

#### **Instructions**

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

## Identifying information.

## 2. The work under consideration for publication.

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#### 3. Relevant financial activities outside the submitted work.

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This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

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**Royalties:** Funds are coming in to you or your institution due to your patent

Anzala 1



Section 1. Identifying Inform	nation	
1. Given Name (First Name) Omu	2. Surname (Last Name) Anzala	3. Date 02-December-2015
4. Are you the corresponding author?	✓ Yes No	
5. Manuscript Title An International Study to Assess the Sa Randomized Trial	fety and Immunogenicity of Two Novel Vaccine Platf	orms for HIV-1 Prevention: A
6. Manuscript Identifying Number (if you kr M15-0880	now it)	
Section 2. The Work Under C	onsideration for Publication	
	vive payment or services from a third party (government, congress) g but not limited to grants, data monitoring board, study doest?	
Section 3. Relevant financial	activities outside the submitted work.	
of compensation) with entities as descr	in the table to indicate whether you have financial re ibed in the instructions. Use one line for each entity; port relationships that were <b>present during the 36 r</b> est?	add as many lines as you need by
Section 4. Intellectual Proper		
Intellectual Prope	rty Patents & Copyrights	
Do you have any patents, whether plan	ned, pending or issued, broadly relevant to the work	? ☐ Yes ✓ No

Anzala 2



Section 5. Relationships not covered above
Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):
✓ No other relationships/conditions/circumstances that present a potential conflict of interest
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements On occasion, journals may ask authors to disclose further information about reported relationships.
Section 6. Disclosure Statement
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.
Dr. Anzala has nothing to disclose.

### **Evaluation and Feedback**

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**Royalties:** Funds are coming in to you or your institution due to your patent



Continue				
Section 1. Identifying Inform	ation			
Given Name (First Name)     Lindsey	2. Surname (Last N Baden	ame)		3. Date 22-October-2015
4. Are you the corresponding author?	<b>√</b> Yes No			
5. Manuscript Title An International Study to Assess the Safe Randomized Trial	ety and Immunoge	enicity of Two Nove	el Vaccine F	Platforms for HIV-1 Prevention: A
6. Manuscript Identifying Number (if you known M15-0880	ow it)			
Section 2. The Work Under Co	onsideration for	Publication		
Did you or your institution <b>at any time</b> received any aspect of the submitted work (including statistical analysis, etc.)?				
Are there any relevant conflicts of intere		No		
If yes, please fill out the appropriate info Excess rows can be removed by pressing	•	ou have more than	n one entity	y press the "ADD" button to add a row.
Name of Institution/Company	Grant? Persona Fees?	Non-Financial Support?	Other?	Comments
Ragon Institute	<b>V</b>			HIV Vaccine Development
NIH/NIAID	<b>✓</b>			HIV Vaccine Development
Section 3. Relevant financial a	activities outsid	e the submitted	work.	
Place a check in the appropriate boxes ir of compensation) with entities as descril clicking the "Add +" box. You should rep	bed in the instructi	ons. Use one line f	or each ent	tity; add as many lines as you need by
Are there any relevant conflicts of intere	est? 🗸 Yes	No		
If yes, please fill out the appropriate info	rmation below.			
Name of Entity	Grant? Persona	Non-Financial	Other?	Comments
Ragon Institute	√	- Julipont		HIV Vaccine Development
NIH/NIAID				HIV Vaccine Development



Name of Entity Gr	rant? Personal N	Ion-Financial Support	her? Comments	
Gates Foundation	<b>✓</b>		HIV Vaccine Devel	opment
Section 4. Intellectual Property				
Intellectual Property -	- Patents & Copy	rights		
Do you have any patents, whether planned,	pending or issued,	broadly relevant t	o the work? Yes	<b>√</b> No
Section 5. Relationships not cover	ered above			
Are there other relationships or activities the potentially influencing, what you wrote in the	-		ienced, or that give the	e appearance of
✓ Yes, the following relationships/condition	ns/circumstances a	re present (explaii	n below):	
No other relationships/conditions/circur	nstances that prese	nt a potential con	flict of interest	
Dr Baden is involved in HIV vaccine clinical t International AIDS Vaccine Initiative (IAVI), C the Ragon Institute.				
At the time of manuscript acceptance, journ On occasion, journals may ask authors to dis				r disclosure statements.
Section 6. Disclosure Statement				
Based on the above disclosures, this form wi below.	ill automatically ger	nerate a disclosure	statement, which will	appear in the box
Dr. Baden reports grants from Ragon Institu NIH/NIAID, and Gates Foundation outside the trials conducted in collaboration with the N (IAVI), Crucell/Janssen, Military HIV Research	he submitted work; IIH, HIV Vaccine Tria	and Dr. Baden repils Network (HVTN)	oorts involvement in H , International AIDS Va	IIV vaccine clinical accine Initiative



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**Licensed:** The patent has been licensed to an entity, whether earning royalties or not

**Royalties:** Funds are coming in to you or your institution due to your patent



Section 1. Identifying Information	ation		
1. Given Name (First Name)  Dan	2. Surname (Last Name) Barouch		3. Date 18-October-2015
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Autho	or's Name
5. Manuscript Title An International Study to Assess the Safe Randomized Trial	ety and Immunogenicity (	of Two Novel Vaccine	Platforms for HIV-1 Prevention: A
6. Manuscript Identifying Number (if you known M15-0880	ow it)	_	
Section 2. The Work Under Co	nsideration for Public	ation	
Did you or your institution <b>at any time</b> received any aspect of the submitted work (including statistical analysis, etc.)?			
Are there any relevant conflicts of interes	st? 🗸 Yes 🗌 No		
If yes, please fill out the appropriate info Excess rows can be removed by pressing	•	e more than one enti	ty press the "ADD" button to add a row.
Name of Institution/Company	Grant'	n-Financial other?	Comments
NIH	<b>✓</b>		
Ragon Institute	✓		
Section 3. Relevant financial a	ctivities outside the s	ubmitted work.	
Place a check in the appropriate boxes ir of compensation) with entities as described clicking the "Add +" box. You should rep	oed in the instructions. Us	e one line for each en	itity; add as many lines as you need by
Are there any relevant conflicts of interes	st? 🗸 Yes No		
If yes, please fill out the appropriate info	rmation below.		
	3 Downson N	Financial	
Name of Entity	Grant	n-Financial other?	Comments
NIH	<b>✓</b>		
Gates Foundation	<b>✓</b>		



