name)
MUTUA

3. Date
16-October-2015

4. Are you the corresponding author? Yes No

5. Manuscript Title
An International Study to Assess the Safety and Immunogenicity of Two Novel Vaccine Platforms for HIV-1 Prevention: A Randomized Trial

6. Manuscript Identifying Number (if you know it)

Section 2. The Work Under Consideration for Publication

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Are there any relevant conflicts of interest? Yes No

Section 4. Intellectual Property -- Patents & Copyrights

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Dr. MUTUA has nothing to disclose.

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Section 1. Identifying Information

1. Given Name (First Name) JULIEN 2. Surname (Last Name) NYOMBAMIRE MUTAGISHA 3. Date 02/01/2016
4. Are you the corresponding author? Yes No
5. Manuscript Title An International Study to assess the Safety and Immunogenicity of two Novel Vaccine Platforms for HIV-1 Prevention: A Randomized Trial.
6. Manuscript Identifying Number (if you know it)
MA5-0880

Section 2. The Work Under Consideration for Publication

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)
Liesl

2. Surname (Last Name)
Page-Shipp

3. Date
16-October-2015

4. Are you the corresponding author?

Yes No

Corresponding Author's Name
Lindsey Baden

5. Manuscript Title
An International Study to Assess the Safety and Immunogenicity of Two Novel Vaccine Platforms for HIV-1 Prevention: A Randomized Trial

6. Manuscript Identifying Number (if you know it)

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)

Lauren

2. Surname (Last Name)

Peter

3. Date

21-December-2015

4. Are you the corresponding author?

Yes No

5. Manuscript Title

An International Study to Assess the Safety and Immunogenicity of Two Novel Vaccine Platforms for HIV-1 Prevention: A Randomized Trial

6. Manuscript Identifying Number (if you know it)

M15-0880

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Dr. Peter has nothing to disclose.

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Surita

2. Surname (Last Name)

Roux

3. Date

16-October-2015

4. Are you the corresponding author?

Yes No

5. Manuscript Title

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Dr. Roux has nothing to disclose.

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Royalties: Funds are coming in to you or your institution due to your patent

ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)
Jerald

2. Surname (Last Name)
Sadoff

3. Date
01-December-2015

4. Are you the corresponding author? Yes No
Corresponding Author's Name
Lindsey Bayden

5. Manuscript Title
An International Study to Assess the Safety and Immunogenicity of Two Novel Vaccine Platforms for HIV-1 Prevention: A Randomized Trial"

6. Manuscript Identifying Number (if you know it)
M15-080

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Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
CruceCell Holland B.V. Janssen Pharmaceutical Companies of Johnson & Johnson	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Manufacturing contract from an NIH Grantee

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Are there any relevant conflicts of interest? Yes No

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes No

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Dr. Sadoff reports other from null, during the conduct of the study; .

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Michael	2. Surname (Last Name) Seaman	3. Date 15-October-2015
4. Are you the corresponding author? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		Corresponding Author's Name _____
5. Manuscript Title An International Study to Assess the Safety and Immunogenicity of Two Novel Vaccine Platforms for HIV-1 Prevention: A Randomized Trial		
6. Manuscript Identifying Number (if you know it) M15-0880		

Section 2. The Work Under Consideration for Publication

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Are there any relevant conflicts of interest? Yes No

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Are there any relevant conflicts of interest? Yes No

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes No

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Dr. Seaman has nothing to disclose.

Evaluation and Feedback

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Edith	2. Surname (Last Name) Swann	3. Date 01-December-2015
4. Are you the corresponding author?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Corresponding Author's Name Dr. Lindsey Baden
5. Manuscript Title An International Study to Assess the Safety and Immunogenicity of Two Novel Vaccine Platforms for HIV-1 Prevention: A Randomized Trial"		
6. Manuscript Identifying Number (if you know it) M15-0880		

Section 2. The Work Under Consideration for Publication

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) _____ Stephen

2. Surname (Last Name) _____ Walsh

3. Date _____ 15-October-2015

4. Are you the corresponding author? Yes No

Corresponding Author's Name _____ Lindsey R. Baden

5. Manuscript Title
An International Study to Assess the Safety and Immunogenicity of Two Novel Vaccine Platforms for HIV-1 Prevention: A Randomized Trial

6. Manuscript Identifying Number (if you know it)
M15-0880

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Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
NIH/NIAID	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

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Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
Crucell/Janssen	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 4. Intellectual Property -- Patents & Copyrights

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)
Mo

2. Surname (Last Name)
Weijtens

3. Date
21-October-2015

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Corresponding Author's Name
Baden

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NIH/NIAID	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	IPCAVD grant

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Are there any relevant conflicts of interest? Yes No

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Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
Janssen	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	employee of Janssen
Janssen	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Stock options and restricted shares

ICMJE Form for Disclosure of Potential Conflicts of Interest

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