

Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

Identifying information.

2. The work under consideration for publication.

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3. Relevant financial activities outside the submitted work.

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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

Relationships not covered above.

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Other: Anything not covered under the previous three boxes

Pending: The patent has been filed but not issued

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Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

Ehlenbach 1



Section 1.	Identifying Inform	nation					
1. Given Name (Fii William	rst Name)	2. Surname (Last Name) Ehlenbach		3. Date 18-September-2014			
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Name	е			
5. Manuscript Title Neighborhood Socioeconomic Disadvantage and 30 Day Rehospitalizations: An Analysis of Medicare Data							
6. Manuscript Ider M13-2946	ntifying Number (if you kr	now it)					
			_				
Section 2.	The Work Under Co	onsideration for Public	cation				
any aspect of the s statistical analysis,	ubmitted work (including	but not limited to grants, da	a third party (government, comi ta monitoring board, study desig	mercial, private foundation, etc.) for gn, manuscript preparation,			
Section 3.	Relevant financial	activities outside the s	submitted work.				
of compensation clicking the "Add Are there any rel	ı) with entities as descri	ibed in the instructions. Us port relationships that wer	•	cionships (regardless of amount d as many lines as you need by onths prior to publication.			
Section 4.	Intellectual Proper	rty Patents & Copyric	yhts				
Do you have any	patents, whether plan	ned, pending or issued, br	oadly relevant to the work?	☐ Yes ✓ No			

Ehlenbach 2



Section 5. Polationships not severed above
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Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):
✓ No other relationships/conditions/circumstances that present a potential conflict of interest
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements On occasion, journals may ask authors to disclose further information about reported relationships.
Section 6. Disclosure Statement
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.
Dr. Ehlenbach has nothing to disclose.

Evaluation and Feedback

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Ehlenbach 3



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Royalties: Funds are coming in to you or your institution due to your patent

Kind 1



Section 1.	Identifying Inform	ation			
1. Given Name (Fir Amy		2. Surname (Last N Kind	ame)		3. Date 19-September-2014
4. Are you the cor	responding author?	✓ Yes No			
5. Manuscript Title Neighborhood S	e ocioeconomic Disadvar	ntage and 30 Day F	Rehospitalizations:	An Analysi	is of Medicare Data
6. Manuscript Ider M13-2946	ntifying Number (if you kno	ow it)			
Section 2.	The Work Under Co	onsideration for	Publication		
any aspect of the s statistical analysis,	ubmitted work (including etc.)?	but not limited to gr			nt, commercial, private foundation, etc.) for udy design, manuscript preparation,
Are there any relevant conflicts of interest? Yes No If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a ro					
	pe removed by pressing		ou nave more than	n one enui	Ly press the ADD button to add a row.
Name of Institut	ion/Company	Grant? Persona Fees?	Non-Financial Support?	Other?	Comments
National Institutes of	Health- NIA	✓			Beeson K23 Career Development Award
John Hartford Founda	ation				Beeson K23 Career Development Award
Section 3.	Relevant financial a	activities outsid	e the submitted	work.	
of compensation) with entities as descril	bed in the instructi	ons. Use one line f	or each en	ial relationships (regardless of amount tity; add as many lines as you need by 36 months prior to publication.
Are there any rele	evant conflicts of intere	st? Yes	No		
Section 4.	Intellectual Proper	ty Patents & C	opyrights		
Do you have any	patents, whether planr	ned, pending or iss	ued, broadly releva	ant to the v	work? Yes 🗸 No

Kind 2



Section 5. Polationships not severed above
Relationships not covered above
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Yes, the following relationships/conditions/circumstances are present (explain below):
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Section 6. Disclosure Statement
Disclosure Statement
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.
Dr. Kind reports grants from National Institutes of Health- NIA, grants from John Hartford Foundation, during the conduct of the study.

Evaluation and Feedback

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Kind 3



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Royalties: Funds are coming in to you or your institution due to your patent

Brock 1



Section 1. Identifying Inform	nation		
1. Given Name (First Name) Jane	2. Surname (Last Name) Brock		3. Date 07-October-2014
4. Are you the corresponding author?	Yes ✓ No	Corresponding Author's Name Amy Kind	
5. Manuscript Title Neighborhood Socioeconomic Disadva	ntage and 30-day Rehosp	italizations: An Analysis of M	1edicare Data
6. Manuscript Identifying Number (if you kr M13-2946	now it)		
Section 2. The Work Under Co	onsideration for Public	cation	
Did you or your institution at any time rece any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of intere	but not limited to grants, da		
Section 3. Relevant financial	activities outside the s	submitted work.	
Place a check in the appropriate boxes i of compensation) with entities as descri clicking the "Add +" box. You should rep Are there any relevant conflicts of interes	ibed in the instructions. Us port relationships that wer	se one line for each entity; a	dd as many lines as you need by
Section 4. Intellectual Proper			
Intellectual Proper	rty Patents & Copyric	ghts	
Do you have any patents, whether plan	ned, pending or issued, br	roadly relevant to the work?	☐ Yes ✓ No