Name of Entity	Gr	ant•	ersonal Fees	Non-Financial Support?	Other?	Comments	
DOD/HJF		<b>√</b>					
Ragon Institute		<b>√</b>					
Crucell		<b>√</b>					
Pfizer		✓					
amfAR		✓					
Section 4. Intellectual  Do you have any patents, wheth			•		nt to the	work? ✓ Yes No	
, , , , , , , , , , , , , , , , , , , ,	iate informa	tion belo	w. If you	•		ty press the "ADD" button to add a	row.
Patent <mark>?</mark>	Pending?	Issued	License	Royalties?	License	e? Comments	
vaccine vector and antigen patents	<b>V</b>		<b>√</b>		Crucell	I am a co-inventor on vaccine patents licensed to Crucell. I am not eligible to receive pre-market royalties of any kind.	
Section 5. Relationshi	ps not cove	ered ab	ove				
Are there other relationships or potentially influencing, what yo					influence	d, or that give the appearance of	
Yes, the following relationsh  No other relationships/cond							
At the time of manuscript accep On occasion, journals may ask a						sary, update their disclosure statem elationships.	nents.

# Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Barouch reports grants from NIH, grants from Ragon Institute, during the conduct of the study; grants from NIH, grants from Gates Foundation, grants from DOD/HJF, grants from Ragon Institute, grants from Crucell, grants from Pfizer, grants from amfAR, outside the submitted work; In addition, Dr. Barouch has a patent vaccine vector and antigen patents licensed to Crucell.

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Bekker 1



Section 1.	Identifying Inform	nation	
1. Given Name (Fi Linda-Gail	rst Name)	2. Surname (Last Name) Bekker	3. Date 31-December-2015
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Name Lindsay Baden
5. Manuscript Title An International Randomized Tria	Study to Assess the Sa	fety and Immunogenicity (	of Two Novel Vaccine Platforms for HIV-1 Prevention: A
6. Manuscript Ide	ntifying Number (if you kr	now it)	
			-
Section 2.	The Work Under Co	onsideration for Public	ation
any aspect of the s statistical analysis,	submitted work (including	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,
Section 3.	Relevant financial	activities outside the s	ubmitted work.
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Do you have any		, , , ,	oadly relevant to the work? Yes V No

Bekker 2



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Cohen 1



Section 1. Identifying Inform	nation	
1. Given Name (First Name) Yehuda	2. Surname (Last Name) Cohen	3. Date 15-October-2015
4. Are you the corresponding author?	Yes 🗸 No	Corresponding Author's Name Lindsey Baden
5. Manuscript Title An International Study to Assess the Sa Randomized Trial	fety and Immunogenicity	of Two Novel Vaccine Platforms for HIV-1 Prevention: A
6. Manuscript Identifying Number (if you kr M15-0880	now it)	_
Section 2. The Work Under C		
Did you or your institution <b>at any time</b> rece any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of intere	but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation,
Section 3. Relevant financial	activities outside the	submitted work.
of compensation) with entities as descri	ibed in the instructions. Use port relationships that we	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re <b>present during the 36 months prior to publication</b> .
Section 4. Intellectual Property		
Intellectual Proper	rty Patents & Copyri	ghts
Do you have any patents, whether plan	ned, pending or issued, bı	roadly relevant to the work? Yes V No

Cohen 2



Section 5. Polationships not sovered above	
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**Royalties:** Funds are coming in to you or your institution due to your patent

Cox 1



Section 1.	Identifying Inform	nation	
1. Given Name (Fi Josephine	rst Name)	2. Surname (Last Name) Cox	3. Date 23-October-2015
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Name Lindsey Baden
5. Manuscript Title An International Randomized Tria	Study to Assess the Sa	fety and Immunogenicity (	of Two Novel Vaccine Platforms for HIV-1 Prevention: A
6. Manuscript Ide M15-0880	ntifying Number (if you kr	now it)	
Section 2.	The Work Under Co	onsideration for Public	ation
any aspect of the s statistical analysis,	submitted work (including	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,
Section 3.	Delevent fine maiel		ub wister d week
Place a check in a of compensation clicking the "Add	the appropriate boxes i n) with entities as descri	ibed in the instructions. Us port relationships that wer	ether you have financial relationships (regardless of amount e one line for each entity; add as many lines as you need by e present during the 36 months prior to publication.
Section 4.	Intellectual Proper	rty Patents & Copyrig	hts
Do you have any	patents, whether plan	ned, pending or issued, br	oadly relevant to the work? Yes V No

Cox 2



Section 5. Relationships not covered above
Relationships not covered above
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Yes, the following relationships/conditions/circumstances are present (explain below):
✓ No other relationships/conditions/circumstances that present a potential conflict of interest
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements On occasion, journals may ask authors to disclose further information about reported relationships.
Section 6. Disclosure Statement
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.
Dr. Cox has nothing to disclose.

### **Evaluation and Feedback**

Please visit <a href="http://www.icmje.org/cgi-bin/feedback">http://www.icmje.org/cgi-bin/feedback</a> to provide feedback on your experience with completing this form.

