

#### **Instructions**

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

# Identifying information.

# 2. The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes".

### 3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

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For grants you have received for work outside the submitted work, you should disclose support ONLY from entities that could be perceived to be affected financially by the published work, such as drug companies, or foundations supported by entities that could be perceived to have a financial stake in the outcome. Public funding sources, such as government agencies, charitable foundations or academic institutions, need not be disclosed. For example, if a government agency sponsored a study in which you have been involved and drugs were provided by a pharmaceutical company, you need only list the pharmaceutical company.

# 4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

# Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

#### Definitions.

**Entity:** government agency, foundation, commercial sponsor, academic institution, etc.

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**Non-Financial Support:** Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

Other: Anything not covered under the previous three boxes

**Pending:** The patent has been filed but not issued

**Issued:** The patent has been issued by the agency

**Licensed:** The patent has been licensed to an entity, whether earning royalties or not

**Royalties:** Funds are coming in to you or your institution due to your patent

Fowler 1



| Section 1.   | Identifying Inform  | ation   |                               |                          |                        |                     |                    |       |
|--|---|---|-------------------------------|--------------------------|------------------------|---------------------|--------------------|-------|
| 1. Given Name (First<br>Karen  | Name)   | 2. Surname (<br>Fowler                              | (Last Name)                   |                          |                        | 3. Date<br>07-Novem | ber-2014           |       |
| 4. Are you the corres  | ponding author?   | Yes   | <b>√</b> No                   | Correspond<br>Allen Kach | ding Author's<br>nalia | s Name              |                    |       |
| <ol><li>Manuscript Title<br/>Overuse of Testing</li></ol>  | ı in Preoperative Evalu   | ation and Sy  | ncope: A Su                   | rvey of Hosp             | italists               |                     |                    |       |
| 6. Manuscript Identi<br>M14-0694   | fying Number (if you kno  | ow it)  |                               |                          |                        |                     |                    |       |
|  |   |   |                               |                          |                        |                     |                    |       |
| Section 2.   | he Work Under Co  | nsideratio  | n for Publi                   | cation                   |                        |                     |                    |       |
| any aspect of the sub<br>statistical analysis, et<br>Are there any relev<br>If yes, please fill ou<br>Excess rows can be<br>Name of Institutio | rant conflicts of interest<br>t the appropriate info<br>e removed by pressing                           | but not limited st?  Yes rmation below the "X" butt | No w. If you havon.           | nta monitoring           | p board, stud          | ly design, manuso   | cript preparation, |       |
|  |   |   |                               |                          |                        |                     |                    |       |
| Section 3.   | Relevant financial a  | ictivities ou                                       | utside the                    | submitted                | work.                  |                     |                    |       |
| of compensation) v<br>clicking the "Add +<br>Are there any relev   | e appropriate boxes ir<br>with entities as descrik<br>" box. You should rep<br>rant conflicts of intere | oed in the ins<br>ort relationsl                    | structions. U<br>nips that we | se one line fo           | or each enti           | ty; add as many     | lines as you nee   | ed by |
| Section 4.   | ntellectual Propert   | y Patent  | s & Copyri                    | ghts                     |                        |                     |                    |       |
| Do you have any p  | atents, whether plann   | ed, pending   | or issued, b                  | oadly releva             | nt to the w            | ork? Yes            | ✓ No               |       |

Fowler 2



| Section 5.       |  |  |  |  |  |  |  |  |
|------------------|--|--|--|--|--|--|--|--|
| Section 5.       | Relationships not covered above  |  |  |  |  |  |  |  |
|                  | elationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?  |  |  |  |  |  |  |  |
| Yes, the follow  | Yes, the following relationships/conditions/circumstances are present (explain below):   |  |  |  |  |  |  |  |
| ✓ No other rela  | tionships/conditions/circumstances that present a potential conflict of interest   |  |  |  |  |  |  |  |
|                  | anuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements.<br>rnals may ask authors to disclose further information about reported relationships. |  |  |  |  |  |  |  |
| Cartina          |  |  |  |  |  |  |  |  |
| Section 6.       | Disclosure Statement   |  |  |  |  |  |  |  |
| Based on the abo | ove disclosures, this form will automatically generate a disclosure statement, which will appear in the box  |  |  |  |  |  |  |  |
| Ms. Fowler repor | rts grants from Blue Cross Blue Shield of Michigan Foundation, during the conduct of the study; .  |  |  |  |  |  |  |  |