Brock 2



Coation F	
Section 5.	Relationships not covered above
	elationships or activities that readers could perceive to have influenced, or that give the appearance of ncing, what you wrote in the submitted work?
✓ Yes, the follow	wing relationships/conditions/circumstances are present (explain below):
No other rela	tionships/conditions/circumstances that present a potential conflict of interest
	nd an honorarium from the Massachusetts Hospital Association for presenting content related to uctions strategies;
	ocontract with The Lewin Group, that is funded by CMS to provide technical assistance to Communityons awarded under the Community Based Care Transitions Program.
	nuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. rnals may ask authors to disclose further information about reported relationships.

Section 6.

Disclosure Statement

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Dr. Brock reports and Travel support and an honorarium from the Massachusetts Hospital Association for presenting content related to readmissions reductions strategies

Telligen has a subcontract with The Lewin Group, that has a contract from CMS to provide technical assistance to Community-Based Organizations awarded under the Community Based Care Transitions Program..

Evaluation and Feedback

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Brock 3



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Royalties: Funds are coming in to you or your institution due to your patent

Yu 1



Section 1. Identifying Inform	nation				
Given Name (First Name) Menggang	2. Surname (Last Name) Yu	3. Date 04-October-2014			
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Amy Kind			
5. Manuscript Title Neighborhood Socioeconomic Disadva	antage and 30 Day Rehosp	talizations: An Analysis of Medicare Data			
6. Manuscript Identifying Number (if you ki M13-2946	now it)				
Section 2. The Work Under C	onsideration for Public	cation			
	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ita monitoring board, study design, manuscript preparation,			
Section 3. Relevant financial	activities outside the s	submitted work.			
of compensation) with entities as descr	ibed in the instructions. Us port relationships that wer	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re present during the 36 months prior to publication.			
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Do you have any patents, whether plan	ned, pending or issued, br	roadly relevant to the work? Yes V No			

Yu 2



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Relationships not covered above
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Dr. Yu has nothing to disclose.

Evaluation and Feedback

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Yu 3



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Section 1.	Identifying Inform	ation							
1. Given Name (Fi Stephen			ne (Last Nan	ne)	3. Date 24-July-2013				
4. Are you the cor	responding author?	Yes	✓ No	-	Corresponding Author's Name Amy J.H. Kind				
5. Manuscript Title Neighborhood Socioeconomic Disadvantage and 30 Day Rehospitalizations: An Analysis of Medicare Data									
6. Manuscript Ider M13-2946	6. Manuscript Identifying Number (if you know it) M13-2946								
Section 2.	The Work Under Co	onsiderat	tion for Pu	ublication					
any aspect of the s statistical analysis,	Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest? Yes No								
Section 3.	Section 3. Relevant financial activities outside the submitted work.								
Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to publication . Are there any relevant conflicts of interest? Yes No If yes, please fill out the appropriate information below.									
Name of Entity		Grant?	Personal Fees?	Non-Financial Support?	Other •	Comments			
CareCentrix			√			Member, Scientific Advisory Board			
novalon			√			consultant on analysis of their data			
Affymax			✓			Member, Scientific Advisory Board			
Curaspan			✓			Speaking fees			
Reinforced Care			✓			one-time consultancy			
Health Services Advis	ory Group		✓			speaking, teaching, consulting			
Delmarva Foundation	١		√			consulting fee			
Connecticut Peer Rev	iew Organization		✓			assistance in preparing proposal			



Name of Entity	Grant?	Personal Fees	Non-Financial Support?	Other?	Comments	
Maryland Health Services Cost Review Commission		✓			consulting fees	
Institute for Healthcare Improvement		\checkmark			consulting fees	
American Association for Respiratory Care		\checkmark			speaking fees	
Monaghan Medical		\checkmark			speaking fee	
lowa Society for Respiratory Care		\checkmark			speaking fee	
Maryland Health Services Cost Review Commission		✓			per diem & travel	
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo						
Section 5. Balatianakina nata						
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work? Yes, the following relationships/conditions/circumstances are present (explain below): No other relationships/conditions/circumstances that present a potential conflict of interest The Maryland Health Services Cost Review Commission, of which I am a Commissioner, is considering using methods described in this paper in setting hospital revenue limits.						