Cox 3



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Dally 1



Section 1.	Identifying Inform	ation				
1. Given Name (Fire	st Name)	2. Surname (Last Name) Dally	3. Date 15-October-2015			
4. Are you the corre			Corresponding Author's Name Lindsey Baden			
5. Manuscript Title An International S Randomized Trial	An International Study to Assess the Safety and Immunogenicity of Two Novel Vaccine Platforms for HIV-1 Prevention: A					
6. Manuscript Iden M15-0880	tifying Number (if you kn	ow it)				
Section 2.	The Work Under Co	onsideration for Public	cation			
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Do you have any	patents, whether plan	ned, pending or issued, br	oadly relevant to the work? ☐ Yes ✓ No			

Dally 2



Section 5.	Relationships not covered above				
	Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?				
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✓ No other rela	tionships/conditions/circumstances that present a potential conflict of interest				
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Dolin 1



1. Given Name (First Name) 2. Surname (Last Name) 3. Date 28-October-2015  4. Are you the corresponding author?  Yes No Corresponding Author's Name Lindsey Baden  5. Manuscript Title An International Study to Assess the Safety and Immunogenicity of Two Novel Vaccine Platforms for HIV-1 Prevention: A Randomized Trial 6. Manuscript Identifying Number (if you know it)  M15-0880  Section 2. The Work Under Consideration for Publication  Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?  Are there any relevant conflicts of interest? Yes No  If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row Excess rows can be removed by pressing the "X button.  Name of Institution/Company Grant? Personal Fees? Support?  Section 3. Relevant financial activities outside the submitted work.  Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to publication.  Are there any relevant conflicts of interest? Yes Non-Financial Comments  Section 3. Non-Financial Sudy to the Personal Press Non-Financial Support?  Other? Comments  Section 4. Are X- box. You should report relationships that were present during the 36 months prior to publication.  Are there any relevant conflicts of interest? Yes Non-Financial Support?  Other? Comments  Support?  Other? Comments  Support?  Other? Comments  Support?  Other? Comments	Section 1. Identifying Inform	ation				
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Name of Entity  Grant? Personal Fees? Non-Financial Support? Comments  Gates Foundation	Are there any relevant conflicts of intere	st? ✓ Yes No				
Gates Foundation  Grant  Fees  Support  Confinents  Confinents	If yes, please fill out the appropriate info	rmation below.				
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	Gates Foundation					
	Janssen					

Dolin 2



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Fast 1



Section 1.	Identifying Inform	nation				
1. Given Name (Fi	rst Name)	2. Surname (Last Name) Fast		3. Date 15-October-2015		
4. Are you the cor	Are you the corresponding author? Yes V		Corresponding Author's Nam L. Baden	ne		
5. Manuscript Title "An International Study to Assess the Safety and Immunogenicity of Two Novel Vaccine Platforms for HIV-1 Prevention: A Randomized Trial." 6. Manuscript Identifying Number (if you know it) M15-0880						
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		onsideration for Public		nmercial, private foundation, etc.) for		
	ubmitted work (including		ita monitoring board, study desi			
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Fast 2



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Frahm 1



Section 1.	Identifying Inform	ation			
Given Name (First Name)  Nicole		2. Surname (Last Name) 3. Date Frahm 15-Octo		3. Date 15-October-2015	
4. Are you the corresponding author?		☐ Yes ✓ No	Corresponding Auth Lindsey Baden	nor's Name	
5. Manuscript Title An International Randomized Tria	Study to Assess the Saf	fety and Immunogenici	ty of Two Novel Vaccin	e Platforms for HIV-1 Prevention:	Α
6. Manuscript Ider M15-0880	ntifying Number (if you kn	now it)			
	l				
Section 2.	The Work Under Co	onsideration for Pul	olication		
any aspect of the s statistical analysis, Are there any rela If yes, please fill c	ubmitted work (including etc.)? evant conflicts of intere	but not limited to grants est? Yes No ormation below. If you I	, data monitoring board, s	nent, commercial, private foundation study design, manuscript preparation tity press the "ADD" button to add	٦,
Name of Institut	ion/Company	Grant? Personal Fees?	Non-Financial Other	Comments	
NIH/NIAID		<b>V</b>			
Continu 2					
Section 3.	Relevant financial	activities outside th	e submitted work.		
of compensation clicking the "Add Are there any rel	) with entities as descri	bed in the instructions port relationships that v	Use one line for each evere <b>present during th</b>	ncial relationships (regardless of a entity; add as many lines as you no ne <b>36 months prior to publicatio</b>	eed by
Section 4.	Intellectual Proper	ty Patents & Copy	rights		
Do you have any	patents, whether planr	ned, pending or issued	broadly relevant to the	e work? Yes V No	

Frahm 2



Section 5. Relationships not sovered above
Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):
✓ No other relationships/conditions/circumstances that present a potential conflict of interest
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Section 6. Disclosure Statement
Disclosure Statement
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Dr. Frahm reports grants from NIH/NIAID, during the conduct of the study; .

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Gilmour 1



Section 1. Identifying Inform	nation		
1. Given Name (First Name) Jill	2. Surname (Last Name) Gilmour	3. Date 29-October-2015	
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Lindsey Baden	
5. Manuscript Title An International Study to Assess the Sa Randomized Trial	fety and Immunogenicity (	of Two Novel Vaccine Platforms for HIV-1 Prevention: A	
6. Manuscript Identifying Number (if you kr M15-0880	now it)	-	
Sortion 2			
Section 2. The Work Under Co	onsideration for Public	ation	
	g but not limited to grants, da	a third party (government, commercial, private foundation, e ta monitoring board, study design, manuscript preparation,	tc.) for
Section 3. Relevant financial	activities outside the s	ubunista duu ul	
Relevant financial	activities outside the s	upmitted work.	
of compensation) with entities as descri	ibed in the instructions. Us port relationships that wer	ether you have financial relationships (regardless of am le one line for each entity; add as many lines as you nee e <b>present during the 36 months prior to publication</b>	d by
Section 4. Intellectual Proper	rty Patents & Copyrig	hts	
Do you have any patents, whether plan	ned, pending or issued, br	oadly relevant to the work? Yes V No	

Gilmour 2



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Dr Gilmour has nothing to disclose.