## **Evaluation and Feedback**

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Fowler 3



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**Royalties:** Funds are coming in to you or your institution due to your

patent

Hofer 1



| Section 1. Identifying Info   | rmation  |                                       |  |  |  |  |  |  |
|---|--|---------------------------------------|--|--|--|--|--|--|
| Given Name (First Name)  Timothy  | 2. Surname (Last Name)<br>Hofer  | 3. Date<br>13-November-2014           |  |  |  |  |  |  |
| 4. Are you the corresponding author?  | Yes ✓ No   | Corresponding Author's Name           |  |  |  |  |  |  |
| 5. Manuscript Title Overuse of Testing in Preoperative Ev   | valuation and Syncope: A Su  | urvey of Hospitalists                 |  |  |  |  |  |  |
| 6. Manuscript Identifying Number (if you M14-0694   | 6. Manuscript Identifying Number (if you know it) M14-0694   |                                       |  |  |  |  |  |  |
|   |  |                                       |  |  |  |  |  |  |
| Section 2. The Work Under   | Consideration for Public   | cation                                |  |  |  |  |  |  |
| any aspect of the submitted work (includ statistical analysis, etc.)?   | Did you or your institution <b>at any time</b> receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, |                                       |  |  |  |  |  |  |
| Section 3. Relevant financia  | al activities outside the s  | submitted work.                       |  |  |  |  |  |  |
| Relevant financial activities outside the submitted work.  Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were <b>present during the 36 months prior to publication</b> .  Are there any relevant conflicts of interest? Yes V |  |                                       |  |  |  |  |  |  |
| Section 4. Intellectual Prop  | erty Patents & Copyri  | ghts                                  |  |  |  |  |  |  |
| Do you have any patents, whether pl   | anned, pending or issued, br   | roadly relevant to the work? Yes V No |  |  |  |  |  |  |

Hofer 2



| Section 5. Relationships not covered above   |
|--|
| Relationships not covered above  |
| Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?  |
| Yes, the following relationships/conditions/circumstances are present (explain below):   |
| ✓ No other relationships/conditions/circumstances that present a potential conflict of interest  |
| At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements On occasion, journals may ask authors to disclose further information about reported relationships. |
| Section 6. Disclosure Statement  |
| Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.  |
| Dr. Hofer has nothing to disclose.   |

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# 1. Identifying information.

Enter your full name. If you are NOT the corresponding author please check the box "no" and a space to enter the name of the corresponding author in the space that appears. Provide the requested manuscript information. Double-check the manuscript number and enter it.

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| Section 1.                              | Identifying Infor         | mation                             |                            |  |
|---|---------------------------|------------------------------------|----------------------------|--|
| 1. Given Name (Fi<br>Scott              | rst Name)                 | 2. Surname (Last Name)<br>Flanders |                            | 3. Effective Date (07-August-2008)<br>07-November-2014 |
| 4. Are you the corresponding author?    |                           | ☐ Yes ✓ No                         | Corresponding Author's Nam | ne   |
| 5. Manuscript Title<br>Overuse of Testi |                           | aluation and Syncope: A Su         | rvey of Hospitalists       |  |
| 6. Manuscript Ide<br>M14-0694           | ntifying Number (if you l | know it)                           | _                          |  |

# Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc...)?

Complete each row by checking "No" or providing the requested information. If you have more than one relationship click the "Add" button to add a row. Excess rows can be removed by clicking the "X" button.

| The Work Under Consideration   | or Pub   | lication                |                                  |                |            |     |
|--|----------|-------------------------|----------------------------------|----------------|------------|-----|
| Туре   | No       | Money<br>Paid<br>to You | Money to<br>Your<br>Institution* | Name of Entity | Comments** |     |
| 1. Grant   | <b>✓</b> |                         |                                  |                |            | ×   |
|  |          |                         |                                  |                |            | ADD |
| 2. Consulting fee or honorarium  | <b>✓</b> |                         |                                  |                |            | ×   |
|  |          |                         |                                  |                |            | ADD |
| 3. Support for travel to meetings for the study or other purposes  | <b>✓</b> |                         |                                  |                |            | ×   |
|  |          |                         |                                  |                |            | ADD |
| <ol> <li>Fees for participation in review<br/>activities such as data monitoring<br/>boards, statistical analysis, end<br/>point committees, and the like</li> </ol> | <b>V</b> |                         |                                  |                |            | ×   |
|  |          |                         |                                  |                |            | ADD |
| <ol><li>Payment for writing or reviewing<br/>the manuscript</li></ol>  | <b>✓</b> |                         |                                  |                |            | ×   |
|  |          |                         |                                  |                |            | ADD |
| <ol><li>Provision of writing assistance,<br/>medicines, equipment, or<br/>administrative support</li></ol>   | <b>✓</b> |                         |                                  |                |            | ×   |



