

### instructions

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### Identifying information.

# The work under consideration for publication.

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### Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties

## Relationships not covered above.

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Section 2:       The Work Under Consideration for Publication         Diguour your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any appet of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, studied at any relevant conflicts of interest?         Are there any relevant conflicts of interest?       \box{vs} \box         Section 3:       Revent financial activities outside the submitted work.         Page a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity, add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to publication.         Are there any relevant conflicts of interest?       \box         Area       \box         Areactive       \box         Area	ICMJE Form for Disclosure of Potential Conflicts of Interest ICMJE Form for Disclosure of Potential Conflicts of Interest Sector 1: Identifying Information 1. Given Name (First Name) 1. Given Na
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]Yes, the following relationships/conditions/circumstances are present (explain below):

ig X No other relationships/conditions/circumstances that present a potential conflict of interest

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1. Given Name (First Name) Na	2. Surname (Last Name) Wang	3. Date 19-October-2015
4. Are you the corresponding auth	or? Yes 🖌 No	Corresponding Author's Name
5. Manuscript Title Disclosing Pleiotropic Effects du 6. Manuscript Identifying Number M15-0187		or Alzheimer's Disease: A Randomized, Controlled Trial

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🖌 No

Are there any relevant conflicts of interest?		Yes	
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Do you have any patents, whether planned, pending or issued, broadly relevant to the wor	?	Yes	🖌 🗸 📈	0
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Dr. Roberts has nothing to disclose.

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Section 1. Identifyin	g Information	
1. Given Name (First Name) Peter	2. Surname (Last Name) Whitehouse	3. Date 19-August-2015
4. Are you the corresponding au	thor? Yes 🖌 No	Corresponding Author's Name Kurt Christensen
5. Manuscript Title Disclosing Pleiotropic Effects	during Genetic Risk Assessment fo	r Alzheimers Disease: A Randomized, Controlled Trial
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1. Given Name (First Name) Deepak	2. Surname (Last Name) Bhatt	3. Date 02-August-2015
4. Are you the corresponding a	author? 🖌 Yes 🗌 No	
5. Manuscript Title Adaptive Trials		

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No

Are there any relevant conflicts of interest?  $\checkmark$  Yes

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments	
Amarin	$\checkmark$				Research Grants; Pl	
AstraZeneca	$\checkmark$				Research Grants; PI; including co-Pl of SAVOR-TIMI 53	
Bristol-Myers Squibb	$\checkmark$				Research Grants; PI; including co-Pl of SAVOR-TIMI 53	
Eisai	$\checkmark$				Research Grants; Pl	
Ethicon	$\checkmark$				Research Grants; Pl	
Medtronic	$\checkmark$				Research Grants; PI	
sanofi aventis	$\checkmark$				Research Grants; PI	



Name of Entity	Grant?	Personal Fees <sup>?</sup>	Non-Financial Support?	Other?	Comments
The Medicines Company	$\checkmark$				Research Grants; PI, including co-PI of the CHAMPION trials
FlowCo				$\checkmark$	Unfunded research collaboration
PLx Pharma				$\checkmark$	Unfunded research collaboration
Takeda				$\checkmark$	Unfunded research collaboration
Duke Clinical Research Institute		$\checkmark$			Data Monitoring Committees; Clinical Trial Steering Committees
Mayo Clinic		$\checkmark$			Data Monitoring Committee
Population Health Research Institute		$\checkmark$			Data Monitoring Committees; Clinical Trial Steering Committee
American College of Cardiology		$\checkmark$	$\checkmark$		Senior Associate Editor, Clinical Trials and News, ACC.org; Trustee
Belvoir Publications		$\checkmark$			Editor in Chief, Harvard Heart Letter
Slack Publications		$\checkmark$			Chief Medical Editor, Cardiology Today's Intervention
WebMD		$\checkmark$			CME Steering Committees
Elsevier		$\checkmark$			Advisory Board; Elsevier Practice Update Cardiology
Medscape Cardiology				$\checkmark$	Advisory Board
Regado Biosciences				$\checkmark$	Advisory Board
Boston VA Research Institute				$\checkmark$	Board of Directors
Society of Cardiovascular Patient Care		$\checkmark$	$\checkmark$		Board of Directors; Secretary/ Treasurer
American Heart Association			$\checkmark$		Chair, American Heart Association Get With The Guidelines Steering Committee
HMP Communications		$\checkmark$			Editor in Chief, Journal of Invasive Cardiology
Roche	$\checkmark$				Research Grants; Pl
Harvard Clinical Research Institute		$\checkmark$			Clinical Trial Steering Committee; Data Monitoring Committee
Clinical Cardiology				$\checkmark$	Deputy Editor
Journal of the American College of Cardiology		$\checkmark$			Guest Editor; Associate Editor
VA				$\checkmark$	Chair, VA Cardiovascular Assessment, Reporting and Tracking System (CART) Program, Research and Publications Committee