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Gray 1



Section 1.	Identifying Inform	nation		
1. Given Name (Fi	rst Name)	2. Surname (Last Name) Gray		3. Date 28-October-2015
4. Are you the cor	responding author?	☐ Yes ✓ No	Corresponding Author's Na	me
5. Manuscript Title An International Randomized Tria	Study to Assess the Sat	fety and Immunogenicity	of Two Novel Vaccine Platfo	orms for HIV-1 Prevention: A
6. Manuscript Ider M15-0880	ntifying Number (if you kr	now it)		
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Section 2.	The Work Under Co	onsideration for Publi	ication	
any aspect of the s statistical analysis,	ubmitted work (including	g but not limited to grants, d	n a third party (government, co ata monitoring board, study de	mmercial, private foundation, etc.) for esign, manuscript preparation,
Section 3.	Relevant financial	activities outside the	submitted work.	
of compensation clicking the "Add	) with entities as descri	ibed in the instructions. Uport relations hips that we	lse one line for each entity; a	lationships (regardless of amount add as many lines as you need by nonths prior to publication.
Soction 4				
Section 4.	Intellectual Proper	rty Patents & Copyri	ghts	
Do you have any	patents, whether plan	ned, pending or issued, b	roadly relevant to the work?	? ☐ Yes ✓ No

Gray 2



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Pau 1



Section 1. Identifying Inform	ation		
identifying inform	ation		
Given Name (First Name)     Maria Grazia	2. Surname (Last Name) Pau		3. Date 02-November-2015
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's I Lindsey Baden	Name
5. Manuscript Title An International Study to Assess the Saf Randomized Trial	ety and Immunogenicity c	of Two Novel Vaccine Pla	tforms for HIV-1 Prevention: A
6. Manuscript Identifying Number (if you kn M15-0880	ow it)		
Section 2. The Work Under Co	onsideration for Public	ation	
Did you or your institution <b>at any time</b> recei any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of intereing If yes, please fill out the appropriate info Excess rows can be removed by pressing	but not limited to grants, dat st?  Yes  No rmation below. If you have	ta monitoring board, study	design, manuscript preparation,
Name of Institution/Company	Grant	-Financial Other? C	omments
NIH			AVD grant
Section 3. Relevant financial	activities outside the s	ubmitted work.	
Place a check in the appropriate boxes in of compensation) with entities as descri clicking the "Add +" box. You should rep Are there any relevant conflicts of intere	bed in the instructions. Us port relationships that were	e one line for each entity	y; add as many lines as you need by
If yes, please fill out the appropriate info			
Name of Entity	Grant	-Financial other? C	omments
Crucell-Janssen		Em	ployee of Crucell-Janssen
Crucell-Janssen		<b>✓</b> Sto	ock options and restricted shares

Pau 2



Section 4. Intellec	tual Property Paten	ts & Copyrights			
Do you have any patents, we lif yes, please fill out the app Excess rows can be remove	propriate information bel	ow. If you have more tha		Yes No S the "ADD" button to add	a row.
Patent?	Pending? Issued	<b>?</b> Licensed <b>?</b> Royalties <b>?</b>	Licensee?	Comments	
	<b>✓</b>				
Section 5. Relatio	nships not covered ab	oove			
Are there other relationshipotentially influencing, wh	•	•	influenced, or th	at give the appearance of	
Yes, the following relat	ionships/conditions/circu	mstances are present (ex	xplain below):		
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Based on the above disclost below.	sures, this form will autom	natically generate a disclo	osure statement, (	which will appear in the bo	X
Dr. Pau reports grants fron Janssen, outside the subn				Janssen, other from Crucell	-

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1. Given Name (First Name) Nicole	2. Surname (Last Name) Grunenberg		3. Date 28-October-2015
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Auth Lindsey Baden, MD	
5. Manuscript Title An International Study to Assess the S Randomized Trial	afety and Immunogenicity (	of Two Novel Vaccine	e Platforms for HIV-1 Prevention: A
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If yes, please fill out the appropriate in Excess rows can be removed by pressi	•	e more than one ent	ity press the "ADD" button to add a row.
Name of Institution/Company	Grant	n-Financial other?	Comments
National Institutes of Health, National Institu of Allergy and Infectious Diseases, Division o AIDS		<b>✓</b>	
Section 3. Relevant financia	l activities outside the s	submitted work.	
	cribed in the instructions. Us eport relationships that wer	se one line for each e	cial relationships (regardless of amount ntity; add as many lines as you need by e 36 months prior to publication.
If yes, please fill out the appropriate in			
Name of Entity	Grant•	n-Financial other	Comments
Sanofi Pasteur		<b>/</b>	provider of vaccine products for other studies
Novartis Vaccines and Diagnostics			provider of vaccine products for



Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
GeoVax			<b>✓</b>		provider of vaccine products for other studies
Glaxo-Smith Kline			<b>✓</b>		provider of vaccine products for other studies
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Dr. Grunenberg reports grants and non- Infectious Diseases, Division of AIDS, du financial support from Novartis Vaccines Glaxo-Smith Kline, outside the submitte	ring the o	conduct of gnostics, no	the study; non-fir	nancial su	pport from Sanofi Pasteur, non-



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# 4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

# Relationships not covered above.

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Other: Anything not covered under the previous three boxes

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**Issued:** The patent has been issued by the agency