| The Work Under Consideration for Publication |     |              |                         |                                  |                |            |     |
|--|-----|--------------|-------------------------|----------------------------------|----------------|------------|-----|
| Ту   | /pe | No           | Money<br>Paid<br>to You | Money to<br>Your<br>Institution* | Name of Entity | Comments** |     |
|  |     |              |                         |                                  |                |            | ADD |
| 7. Other                                     |     | $\checkmark$ |                         |                                  |                |            | ×   |
|  |     |              |                         |                                  |                |            | ADD |

# Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to submission.

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| Relevant financial activities outside the submitted work |          |                         |                                  |   |          |     |  |
|--|----------|-------------------------|----------------------------------|---|----------|-----|--|
| Type of Relationship (in alphabetical order)             | No       | Money<br>Paid to<br>You | Money to<br>Your<br>Institution* | Entity  | Comments |     |  |
| 1. Board membership                                      | <b>✓</b> |                         |                                  |   |          | ×   |  |
|  |          |                         |                                  |   |          | ADD |  |
| 2. Consultancy   |          | <b>✓</b>                |                                  | Institute for Healthcare<br>Improvement<br>Society of Hospital<br>Medicine                  |          | ×   |  |
|  |          |                         |                                  |   |          | ADD |  |
| 3. Employment  |          | <b>√</b>                |                                  | University of Michigan  |          | ×   |  |
|  |          |                         |                                  |   |          | ADD |  |
| 4. Expert testimony                                      |          | $\checkmark$            |                                  | One Expert Review per year  |          | ×   |  |
|  |          |                         |                                  |   |          | ADD |  |
| 5. Grants/grants pending                                 |          |                         | <b>V</b>                         | CDC Foundation<br>Blue Cross Blue Shield<br>of Michigan<br>Michigan Hospital<br>Association |          | ×   |  |

<sup>\*</sup> This means money that your institution received for your efforts on this study.

<sup>\*\*</sup> Use this section to provide any needed explanation.



| Relevant financial activities out  | side the | submit                  | ted work                         |   |          |     |
|--|----------|-------------------------|----------------------------------|---|----------|-----|
| Type of Relationship (in alphabetical order)                                       | No       | Money<br>Paid to<br>You | Money to<br>Your<br>Institution* | Entity  | Comments |     |
| 5. Grants/grants pending   |          |                         | <b>✓</b>                         | Agency for Healthcare<br>Research and Quality                     |          | ×   |
|  |          |                         |                                  |   |          | ADI |
| 6. Payment for lectures including service on speakers bureaus                      |          | <b>✓</b>                |                                  | Honorarium for various talks at hospitals as a visiting professor |          | ×   |
|  |          |                         |                                  |   |          | ADI |
| 7. Payment for manuscript preparation  | <b>✓</b> |                         |                                  |   |          | ×   |
|  |          |                         |                                  |   |          | ADI |
| 8. Patents (planned, pending or issued)  | <b>✓</b> |                         |                                  |   |          | ×   |
|  |          |                         |                                  |   |          | ADI |
| 9. Royalties   |          | <b>√</b>                |                                  | Wiley Publishing  |          | ×   |
|  |          |                         |                                  |   |          | AD  |
| <ol><li>Payment for development of educational presentations</li></ol>             | <b>√</b> |                         |                                  |   |          | ×   |
|  |          |                         |                                  |   |          | AD  |
| 11. Stock/stock options  | <b>√</b> |                         |                                  |   |          | ×   |
|  |          |                         |                                  |   |          | AD  |
| 12. Travel/accommodations/<br>meeting expenses unrelated to<br>activities listed** | <b>✓</b> |                         |                                  |   |          | ×   |
|  |          |                         |                                  |   |          | AD  |
| 13. Other (err on the side of full disclosure)                                     | <b>✓</b> |                         |                                  |   |          | ×   |
|  |          |                         |                                  |   |          | AD  |

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<sup>\*\*</sup> For example, if you report a consultancy above there is no need to report travel related to that consultancy on this line.