Pfizer	$\checkmark$			Research grants; steering committee
Forest Laboratories	$\checkmark$			Research Grants; clinical events committee
Ischemix	$\checkmark$			Research Grants; PI
St. Jude Medical			$\checkmark$	Co-investigator
Biotronik			$\checkmark$	Co-investigator
Cardax			$\checkmark$	Advisory Board

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Dr. Bhatt reports grants from Amarin, grants from AstraZeneca, grants from Bristol-Myers Squibb, grants from Eisai, grants from Ethicon, grants from Medtronic, grants from sanofi aventis, grants from The Medicines Company, other from FlowCo, other from PLx Pharma, other from Takeda, personal fees from Duke Clinical Research Institute, personal fees from Mayo Clinic, personal fees from Population Health Research Institute, personal fees and non-financial support from American College of Cardiology, personal fees from Belvoir Publications, personal fees from Slack Publications, personal fees from WebMD, personal fees from Elsevier, other from Medscape Cardiology, other from Regado Biosciences, other from Boston VA Research Institute, personal fees and non-financial support from Americal support from American Heart Association, personal fees from HMP Communications, grants from Roche, personal fees from Harvard Clinical Research Institute, other from Clinical Cardiology, personal fees from Journal of the American College of Cardiology, other from VA, grants from Pfizer, grants from Forest Laboratories, grants from Ischemix, other from St. Jude Medical, other from Biotronik, other from Cardax, outside the submitted work.

### **Evaluation and Feedback**



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Section 1.	Identifying Inforn	nation	
1. Given Name (Fii Susan	rst Name)	2. Surname (Last Name) Hiraki	3. Date 25-August-2015
4. Are you the corresponding author? $\$ Yes $\checkmark$ No		Yes 🖌 No	Corresponding Author's Name Robert C. Green
5. Manuscript Title Disclosing Pleiot	e ropic Effects during Ge	enetic Risk	
6. Manuscript Ider	ntifying Number (if you ki	now it)	

### Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest? Yes 🖌 No

### Section 3. Relevant financial activities outside the submitted work.

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No

Are there any relevant conflicts of interest?  $\checkmark$  Yes

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees	Non-Financial Support?	Other?	Comments	
GeneDx				$\checkmark$	I have worked for the genetic testing company GeneDx for the last 16 months	

Section 4.

Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?

🖌 No



### Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

Yes, the following relationships/conditions/circumstances are present (explain below):

✓ No other relationships/conditions/circumstances that present a potential conflict of interest

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### Section 6. Disclosure Statement

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Ms. Hiraki reports being employed by GeneDx, outside the submitted work.