**Licensed:** The patent has been licensed to an entity, whether earning royalties or not

**Royalties:** Funds are coming in to you or your institution due to your patent

Hayes 1



Section 1.	Identifying Inform	ation	
1. Given Name (Fir Peter	st Name)	2. Surname (Last Name) Hayes	3. Date 19-October-2015
4. Are you the corr	responding author?	Yes ✓ No	Corresponding Author's Name Lindsey Baden
5. Manuscript Title An International Randomized Tria	Study to Assess the Saf	fety and Immunogenicity	of Two Novel Vaccine Platforms for HIV-1 Prevention: A
6. Manuscript Ider M15-0880	ntifying Number (if you kn	now it)	
Section 2.	The Work Under Co	onsideration for Publi	cation
any aspect of the si statistical analysis,	ubmitted work (including	but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation,
Section 3.	Relevant financial	activities outside the :	submitted work.
of compensation clicking the "Add	he appropriate boxes i ) with entities as descri	n the table to indicate wh bed in the instructions. Us port relationships that we	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re present during the 36 months prior to publication.
Section 4.	Intellectual Proper	ty Patents & Copyri	ghts
Do you have any	•		roadly relevant to the work? Yes V No

Hayes 2



Section 5. Relationships not covered above
Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):
✓ No other relationships/conditions/circumstances that present a potential conflict of interest
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements On occasion, journals may ask authors to disclose further information about reported relationships.
Section 6. Disclosure Statement
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.
Dr. Hayes has nothing to disclose.

## **Evaluation and Feedback**

Please visit <a href="http://www.icmje.org/cgi-bin/feedback">http://www.icmje.org/cgi-bin/feedback</a> to provide feedback on your experience with completing this form.

Hayes 3



#### **Instructions**

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Innes 1



Section 1.	Identifying Inform	nation		
1. Given Name (Fi	rst Name)	2. Surname (Last Name) Innes		Date -January-2016
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Name Lindsey R Baden	
5. Manuscript Title An International Randomized Tria	Study to Assess the Saf	fety and Immunogenicity	of Two Novel Vaccine Platforms	s for HIV-1 Prevention: A
6. Manuscript Ider M15-0880	ntifying Number (if you kr	now it)		
Section 2.	The Work Under Co	onsideration for Public	cation	
any aspect of the s statistical analysis,	ubmitted work (including	but not limited to grants, da	a third party (government, comme ta monitoring board, study design	ercial, private foundation, etc.) for n, manuscript preparation,
Section 3.	Relevant financial	activities outside the s	submitted work.	
of compensation clicking the "Add	n) with entities as descri	ibed in the instructions. Us port relationships that wer	ether you have financial relatio se one line for each entity; add se <b>present during the 36 mon</b>	as many lines as you need by
Section 4.	Intellectual Proper	rty Patents & Copyrig	ahts	
Do you have any			oadly relevant to the work?	Yes ✓ No

Innes 2



Section 5.	
Section 5.	Relationships not covered above
	relationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?
Yes, the follo	wing relationships/conditions/circumstances are present (explain below):
✓ No other rela	ationships/conditions/circumstances that present a potential conflict of interest
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Section 6.	Disclosure Statement
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Dr. Innes has no	thing to disclose.

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Innes 3



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Karita 1



Section 1.	Identifying Inform	nation	
1. Given Name (Fi Etienne	rst Name)	2. Surname (Last Name) Karita	3. Date 15-December-2015
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Name Lindsey Baden
5. Manuscript Title An International Randomized Tria	Study to Assess the Sa	fety and Immunogenicity (	of Two Novel Vaccine Platforms for HIV-1 Prevention: A
6. Manuscript Ide	ntifying Number (if you kr	now it)	
			-
Section 2.	The Work Under Co	onsideration for Public	cation
any aspect of the s statistical analysis,	submitted work (including	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,
Section 3.	Relevant financial	activities outside the s	ubmitted work.
of compensation clicking the "Add	the appropriate boxes i n) with entities as descri	in the table to indicate who ibed in the instructions. Us port relationships that wer	ether you have financial relationships (regardless of amount e one line for each entity; add as many lines as you need by e <b>present during the 36 months prior to publication</b> .
Section 4.	Intellectual Proper	rty Patents & Copyrig	ıhts
Do you have any	patents, whether plan	ned, pending or issued, br	oadly relevant to the work? Yes No

Karita 2



Section 5.	
	Relationships not covered above
	relationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?
Yes, the follo	wing relationships/conditions/circumstances are present (explain below):
✓ No other rela	tionships/conditions/circumstances that present a potential conflict of interest
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Continue	
Section 6.	Disclosure Statement
Based on the abo	ove disclosures, this form will automatically generate a disclosure statement, which will appear in the box
Dr. Karita has no	othing to disclose.

## **Evaluation and Feedback**

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Karita 3



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**Royalties:** Funds are coming in to you or your institution due to your patent

Laher 1



Section 1. Identifying Infor	mation		
1. Given Name (First Name) Fatima	2. Surname (Last Name) Laher		3. Date 15-October-2015
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Autho	or's Name
5. Manuscript Title An International Study to Assess the S Randomized Trial	afety and Immunogenicity (	of Two Novel Vaccine	Platforms for HIV-1 Prevention: A
6. Manuscript Identifying Number (if you M15-0880	know it)	_	
Section 2. The Work Under	Consideration for Public	ation	
any aspect of the submitted work (including statistical analysis, etc.)?	ng but not limited to grants, da		ent, commercial, private foundation, etc.) for udy design, manuscript preparation,
Are there any relevant conflicts of inte		e more than one enti	ty press the "ADD" button to add a row.
Excess rows can be removed by pressi		e more than one enti	ty press the ABB Button to add a row.
Name of Institution/Company	Grant	n-Financial other?	Comments
nternational AIDS Vaccine Initiative (IAVI), NIAID/NIH, and the Ragon Institute of MGH, MIT, and Harvard in collaboration with Cruce Holland BV (now JNJ).			
Section 3. Relevant financia	l activities outside the s	ubmitted work.	
	cribed in the instructions. Us	e one line for each en	ial relationships (regardless of amount tity; add as many lines as you need by a <b>36 months prior to publication</b> .
Are there any relevant conflicts of inte	rest? Yes ✓ No		
Section 4. Intellectual Prop	erty Patents & Copyrig	ıhts	
Do you have any patents, whether pla			work? Yes No

Laher 2



Section 5.	
Section 5.	Relationships not covered above
	elationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?
Yes, the follo	wing relationships/conditions/circumstances are present (explain below):
✓ No other rela	tionships/conditions/circumstances that present a potential conflict of interest
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Section 6.	Disclosure Statement
Based on the abo	ove disclosures, this form will automatically generate a disclosure statement, which will appear in the box
	s grants from International AIDS Vaccine Initiative (IAVI), NIAID/NIH, and the Ragon Institute of MGH, MIT, collaboration with Crucell Holland BV (now JNJ)., during the conduct of the study; .