| Section 4.      | Other relationships  |
|-----------------|--|
|                 | elationships or activities that readers could perceive to have influenced, or that give the appearance of ncing, what you wrote in the submitted work?   |
| ✓ No other rela | tionships/conditions/circumstances that present a potential conflict of interest   |
| Yes, the follow | wing relationships/conditions/circumstances are present (explain below):   |
|                 | nuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. rnals may ask authors to disclose further information about reported relationships. |
|                 | Hide All Table Rows Checked 'No'   |

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|--|---------------------------|------------------------------------|-----------------------|--|--|
| 1. Given Name (Fi<br>Angela            | rst Name)                 | 2. Surname (Last Name)<br>Fagerlin |                       | 3. Effective Date (07-August-2008)<br>10-November-2014 |  |
| 4. Are you the corresponding author?   |                           | Yes No Corresponding Author        |                       | or's Name  |  |
| 5. Manuscript Titl<br>Overuse of Testi |                           | aluation and Syncope: A Si         | urvey of Hospitalists |  |  |
| 6. Manuscript Ide<br>M14-0694          | ntifying Number (if you l | know it)                           |                       |  |  |

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|--|----------|-------------------------|----------------------------------|-------------------------|------------|-----|
| Туре   | No       | Money<br>Paid<br>to You | Money to<br>Your<br>Institution* | Name of Entity          | Comments** |     |
| 1. Grant   |          |                         | <b>✓</b>                         | Blue Cross/ Blue Shield |            | ×   |
|  |          |                         |                                  |                         |            | ADD |
| 2. Consulting fee or honorarium  | <b>✓</b> |                         |                                  |                         |            | ×   |
|  |          |                         |                                  |                         |            | ADD |
| <ol><li>Support for travel to meetings for<br/>the study or other purposes</li></ol>   | <b>✓</b> |                         |                                  |                         |            | ×   |
|  |          |                         |                                  |                         |            | ADD |
| <ol> <li>Fees for participation in review<br/>activities such as data monitoring<br/>boards, statistical analysis, end<br/>point committees, and the like</li> </ol> | <b>✓</b> |                         |                                  |                         |            | ×   |
|  |          |                         |                                  |                         |            | ADD |
| <ol><li>Payment for writing or reviewing<br/>the manuscript</li></ol>  | <b>✓</b> |                         |                                  |                         |            | ×   |
|  |          |                         |                                  |                         |            | ADD |
| <ol><li>Provision of writing assistance,<br/>medicines, equipment, or<br/>administrative support</li></ol>   | <b>√</b> |                         |                                  |                         |            | ×   |



| The Work Under Consideration for Publication |     |              |                         |                                  |                |            |     |  |
|--|-----|--------------|-------------------------|----------------------------------|----------------|------------|-----|--|
| Ту   | /pe | No           | Money<br>Paid<br>to You | Money to<br>Your<br>Institution* | Name of Entity | Comments** |     |  |
|  |     |              |                         |                                  |                |            | ADD |  |
| 7. Other                                     |     | $\checkmark$ |                         |                                  |                |            | ×   |  |
|  |     |              |                         |                                  |                |            | ADD |  |

# Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to submission.

Complete each row by checking "No" or providing the requested information. If you have more than one relationship click the "Add" button to add a row. Excess rows can be removed by clicking the "X" button.

| Relevant financial activities outside the submitted work                         |          |                         |                                  |        |          |     |  |  |
|--|----------|-------------------------|----------------------------------|--------|----------|-----|--|--|
| Type of Relationship (in alphabetical order)                                     | No       | Money<br>Paid to<br>You | Money to<br>Your<br>Institution* | Entity | Comments |     |  |  |
| 1. Board membership  | <b>✓</b> |                         |                                  |        |          | ×   |  |  |
|  |          |                         |                                  |        |          | ADD |  |  |
| 2. Consultancy   | <b>✓</b> |                         |                                  |        |          | ×   |  |  |
|  |          |                         |                                  |        |          | ADD |  |  |
| 3. Employment  | <b>✓</b> |                         |                                  |        |          | ×   |  |  |
|  |          |                         |                                  |        |          | ADD |  |  |
| 4. Expert testimony  | ✓        |                         |                                  |        |          | ×   |  |  |
|  |          |                         |                                  |        |          | ADD |  |  |
| 5. Grants/grants pending   | <b>√</b> |                         |                                  |        |          | ×   |  |  |
|  |          |                         |                                  |        |          | ADD |  |  |
| <ol><li>Payment for lectures including<br/>service on speakers bureaus</li></ol> | <b>✓</b> |                         |                                  |        |          | ×   |  |  |
|  |          |                         |                                  |        |          | ADD |  |  |
| <ol><li>Payment for manuscript<br/>preparation</li></ol>                         | <b>✓</b> |                         |                                  |        |          | ×   |  |  |

<sup>\*</sup> This means money that your institution received for your efforts on this study.