### **Evaluation and Feedback**



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Section 1. Identifying Inform	nation					
1. Given Name (First Name) Robert	2. Surname (Last Name) Cook-Deegan	3. Date 25-August-2015				
4. Are you the corresponding author?		rresponding Author's Name ristensen, K				
5. Manuscript Title Disclosing Pleiotropic Effects during G	enetic Risk Assessment for Alzh	eimer's Disease: A Randomized, Controlled Trial				
6. Manuscript Identifying Number (if you k	now it)					
Section 2. The Work Under C	Consideration for Publication	on				
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Are there any relevant conflicts of inter	rest? Yes 🖌 No					
Section 3. Belavant financial						
Relevant financial	activities outside the subn	nitted work.				
of compensation) with entities as desc	ribed in the instructions. Use on	r you have financial relationships (regardless of amount e line for each entity; add as many lines as you need by <b>esent during the 36 months prior to publication</b> .				
Are there any relevant conflicts of inter	rest? 🛛 Yes 🖌 No					

### Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? 🗌 Yes 🛛 🗸 No	Do you have a	ny patents, wh	ether planned,	pending c	or issued,	broadly relevar	nt to the work?		Yes	$\checkmark$	No
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### Section 5. Relationships not covered above

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Dr. Cook-Deegan has nothing to disclose.

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Section 1. Identifying	Information					
1. Given Name (First Name) Grace-Ann	2. Surname (Last Name) Fasaye	3. Date 13-November-2015				
4. Are you the corresponding auth	nor? Yes 🖌 No Corresponding A Kurt Christense					
5. Manuscript Title Disclosing Pleiotropic Effects during Genetic Risk Assessment for Alzheimer's Disease: A Randomized, Controlled Trial						
6. Manuscript Identifying Number (if you know it)						
Section 2. The Work U	nder Consideration for Publication					
Did you or your institution <b>at any time</b> receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?						
Are there any relevant conflicts of interest? Yes 🖌 No						
Section 3. Relevant fin	nancial activities outside the submitted work	<b>.</b>				
Place a check in the appropriate	e boxes in the table to indicate whether you have fir	nancial relationships (regardless of amount				

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Are there any relevant conflicts of interest?	Yes	🗸 N	١o
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### Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?		Yes	-  √  !	No
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### Section 5. Relationships not covered above

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Ms. Fasaye has nothing to disclose.

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Section 1. Identifying Information
1, Given Name (First Name) 2. Surname (Last Name) 3. Date 12/1/15
4. Are you the corresponding author?
5. Manuscript Title Dycloing Reitropic FARA during Genetic Risk deseerment for 6. Manuscript Identifying Number (If you know it) M15-0187
Section 2. The Work Under Consideration for Publication
Did you or your institution <b>at any time</b> receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest? Yes Yo
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Section 4 Intellectual Property – Patents & Copyrights
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes No

3



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1. Given Name (First Name) Nelissa	2. Surname (Last Name) Butson	3. Date 23-August-2015
Are you the corresponding author?	Yes 🖌 No	Corresponding Author's Name Kurt Christensen
5. Manuscript Title Disclosing Pleiotropic Effects during (	Genetic Risk Assessment f	or Alzheimer's Disease: A Randomized, Controlled Trial

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

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Are there any relevant conflicts of interest?	Are there an	y relevant conflicts of interest?	Yes
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Dr. Butson has nothing to disclose.

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Section 1. Identifying Infor	mation	
1. Given Name (First Name) Robert	2. Surname (Last Name) Green	3. Date 21-August-2015
4. Are you the corresponding author?	✓ Yes No	
5. Manuscript Title Disclosing pleiotropic effects during c	enetic risk assessment for Alzheimer's d	lisease: A randomized, controlled trial

6. Manuscript Identifying Number (if you know it)

M15-0187

### Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

✓ No

Are there any relevant conflicts of interest? Yes

### Section 3. Relevant financial activities outside the submitted work.

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No

Are there any relevant conflicts of interest?  $\checkmark$  Yes

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees	Non-Financial Support?	Other?	Comments
Invitae		$\checkmark$			Fees for providing consulting services
Illumina, Inc.	$\checkmark$	$\checkmark$			Funds for an unrestricted research grant and fees for speaking at conferences

-	eci	•		
5			n	-21
				-

### Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes

🖌 No



### Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

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### Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Green reports personal fees from Invitae, research funding and personal fees from Illumina, Inc., outside the submitted work.