## **Evaluation and Feedback**

Please visit <a href="http://www.icmje.org/cgi-bin/feedback">http://www.icmje.org/cgi-bin/feedback</a> to provide feedback on your experience with completing this form.

Laher 3



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Laufer 1



Section 1. Identifying Inform	mation	
1. Given Name (First Name) Dagna	2. Surname (Last Name) Laufer	3. Date 19-October-2015
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Lindsey Baden, MD
5. Manuscript Title An International Study to Assess the Sa Randomized Trial	afety and Immunogenicity	of Two Novel Vaccine Platforms for HIV-1 Prevention: A
6. Manuscript Identifying Number (if you k M15-0880	now it)	
Section 2		
Section 2. The Work Under C	Consideration for Public	tation
	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,
Costion 2		
Section 3. Relevant financial	activities outside the s	ubmitted work.
Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were <b>present during the 36 months prior to publication</b> .  Are there any relevant conflicts of interest? Yes Vo		
Section 4. Intellectual Prope	rty Patents & Copyric	yhts
Do you have any patents, whether plan	nned, pending or issued, br	oadly relevant to the work? Yes V No

Laufer 2



Section 5. Relationships not sovered above
Relationships not covered above
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Laufer 3



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Other: Anything not covered under the previous three boxes

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**Issued:** The patent has been issued by the agency

**Licensed:** The patent has been licensed to an entity, whether earning royalties or not

**Royalties:** Funds are coming in to you or your institution due to your patent

Lombardo 1



Section 1. Identifying Inform	nation	
1. Given Name (First Name) Angela	2. Surname (Last Name) Lombardo	3. Date 15-October-2015
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Dr. Lindsey Baden
5. Manuscript Title An International Study to Assess the Sa Randomized Trial	fety and Immunogenicity	of Two Novel Vaccine Platforms for HIV-1 Prevention: A
6. Manuscript Identifying Number (if you k M15-0880	now it)	_
Section 2. The Work Under C	onsideration for Public	cation
	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,
Section 3. Relevant financial	activities outside the s	submitted work.
Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were <b>present during the 36 months prior to publication</b> .  Are there any relevant conflicts of interest? Yes Vo		
C. diam.		
Section 4. Intellectual Prope	rty Patents & Copyric	ghts
Do you have any patents, whether plan	nned, pending or issued, br	oadly relevant to the work? Yes V

Lombardo 2



Section 5. Relationships not severed above
Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
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# 4. Intellectual Property.

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**Royalties:** Funds are coming in to you or your institution due to your patent

McElrath 1



Section 1. Identifying Inform	nation	
1. Given Name (First Name) M. Juliana	2. Surname (Last Name) McElrath	3. Date 06-November-2015
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Nicole Grunenberg & Dagna Laufer
5. Manuscript Title An International Study to Assess the Sa Randomized Trial	fety and Immunogenicity (	of Two Novel Vaccine Platforms for HIV-1 Prevention: A
6. Manuscript Identifying Number (if you kr M15-0880	now it)	-
Section 2. The Work Under C		
The Work Under Co	onsideration for Public	ation
	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,
Section 3. Relevant financial	activities outside the s	ubmitted work
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Section 4. Intellectual Proper	rty Patents & Copyric	hts
Do you have any patents, whether plan		

McElrath 2



Section 5. Polationships not severed above
Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):
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Dr. McElrath has nothing to disclose.

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**Royalties:** Funds are coming in to you or your institution due to your patent

MUTUA 1



Section 1.	Identifying Inform	ation	
1. Given Name (Fir	rst Name)	2. Surname (Last Name) MUTUA	3. Date 16-October-2015
4. Are you the cor	responding author?	Yes No	
Randomized Tria	Study to Assess the Saf	ety and Immunogenicity of Two Novel Vaccine Platfo	orms for HIV-1 Prevention: A
Section 2.			
		onsideration for Publication  ve payment or services from a third party (government, co	ommercial private foundation etc.) for
any aspect of the s statistical analysis,	ubmitted work (including	but not limited to grants, data monitoring board, study do	
	l		
Section 3.	Relevant financial	activities outside the submitted work.	
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Section 4.	Intellectual Proper	ty Patents & Copyrights	
Do you have any	patents, whether plani	ned, pending or issued, broadly relevant to the work	? ☐ Yes ✓ No

MUTUA 2



Section 5. Relationships not severed above
Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
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1. Given Name (First Name)  2. Surname (Last Name)  3. Date  TULIEN  NYOMBAMIRE MUTAGISHA  02 01 2016
4. Are you the corresponding author? Yes No
5. Manuscript Title An International Study to assess the Safety and Immunogenicity of Novel Vaccine Platforms for HV-1 Prevention." A Randomized Trial.
6. Manuscript Identifying Number (if you know it) $M \land 5 - 0 \$ \$ 0$
1.110 0.80
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Did you or your institution <b>at any time</b> receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?  Are there any relevant conflicts of interest? Yes No
Section 3. Relevant financial activities outside the submitted work.
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Section 4. Intellectual Description Detauts & Consumer Section 4.
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes No



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Are there other potentially influ	relationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?
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No other rel	ationships/conditions/circumstances that present a potential conflict of interest
At the time of m On occasion, jou	nanuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements urnals may ask authors to disclose further information about reported relationships.
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Page-Shipp 1