<sup>\*\*</sup> Use this section to provide any needed explanation.



| Relevant financial activities outside the submitted work                               |              |                |                      |                              |                     |     |  |
|--|--------------|----------------|----------------------|------------------------------|---------------------|-----|--|
|  | ide the      | Money          | Money to             |                              |                     |     |  |
| Type of Relationship (in alphabetical order)   | No           | Paid to<br>You | Your<br>Institution* | Entity                       | Comments            |     |  |
|  |              |                |                      |                              |                     | ADD |  |
| <ol><li>Patents (planned, pending or issued)</li></ol>                                 | <b>✓</b>     |                |                      |                              |                     | ×   |  |
|  |              |                |                      |                              |                     | ADD |  |
| 9. Royalties   | $\checkmark$ |                |                      |                              |                     | ×   |  |
|  |              |                |                      |                              |                     | ADD |  |
| Payment for development of educational presentations                                   |              | <b>✓</b>       |                      | Genentech                    | Remedia             | ×   |  |
|  |              |                |                      |                              |                     | ADD |  |
| 11. Stock/stock options  | $\checkmark$ |                |                      |                              |                     | ×   |  |
|  |              |                |                      |                              |                     | ADD |  |
| 12. Travel/accommodations/ meeting expenses unrelated to activities listed**           | <b>✓</b>     |                |                      |                              |                     | ×   |  |
|  |              |                |                      |                              |                     | ADD |  |
| 13. Other (err on the side of full disclosure)   | $\checkmark$ |                |                      |                              |                     | ×   |  |
|  |              |                |                      |                              |                     | ADD |  |
| * This means money that your institution<br>** For example, if you report a consultanc |              |                |                      | ravel related to that consul | tancy on this line. |     |  |
|  |              |                |                      |                              |                     |     |  |

# **Section 4.** Other relationships

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

No other relationships/conditions/circumstances that present a potential conflict of interest

Yes, the following relationships/conditions/circumstances are present (explain below):

At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.

**Hide All Table Rows Checked 'No'** 

SAVE



#### **Evaluation and Feedback**

Please visit <a href="http://www.icmje.org/cgi-bin/feedback">http://www.icmje.org/cgi-bin/feedback</a> to provide feedback on your experience with completing this form.



#### **Instructions**

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

# Identifying information.

# 2. The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes".

### 3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work's sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

For grants you have received for work outside the submitted work, you should disclose support ONLY from entities that could be perceived to be affected financially by the published work, such as drug companies, or foundations supported by entities that could be perceived to have a financial stake in the outcome. Public funding sources, such as government agencies, charitable foundations or academic institutions, need not be disclosed. For example, if a government agency sponsored a study in which you have been involved and drugs were provided by a pharmaceutical company, you need only list the pharmaceutical company.

# 4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

# Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

#### Definitions.

**Entity:** government agency, foundation, commercial sponsor, academic institution, etc.

**Grant:** A grant from an entity, generally [but not always] paid to your organization

**Personal Fees:** Monies paid to you for services rendered, generally honoraria, royalties, or fees for consulting, lectures, speakers bureaus, expert testimony, employment, or other affiliations

**Non-Financial Support:** Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

Other: Anything not covered under the previous three boxes

**Pending:** The patent has been filed but not issued

**Issued:** The patent has been issued by the agency

**Licensed:** The patent has been licensed to an entity, whether earning royalties or not

**Royalties:** Funds are coming in to you or your institution due to your patent

Berg 1



| Section 1. Identifying Inform   | nation                         |  |  |  |  |  |  |  |
|---|--------------------------------|--|--|--|--|--|--|--|
| Given Name (First Name)  Aaron  | 2. Surname (Last Name)<br>Berg | 3. Date<br>05-November-2014                          |  |  |  |  |  |  |
| 4. Are you the corresponding author?  | ☐ Yes ✓ No                     | Corresponding Author's Name<br>Sanjay Saint, MD, MPH |  |  |  |  |  |  |
| 5. Manuscript Title<br>Overuse of Testing in Preoperative Eva   | luation and Syncope: A Su      | rvey of Hospitalists                                 |  |  |  |  |  |  |
| 6. Manuscript Identifying Number (if you k<br>M14-0694  | now it)                        |  |  |  |  |  |  |  |
|   |                                |  |  |  |  |  |  |  |
| Section 2. The Work Under C   | onsideration for Public        | cation   |  |  |  |  |  |  |
| Did you or your institution <b>at any time</b> receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?  Are there any relevant conflicts of interest?  Yes  No   |                                |  |  |  |  |  |  |  |
| Section 3. Relevant financial   | activities outside the         | submitted work                                       |  |  |  |  |  |  |
| Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were <b>present during the 36 months prior to publication</b> .  Are there any relevant conflicts of interest? Yes No |                                |  |  |  |  |  |  |  |
| Section 4. Intellectual Prope   | rty Patents & Copyric          | ghts   |  |  |  |  |  |  |
| Do you have any patents, whether plan   | ned, pending or issued, br     | roadly relevant to the work? Yes V No                |  |  |  |  |  |  |