### **Evaluation and Feedback**



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Section 1. Identifying Inform	ation	
1. Given Name (First Name) Jacqueline	2. Surname (Last Name) Vernarelli	3. Date 21-August-2015
4. Are you the corresponding author?	Yes 🖌 No	Corresponding Author's Name
5. Manuscript Title Disclosing Peiotropic Effects during Ger	netic Risk Assessment for Al	zheimer's Disease: A Randomized Controlled Trial
6. Manuscript Identifying Number (if you kn M15-0187	ow it)	
Continue 2		
Section 2. The Work Under Co	onsideration for Publica	ition
	but not limited to grants, data	third party (government, commercial, private foundation, etc.) for a monitoring board, study design, manuscript preparation,
Continue D		

### ection 3. Relevant financial activities outside the submitted work.

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Are there any relevant conflicts of interest?		Yes	$\checkmark$	No
---	--	-----	--------------	----

## Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? 🗌 Yes	Yes 🗸	🖌 N	lo
--	-------	-----	----



## Section 5. Relationships not covered above

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### Section 6. Disclosure Statement

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Dr. Vernarelli has nothing to disclose.

#### **Evaluation and Feedback**



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1. Given Name (Firs Erin	st Name)	2. Surname (Last Name) Linnenbringer	3. Date 19-August-2015
. Are you the corre	esponding author?	Yes 🖌 No	Corresponding Author's Name Robert C. Green, MD, MPH
5. Manuscript Title		Genetic Risk Assessment fo	or Alzheimer's Disease: A Randomized, Controlled Tria

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

✓ No

Are there any relevant conflicts of interest? Yes

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Are there any relevant conflicts of interest? Yes 🗸 No

# Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes 🗸 No



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### Section 6. Disclosure Statement

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Dr. Linnenbringer has nothing to disclose.

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Section 1. Identifying Infor 1. Given Name (First Name)	mation 2. Surname (Last Name)	3. Date
L. Adrienne	Cupples	19-August-2015
4. Are you the corresponding author?	Yes 🖌 No Corre	sponding Author's Name
<ol> <li>Manuscript Title</li> <li>Disclosing Pleiotropic Effects during C</li> <li>Manuscript Identifying Number (if you M15-0187</li> </ol>		ner's Disease: A Randomized, Controlled Trial

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Are there any relevant conflicts of interest? Yes

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Are there any relevant conflicts of interest? Yes 🗸 No

# Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?	Yes	🖌 No	
		•	



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Dr. Cupples has nothing to disclose.

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Are there any re	levant conf	licts of	interest?		Yes
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Are there any relevant conflicts of interest? Yes 🗸 No

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Dr. Obisesan has nothing to disclose.

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Section 1.	Identifying Infor	mation		
1. Given Name (F Kurt	irst Name)	2. Surname (Last Name) Christensen	3. Date 31-August-2015	
4. Are you the corresponding author?		Yes 🖌 No	Corresponding Author's Name Robert C. Green	
5. Manuscript Tit Disclosing Pleio		Genetic Risk Assessment fo	or Alzheimer's Disease: A Randomized, Controlled Trial	

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statistical analysis, etc.)?

Are there any relevant conflicts of interest?	<	Yes		No
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If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row
Excess rows can be removed by pressing the "X" button.

Name of Institution/Company	Grant?	Personal Fees	Non-Financial Support <mark>?</mark>	Other?	Comments	
National Human Genome Research Institute	$\checkmark$					

#### Section 3. Relevant financial activities outside the submitted work.

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Are there any relevant conflicts of interest? Yes

✓ No

#### Section 4. **Intellectual Property -- Patents & Copyrights**

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? ✓ No Yes



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Dr. Christensen reports grants from National Human Genome Research Institute, during the conduct of the study; .

#### **Evaluation and Feedback**