Section 1.	Identifying Inform	nation		
1. Given Name (Fi	rst Name)	2. Surname (Last Name) Page-Shipp	3. Date 16-October-2015	
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Name Lindsey Baden	
5. Manuscript Title An International Randomized Tria	Study to Assess the Sa	fety and Immunogenicity	of Two Novel Vaccine Platforms for HIV-1 Prevention: A	
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Section 4.	Intallantur I Dur	to Detecte 9 Comit	ula a	
		ty Patents & Copyric		
Do you have any	patents, whether plan	ned, pending or issued, br	oadly relevant to the work? Yes 🗸 No	

Page-Shipp 2



Soction F	
Section 5.	elationships not covered above
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Peter 1



Section 1.	Identifying Inform	nation		
1. Given Name (Fii Lauren	rst Name)	2. Surname (Last Name) Peter		3. Date 21-December-2015
4. Are you the cor	responding author?	✓ Yes No		
5. Manuscript Title An International Randomized Tria	Study to Assess the Saf	fety and Immunogenicity o	of Two Novel Vaccine Platfo	orms for HIV-1 Prevention: A
6. Manuscript Ider M15-0880	ntifying Number (if you kr	now it)		
			_	
Section 2.	The Work Under Co	onsideration for Public	ation	
any aspect of the s statistical analysis,	ubmitted work (including	but not limited to grants, da		mmercial, private foundation, etc.) for esign, manuscript preparation,
Section 3.	Relevant financial	activities outside the s	ubmitted work.	
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Section 4.	Intellectual Proper	rty Patents & Copyrig	ıhts	
Do you have any			oadly relevant to the work?	Yes Vo

Peter 2



Section 5.	
Section 5.	Relationships not covered above
	relationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?
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Dr. Peter has no	thing to disclose.

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Peter 3



#### **Instructions**

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# 4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

# Relationships not covered above.

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Other: Anything not covered under the previous three boxes

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**Licensed:** The patent has been licensed to an entity, whether earning royalties or not

**Royalties:** Funds are coming in to you or your institution due to your patent

Roux 1



Section 1.	Identifying Inform	ation				
Given Name (First Name)  Surita		2. Surname (Last Name) Roux		3. Date 16-October-2015		
4. Are you the cor	responding author?	✓ Yes	No			
<ul> <li>5. Manuscript Title</li> <li>An International Study to Assess the Safety and Immunogenicity of Two Novel Vaccine Platforms for HIV-1 Prevention: A Randomized Trial</li> <li>6. Manuscript Identifying Number (if you know it)</li> <li>M15-0880</li> </ul>						
Section 2.	The Work Under Co	onsideration	for Publication			
Did you or your institution <b>at any time</b> receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?  Are there any relevant conflicts of interest?  Yes  Vo						
Section 3.	Relevant financial	activities ou	tside the submitted	d work.		
Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were <b>present during the 36 months prior to publication</b> .  Are there any relevant conflicts of interest? Yes Vo						
Section 4.	Intellectual Proper	ty Pate <u>nts</u>	& Copyrights			
Do you have any	patents, whether plan	<u> </u>	., 5	vant to the work?	Yes 🗸 No	

Roux 2



Section 5. Relationships not solvered above
Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):
✓ No other relationships/conditions/circumstances that present a potential conflict of interest
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statement On occasion, journals may ask authors to disclose further information about reported relationships.
Section 6. Disclosure Statement
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.
Dr. Roux has nothing to disclose.

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Roux 3



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Sadoff 1



Section 1. Identifying In	formation		
1. Given Name (First Name) Jerald	2. Surname (Last Name) Sadoff		3. Date 01-December-2015
4. Are you the corresponding author:	☐ Yes ✓ No	No Corresponding Author's Name Lindsey Bayden	
<ul><li>5. Manuscript Title</li><li>An International Study to Assess t</li><li>Randomized Trial"</li><li>6. Manuscript Identifying Number (if</li></ul>		of Two Novel Vaccine	Platforms for HIV-1 Prevention: A
M15-080	you know it)	-	
Section 2			
Section 2. The Work Und	ler Consideration for Public	ation	
Did you or your institution <b>at any tim</b> any aspect of the submitted work (inc statistical analysis, etc.)?  Are there any relevant conflicts of	luding but not limited to grants, dat		ent, commercial, private foundation, etc.) for udy design, manuscript preparation,
•		e more than one enti	ty press the "ADD" button to add a row.
Excess rows can be removed by pr	essing the "X" button.		
Name of Institution/Company	Grant	-Financial other?	Comments
Crucell Holland B.V. Janssen Pharmaceu Companies of Johnson & Johnson	tical		Manufacturing contract from an NIH Grantee
Section 3. Relevant final	ncial activities outside the s	ubmitted work.	
of compensation) with entities as	described in the instructions. Us	e one line for each er	rial relationships (regardless of amount ntity; add as many lines as you need by 26 months prior to publication.
Are there any relevant conflicts of		e present during the	s 30 months prior to publication.
Section 4. Intellectual Pr	operty Patents & Copyrig	hts	
Do you have any patents, whether	planned, pending or issued, bro	oadly relevant to the	work? Yes V

Sadoff 2



Section 5. Polationships not severed above
Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
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Section 6. Disclosure Statement
Disclosure Statement
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.
Dr. Sadoff reports other from null, during the conduct of the study; .