Berg 2



| Section 5. Relationships not sovered above   |
|--|
| Relationships not covered above  |
| Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?  |
| Yes, the following relationships/conditions/circumstances are present (explain below):   |
| ✓ No other relationships/conditions/circumstances that present a potential conflict of interest  |
| At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements On occasion, journals may ask authors to disclose further information about reported relationships. |
| Section 6. Disclosure Statement  |
| Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.  |
|  |
|  |

## **Evaluation and Feedback**

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Berg 3



#### **Instructions**

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### 3. Relevant financial activities outside the submitted work.

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**Non-Financial Support:** Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

Other: Anything not covered under the previous three boxes

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**Issued:** The patent has been issued by the agency

**Licensed:** The patent has been licensed to an entity, whether earning royalties or not

**Royalties:** Funds are coming in to you or your institution due to your patent

Kachalia 1



| Section 1.   | Identifying Inform                                     | ation                                |                               |   |             |   |  |  |  |  |
|--|--|--------------------------------------|-------------------------------|---|-------------|---|--|--|--|--|
| 1. Given Name (Fi<br>Allen   | rst Name)  | 2. Surname (La<br>Kachalia           | ast Name)                     |   |             | 3. Date<br>05-November-2014   |  |  |  |  |
| 4. Are you the cor   | e corresponding author?                                |                                      |                               | Corresponding Author's Name<br>Sanjay Saint |             |   |  |  |  |  |
| 5. Manuscript Title<br>Overuse of Testing in Preoperative Evaluation and Syncope: A Survey of Hospitalists |  |                                      |                               |   |             |   |  |  |  |  |
| 6. Manuscript Idei<br>M14-0694   | ntifying Number (if you kr                             | ow it)                               |                               |   |             |   |  |  |  |  |
|  |  |                                      |                               |   |             |   |  |  |  |  |
| Section 2.   | The Work Under Co                                      | onsideration                         | for Publica                   | tion  |             |   |  |  |  |  |
| any aspect of the s<br>statistical analysis,<br>Are there any rel  | ubmitted work (including                               | but not limited                      |                               |   | -           | ent, commercial, private foundation, etc.) for udy design, manuscript preparation,  |  |  |  |  |
| Section 3.   | Relevant financial                                     | activities out                       | tside the su                  | bmitted v                                   | work.       |   |  |  |  |  |
| of compensation clicking the "Add  | ) with entities as descri<br>I +" box. You should rep  | bed in the instr<br>port relationshi | ructions. Use<br>ps that were | one line fo                                 | r each en   | ial relationships (regardless of amount atity; add as many lines as you need by a <b>36 months prior to publication</b> . |  |  |  |  |
| •  | evant conflicts of intered<br>but the appropriate info |                                      | No No                         |   |             |   |  |  |  |  |
| ii yes, piease iiii e  | out the appropriate line                               | ormation below                       | ··                            |   |             |   |  |  |  |  |
| Name of Entity   |  | Grant•                               |                               | Financial<br>oport <mark>?</mark>           | Other?      | Comments  |  |  |  |  |
| Quantia MD   |  |                                      | <b>√</b>                      |   |             | Educational Presentations on Patient<br>Safety  |  |  |  |  |
|  |  |                                      |                               |   |             |   |  |  |  |  |
| Section 4.   | Intellectual Proper                                    | ty Patents                           | & Copyrigh                    | its   |             |   |  |  |  |  |
| Do you have any  | patents, whether plan                                  | ned, pending o                       | or issued, broa               | adly releva                                 | nt to the \ | work? Yes V No  |  |  |  |  |

