

#### Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in four parts.

#### 1. Identifying information.

Enter your full name. If you are NOT the corresponding author please check the box "no" and a space to enter the name of the corresponding author in the space that appears. Provide the requested manuscript information. Double-check the manuscript number and enter it.

#### 2. The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes". Then complete the appropriate boxes to indicate the type of support and whether the payment went to you, or to your institution, or both.

### 3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

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### Other relationships.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.



Section 1.	Identifying Inform	mation		
1. Given Name (Fi Robert	rst Name)	2. Surname (Last Name) Kane		3. Effective Date (07-August-2008) 08-February-2012
4. Are you the corresponding author?		Yes 🖌 No	Corresponding Author's Na Tatyana Shamliyan	me
5. Manuscript Title Systematic Revie		or Knee Pain Secondary to	Osteoarthritis	

6. Manuscript Identifying Number (if you know it)

# Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc...)?

Complete each row by checking "No" or providing the requested information. If you have more than one relationship click the "Add" button to add a row. Excess rows can be removed by clicking the "X" button.

The Work Under Consider	ration for Pub	lication				
Туре	No	Money Paid to You	Money to Your Institution*	Name of Entity	Comments**	
1. Grant			$\checkmark$	AHRQ	EPC contracted to do this work	×
						ADD

\* This means money that your institution received for your efforts on this study.

\*\* Use this section to provide any needed explanation.

## Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to submission.

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#### Relevant financial activities outside the submitted work



Relevant financial activities outside the submitted work						
Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your Institution*	Entity	Comments	
Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your Institution*	Entity	Comments	

\* This means money that your institution received for your efforts.

\*\* For example, if you report a consultancy above there is no need to report travel related to that consultancy on this line.

# Section 4. Other relationships

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

✓ No other relationships/conditions/circumstances that present a potential conflict of interest

Yes, the following relationships/conditions/circumstances are present (explain below):

At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.

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#### **Evaluation and Feedback**



### ICMJE Uniform Disclosure Form for Potential Conflicts of Interest

#### Section 1. Identifying Information.

Given Name: Mary (or first)	aame: ast) Butler	Effective Date: 6/30/2014 Format example: 07-August-2008
Are you the corresponding author? $\overline{\mathbf{X}}$ Yes		
Corresponding author's name:		
Manuscript Title: Decision Aids for Advance Ca	are Planning: State of the Science	
Manuscript Identifying Number (if you know	w it): M14-0644	

#### Section 2. Information about the support of the work under consideration for publication.

Did you or your institution at any time receive payment or support in kind for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc...)?

∏ No

X Yes, specify nature of compensation Project completed as contract for AHRQ

#### Section 3. Information about relevant financial relationships outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with any entities that have an interest related to the submitted work. Use one line for each entity; add as many lines as you need. Use the comments column to indicate any additional information that you think a reader or editor would want to know about the compensation. Report relationships that were present during the 36 months prior to submission. In addition please disclose relationships that fall outside the 36-month window that readers may want to know about and could reasonably criticize you for not disclosing (for example, long-term financial relationships that are now ended).

If you have more than one relationship, click "Add +" to add a row. Click "Del ×" to delete an extra row.

Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your institution	Entity	Comments	
Board membership	x					Del ×
						Add +
Consultancy	X					Del ×
						Add +
Employment	X	Г				Del ×
		1				Add +



### **ICMJE Uniform Disclosure Form for Potential Conflicts of Interest**

					And in case of the local division in which the local division in t
Expert testimony	X			Del	×
				Add	+
Gifts	X			Del	×
				Add	+
Grants/grants pending	X			Del	×
				Add	+
Honoraria	X	Г		Del	×
				Add	+
Payment for manuscript preparation	X	Γ	Γ	Del	×
				Add	+
Patents (planned, pending or issued)	X			Del	×
				 Add	+
Royalties	X			Del	×
				Add	+
Payment for development of educational presentations including service on speakers' bureaus	X			Del	×
				Add	+
Stock/stock options	X	Γ	Π	Del	×
				Add	+
Travel/accommodations expenses covered or reimbursed	X	Г		Del	×
				Add	1 +
Other (err on the side of full disclosure)	X		Γ	Del	×
	r			Add	1+

# Section 4. Information about financial relationships involving your spouse or partner or your children (under 18 years of age).