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Seaman 1



Section 1. Identifying Inform	nation				
1. Given Name (First Name) Michael	2. Surname (Last Name) Seaman	3. Date 15-October-2015			
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name			
5. Manuscript Title An International Study to Assess the Safety and Immunogenicity of Two Novel Vaccine Platforms for HIV-1 Prevention: A Randomized Trial 6. Manuscript Identifying Number (if you know it) M15-0880					
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Section 4. Intellectual Prope	rty Patents & Copyric	ghts			
Do you have any patents, whether plan	nned, pending or issued, br	roadly relevant to the work? Yes V No			

Seaman 2



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Swann 1



Section 1.	Identifying Inform	nation		
1. Given Name (Fi Edith	rst Name)	2. Surname (Last Name) Swann		Date -December-2015
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Name Dr. Lindsey Baden	
5. Manuscript Title An International Randomized Tria	Study to Assess the Saf	fety and Immunogenicity	of Two Novel Vaccine Platforms	s for HIV-1 Prevention: A
6. Manuscript Ider M15-0880	ntifying Number (if you kr	now it)		
	ı			
Section 2.	The Work Under Co	onsideration for Publi	cation	
any aspect of the s statistical analysis,	ubmitted work (including	but not limited to grants, da	a third party (government, commeta monitoring board, study design	ercial, private foundation, etc.) for n, manuscript preparation,
Section 3.	Relevant financial	activities outside the	submitted work.	
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Section 4.	Intellectual Proper	rty Patents & Copyric	ghts	
Do you have any			oadly relevant to the work?	Yes ✓ No

Swann 2



Section 5.	
Section 5.	Relationships not covered above
	relationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?
Yes, the follo	wing relationships/conditions/circumstances are present (explain below):
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Walsh 1



Section 1. Identifying Inforr	nation				
1. Given Name (First Name) Stephen	2. Surname (Last Name) Walsh	3. Date 15-October-2015			
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Lindsey R. Baden			
5. Manuscript Title An International Study to Assess the Safety and Immunogenicity of Two Novel Vaccine Platforms for HIV-1 Prevention: A Randomized Trial					
6. Manuscript Identifying Number (if you k M15-0880	now it)				
Continue 2					
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any aspect of the submitted work (includin statistical analysis, etc.)? Are there any relevant conflicts of inter If yes, please fill out the appropriate inf	g but not limited to grants, da est?  Yes  No ormation below. If you hav	to a third party (government, commercial, private foundation, etc.) for at a monitoring board, study design, manuscript preparation, we more than one entity press the "ADD" button to add a row.			
Excess rows can be removed by pressir	ng the "X" button.				
Name of Institution/Company	Grant'	ort? Other? Comments			
NIH/NIAID					
Section 3. Relevant financial	activities outside the s	submitted work.			
of compensation) with entities as descri	ribed in the instructions. Us port relationships that wer est?	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re <b>present during the 36 months prior to publication</b> .			
Name of Entity	Grant•	n-Financial Other? Comments			
Crucell/Janssen					

Walsh 2



Section 4. Intellectual Property Patents & Copyrights				
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Dr. Walsh reports grants from NIH/NIAID, during the conduct of the study; grants from Crucell/Janssen, outside the submitted work; .				

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### 3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work's sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

For grants you have received for work outside the submitted work, you should disclose support ONLY from entities that could be perceived to be affected financially by the published work, such as drug companies, or foundations supported by entities that could be perceived to have a financial stake in the outcome. Public funding sources, such as government agencies, charitable foundations or academic institutions, need not be disclosed. For example, if a government agency sponsored a study in which you have been involved and drugs were provided by a pharmaceutical company, you need only list the pharmaceutical company.

# 4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

# Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

#### Definitions.

**Entity:** government agency, foundation, commercial sponsor, academic institution, etc.

**Grant:** A grant from an entity, generally [but not always] paid to your organization

**Personal Fees:** Monies paid to you for services rendered, generally honoraria, royalties, or fees for consulting, lectures, speakers bureaus, expert testimony, employment, or other affiliations

**Non-Financial Support:** Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

**Other:** Anything not covered under the previous three boxes

**Pending:** The patent has been filed but not issued

**Issued:** The patent has been issued by the agency

**Licensed:** The patent has been licensed to an entity, whether earning royalties or not

**Royalties:** Funds are coming in to you or your institution due to your patent

Weijtens 1



Section 1. Identifying Information						
Given Name (First Name)  Mo	2. Surname (Last Name) Weijtens		3. Date 21-October-2015			
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author Baden	or's Name			
5. Manuscript Title An International Study to Assess the Safe Randomized Trial	ety and Immunogenicity o	of Two Novel Vaccine	Platforms for HIV-1 Prevention: A			
6. Manuscript Identifying Number (if you known M15-0880	ow it)					
Section 2. The Work Under Co	nsideration for Public	ation				
Did you or your institution <b>at any time</b> received any aspect of the submitted work (including statistical analysis, etc.)?  Are there any relevant conflicts of interesting the same and t	but not limited to grants, danst	ta monitoring board, st	udy design, manuscript preparation,			
If yes, please fill out the appropriate info Excess rows can be removed by pressing		e more than one enti	button to add a row.	_		
Name of Institution/Company	Grant*	n-Financial Other?	Comments			
NIH/NIAID	<b>V</b>		IPCAVD grant	•		
Section 3. Relevant financial a	activities outside the s	ubmitted work.				
Place a check in the appropriate boxes in of compensation) with entities as described clicking the "Add +" box. You should repare there any relevant conflicts of interesting the second conflicts of interesting	oed in the instructions. Us ort relationships that were st?	e one line for each er	ntity; add as many lines as you need by			
Name of Entity	Grant	n-Financial Other?	Comments			
lanssen			employee of Janssen	-		
lanssen			Stock options and restricted shares			

Weijtens 2



Section 4. Intellectual Property Patents & Copyrights				
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes V				
Section 5. Relationships not covered above				
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?				
Yes, the following relationships/conditions/circumstances are present (explain below):				
No other relationships/conditions/circumstances that present a potential conflict of interest				
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.				
Section 6. Disclosure Statement				
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.				
Dr. Weijtens reports grants from NIH/NIAID, during the conduct of the study; personal fees from Janssen, other from Janssen, outside the submitted work; .				

### **Evaluation and Feedback**

 $Please\ visit\ \underline{http://www.icmje.org/cgi-bin/feedback}\ to\ provide\ feedback\ on\ your\ experience\ with\ completing\ this\ form.$ 

Weijtens 3