Kachalia 2



| Section 5.   |   |  |  |  |  |  |  |  |  |
|--|---|--|--|--|--|--|--|--|--|
| Section 3.   | Relationships not covered above   |  |  |  |  |  |  |  |  |
|  | lationships or activities that readers could perceive to have influenced, or that give the appearance of ncing, what you wrote in the submitted work?   |  |  |  |  |  |  |  |  |
| Yes, the following relationships/conditions/circumstances are present (explain below): |   |  |  |  |  |  |  |  |  |
| ✓ No other relati  | ionships/conditions/circumstances that present a potential conflict of interest   |  |  |  |  |  |  |  |  |
|  | nuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements<br>nals may ask authors to disclose further information about reported relationships. |  |  |  |  |  |  |  |  |
| Section 6.   | Disclosure Statement  |  |  |  |  |  |  |  |  |
| Based on the above below.  | ve disclosures, this form will automatically generate a disclosure statement, which will appear in the box  |  |  |  |  |  |  |  |  |
|  |   |  |  |  |  |  |  |  |  |
|  |   |  |  |  |  |  |  |  |  |

## **Evaluation and Feedback**

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Kachalia 3



#### **Instructions**

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# 1. Identifying information.

Enter your full name. If you are NOT the corresponding author please check the box "no" and a space to enter the name of the corresponding author in the space that appears. Provide the requested manuscript information. Double-check the manuscript number and enter it.

# 2. The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes". Then complete the appropriate boxes to indicate the type of support and whether the payment went to you, or to your institution, or both.

# 3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

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# 4. Other relationships.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.



| Section 1.                              | Identifying Infor       | mation   |  |  |  |
|---|-------------------------|--|--|--|--|
| 1. Given Name (First Name)<br>Sanjay    |                         | 2. Surname (Last Name)<br>Saint                | 3. Effective Date (07-August-2008)<br>11-November-2014 |  |  |
| 4. Are you the corresponding author?    |                         | ✓ Yes No                                       |  |  |  |
| 5. Manuscript Title<br>Overuse of Testi |                         | aluation and Syncope: A Survey of Hospitalists |  |  |  |
| 6. Manuscript Ide<br>M14-0694           | ntifying Number (if you | know it)                                       |  |  |  |

# Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc...)?

Complete each row by checking "No" or providing the requested information. If you have more than one relationship click the "Add" button to add a row. Excess rows can be removed by clicking the "X" button.

| The Work Under Consideration f   | or Publ  | ication                 |                                  |                |            |     |
|--|----------|-------------------------|----------------------------------|----------------|------------|-----|
| Туре   | No       | Money<br>Paid<br>to You | Money to<br>Your<br>Institution* | Name of Entity | Comments** |     |
| 1. Grant   | <b>✓</b> |                         |                                  |                |            | ×   |
|  |          |                         |                                  |                |            | ADD |
| 2. Consulting fee or honorarium  | <b>✓</b> |                         |                                  |                |            | ×   |
|  |          |                         |                                  |                |            | ADD |
| <ol><li>Support for travel to meetings for<br/>the study or other purposes</li></ol>   | <b>✓</b> |                         |                                  |                |            | ×   |
|  |          |                         |                                  |                |            | ADD |
| <ol> <li>Fees for participation in review<br/>activities such as data monitoring<br/>boards, statistical analysis, end<br/>point committees, and the like</li> </ol> | <b>✓</b> |                         |                                  |                |            | ×   |
|  |          |                         |                                  |                |            | ADD |
| <ol><li>Payment for writing or reviewing<br/>the manuscript</li></ol>  | <b>√</b> |                         |                                  |                |            | ×   |
|  |          |                         |                                  |                |            | ADD |
| <ol><li>Provision of writing assistance,<br/>medicines, equipment, or<br/>administrative support</li></ol>   | <b>✓</b> |                         |                                  |                |            | ×   |



| The Work Under Consideration for Publication |       |   |  |                |            |     |  |  |
|--|-------|---|--|----------------|------------|-----|--|--|
| Ту   | pe No |   |  | Name of Entity | Comments** |     |  |  |
|  |       | ' |  |                |            | ADD |  |  |
| 7. Other                                     | ✓     |   |  |                |            | ×   |  |  |
|  |       |   |  |                |            | ADD |  |  |

# Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to submission.