Do your children or your spouse or partner have financial relationships with entities that have an interest in the content of the submitted work?

X No other relationships/conditions/circumstances that present potential conflict of interest

☐ Yes, the following relationships/conditions/circumstances are present (explain below):



### **ICMJE Uniform Disclosure Form for Potential Conflicts of Interest**

#### Section 5. Information about relevant nonfinancial associations.

Do you have any relevant nonfinancial associations or interests (personal, professional, political, institutional, religious, or other) that a reasonable reader would want to know about in relation to the submitted work?

X No relevant nonfinancial relationships/conditions/circumstances to report.

Yes, the following relevant nonfinancial relationships/conditions/circumstances are present (explain below):

At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.

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### 4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

#### 5. Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

#### **Definitions.**

**Entity:** government agency, foundation, commercial sponsor, academic institution, etc.

**Grant:** A grant from an entity, generally [but not always] paid to your organization

**Personal Fees:** Monies paid to you for services rendered, generally honoraria, royalties, or fees for consulting , lectures, speakers bureaus, expert testimony, employment, or other affiliations

**Non-Financial Support:** Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

Other: Anything not covered under the previous three boxes Pending: The patent has been filed but not issued Issued: The patent has been issued by the agency Licensed: The patent has been licensed to an entity, whether earning royalties or not Royalties: Funds are coming in to you or your institution due to your

Royalties: Funds are coming in to you or your institution due to your patent



Section 1. Identifying Inform	nation	
1. Given Name (First Name) Edward	2. Surname (Last Name) Ratner	3. Date 01-July-2014
4. Are you the corresponding author?	Yes 🖌 No	Corresponding Author's Name Mary Butler
5. Manuscript Title Decision Aids for Advanced Care Plann	ing: State of the Science	

M14-0644

### Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row	w.
Excess rows can be removed by pressing the "X" button.	

Name of Institution/Company	Grant?	Personal Fees	Non-Financial Support <mark>?</mark>	Other?	Comments	
AHRQ	$\checkmark$					

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No

Are there any relevant conflicts of interest?  $\checkmark$  Yes

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees	Non-Financial Support?	Other?	Comments	
Veterans Health Administration				$\checkmark$	employment as staff physician	
HRSA	$\checkmark$				Geriatric Education Center faculty	



### Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Ves

### Section 5. Relationships not covered above

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### Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Ratner reports grants from AHRQ, during the conduct of the study; other from Veterans Health Administration, grants from HRSA, outside the submitted work; .

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**Royalties:** Funds are coming in to you or your institution due to your patent



Section 1. Identifying	g Information	
1. Given Name (First Name) Ellen	2. Surname (Last Name) McCreedy	3. Date 01-July-2014
4. Are you the corresponding aut	hor? Yes 🖌 No	Corresponding Author's Name Mary Butler
5. Manuscript Title Decision Aids for Advanced Ca	are Planning: State of the Science	

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🖌 No

Are there any relevant conflicts of interest?		Yes
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No

Are there any relevant conflicts of interest?		Yes	$\checkmark$
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# Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? $[$	Yes	🖌 No	



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Royalties: Funds are coming in to you or your institution due to your patent



Section 1. Identifying Inform	mation		
1. Given Name (First Name) Nathan	2. Surname (Last Name) Shippee	3. Da 30-Ju	ate une-2014
4. Are you the corresponding author?	Yes 🖌 No	Corresponding Author's Name Mary Butler	
5. Manuscript Title Decision Aids for Advanced Care Planı	ning: State of the Science		

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🖌 No

Are there any relevant conflicts of interest?	Yes
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Dr. Shippee has nothing to disclose.

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