Complete each row by checking "No" or providing the requested information. If you have more than one relationship click the "Add" button to add a row. Excess rows can be removed by clicking the "X" button.

| Relevant financial activities outside the submitted work |          |                         |                                  |  |          |     |  |  |
|--|----------|-------------------------|----------------------------------|--|----------|-----|--|--|
| Type of Relationship (in alphabetical order)             | No       | Money<br>Paid to<br>You | Money to<br>Your<br>Institution* | Entity   | Comments |     |  |  |
| 1. Board membership                                      | <b>✓</b> |                         |                                  |  |          | ×   |  |  |
|  |          |                         |                                  |  |          | ADD |  |  |
| 2. Consultancy   | <b>✓</b> |                         |                                  |  |          | ×   |  |  |
|  |          |                         |                                  |  |          | ADD |  |  |
| 3. Employment  |          | <b>✓</b>                |                                  | Ann Arbor VAMC;<br>University of Michigan                          |          | ×   |  |  |
|  |          |                         |                                  |  |          | ADD |  |  |
| 4. Expert testimony                                      |          | <b>✓</b>                |                                  | Legal cases focusing on medical malpractice                        |          | ×   |  |  |
|  |          |                         |                                  |  |          | ADD |  |  |
| 5. Grants/grants pending                                 |          |                         | <b>✓</b>                         | NIH; VA; AHRQ; Blue<br>Cross Blue Shield of<br>Michigan Foundation |          | ×   |  |  |
|  |          |                         |                                  |  |          | ADD |  |  |

<sup>\*</sup> This means money that your institution received for your efforts on this study.

<sup>\*\*</sup> Use this section to provide any needed explanation.



| Relevant financial activities outs                                     | side the | submit                  | ted work                         |   |  |     |
|--|----------|-------------------------|----------------------------------|---|--|-----|
| Type of Relationship (in alphabetical order)                           | No       | Money<br>Paid to<br>You | Money to<br>Your<br>Institution* | Entity  | Comments   |     |
| 6. Payment for lectures including service on speakers bureaus          |          | <b>✓</b>                |                                  | Honoraria for talks at academic meetings, group-purchasing organizations (eg. VHA, Premier), state hospital associations (eg. Michigan Health and Hospital Association), visiting professorships, non-profit foundations (eg. IHI); no speakers bureau work or talks for industry | I have received numerous honoraria and speaking fees for lectures on hospital-acquired infection prevention, implementation science, and patient safety from hospitals, academic medical centers, professional societies, and non-profit foundations. None of these activities are related to speaker bureaus or for-profit companies. | ×   |
|  |          |                         |                                  |   |  | ADD |
| <ol><li>Payment for manuscript<br/>preparation</li></ol>               | <b>√</b> |                         |                                  |   |  | ×   |
|  |          |                         |                                  |   |  | ADD |
| <ol><li>Patents (planned, pending or issued)</li></ol>                 | <b>√</b> |                         |                                  |   |  | ×   |
|  |          |                         |                                  |   |  | ADD |
| 9. Royalties   |          | <b>✓</b>                |                                  | Lippincott Williams<br>and Wilkins; McGraw-<br>Hill; Wiley-Blackwell -<br>all for books I have<br>authored or edited;<br>Oxford University Press  |  | ×   |
|  |          |                         |                                  |   |  | ADD |
| <ol><li>Payment for development of educational presentations</li></ol> | <b>✓</b> |                         |                                  |   |  | ×   |
|  |          |                         |                                  |   |  | ADD |
| 11. Stock/stock options  |          | <b>✓</b>                |                                  | Doximity and Jvion  | I am on the medical advisory board of Doximity, a new social networking site for physicians, and the scientific advisory board of Jvion, a healthcare technology company.  | ×   |



|   |              |           |      | ADD |
|---|--------------|-----------|------|-----|
| <ol> <li>Travel/accommodations/<br/>meeting expenses unrelated to<br/>activities listed**</li> </ol>  | <b>✓</b>     |           |      | ×   |
|   |              |           |      | ADD |
| <ol><li>Other (err on the side of full disclosure)</li></ol>  | <b>✓</b>     |           |      | ×   |
|   |              |           |      | ADD |
| * This means money that your institution received for your efforts.  ** For example, if you report a consultancy above there is no need to report travel related to that consultancy on this line.                                    |              |           |      |     |
| Section 4. Other relationships  |              |           |      |     |
| Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?   |              |           |      |     |
| No other relationships/conditions/circumstances that present a potential conflict of interest   |              |           |      |     |
| Yes, the following relationships/conditions/circumstances are present (explain below):  |              |           |      |     |
| At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships. |              |           |      |     |
| Hide All Ta   | ble Rows Che | cked 'No' | SAVE |     |

#### **Evaluation and Feedback**

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