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Section 6.

Disclosure Statement

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Dr. Jencks reports personal fees from CareCentrix, personal fees from Inovalon, personal fees from Affymax, personal fees from Curaspan, personal fees from Reinforced Care, personal fees from Health Services Advisory Group, personal fees from Delmarva Foundation, personal fees from Connecticut Peer Review Organization, personal fees from Maryland Health Services Cost Review Commission, personal fees from Institute for Healthcare Improvement, personal fees from American Association for Respiratory Care, personal fees from Monaghan Medical, personal fees from Iowa Society for Respiratory Care, outside the submitted work;

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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

Relationships not covered above.

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Other: Anything not covered under the previous three boxes

Pending: The patent has been filed but not issued

Issued: The patent has been issued by the agency

Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

Bartels 1



Section 1.	Identifying Inform	nation						
1. Given Name (Fi Christie	rst Name)	2. Surname (Last Name) Bartels	3. Date 19-September-2014					
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Name Amy J H Kind					
5. Manuscript Title Neighborhood S		intage and 30 Day Rehosp	italizations in Medicare					
6. Manuscript Ide M13-2946	ntifying Number (if you kr	now it)						
Section 2.	Section 2. The Work Under Consideration for Publication							
any aspect of the s statistical analysis,	submitted work (including	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,					
Section 3.	Relevant financial	activities outside the s	submitted work.					
of compensation clicking the "Add	n) with entities as descri	ibed in the instructions. Us port relationships that wer	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re present during the 36 months prior to publication.					
Section 4.	Intellectual Prope	rty Patents & Copyrig	ghts					
Do you have any	patents, whether plan	ned, pending or issued, br	oadly relevant to the work? Yes V No					

Bartels 2



Section 5. Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):
✓ No other relationships/conditions/circumstances that present a potential conflict of interest
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements On occasion, journals may ask authors to disclose further information about reported relationships.
Section 6. Disclosure Statement
Disclosure Statement
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.
Dr. Bartels has nothing to disclose.

Evaluation and Feedback

Please visit http://www.icmje.org/cgi-bin/feedback to provide feedback on your experience with completing this form.

Bartels 3



Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

Identifying information.

2. The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes".

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Royalties: Funds are coming in to you or your institution due to your patent

Smith 1



Section 1. Identifying Inform	nation		
Given Name (First Name) Maureen	2. Surname (Last Name) Smith	3. Date 18-September-2014	
4. Are you the corresponding author?	Yes No		
5. Manuscript Title Neighborhood Socioeconomic Disadva	ntage and 30 Day Rehospitalizations: An Analysis of	Medicare Data	
6. Manuscript Identifying Number (if you kr M13-2946	now it)		
Section 2. The Work Under Co	onsideration for Publication		
Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest? Yes No			
Section 3. Relevant financial	activities outside the submitted work.		
Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to publication . Are there any relevant conflicts of interest? Yes Vo			
Section 4. Intellectual Property			
Intellectual Proper	rty Patents & Copyrights		
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo			

Smith 2



Section 5. Relationships not sovered above
Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):
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Section 6. Disclosure Statement
Disclosure Statement
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.
Dr. Smith has nothing to disclose.

Evaluation and Feedback

Please visit http://www.icmje.org/cgi-bin/feedback to provide feedback on your experience with completing this form.

Smith 3



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Royalties: Funds are coming in to you or your institution due to your patent

Greenberg 1



Section 1. Identifying Inform	nation		
Given Name (First Name) Caprice	2. Surname (Last Name) Greenberg	3. Date 22-September-2014	
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Amy J. Kind	
5. Manuscript Title Neighborhood Socioeconomic Disadva	intage and 30 Day Rehospi	italizations: An Analysis of Medicare Data	
6. Manuscript Identifying Number (if you kr M13-246	now it)	_	
Section 2. The Work Under C			
The Work Under Co	onsideration for Public	ation	
Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest? Yes No			
Section 3. Relevant financial	activities outside the s	ubmitted work.	
of compensation) with entities as descri	ibed in the instructions. Us port relationships that wer	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by se present during the 36 months prior to publication.	
Section 4. Intellectual Proper	rty Patents & Copyri <u>c</u>	hts	
Do you have any patents, whether plan	ned, pending or issued, br	oadly relevant to the work? Yes V No	

Greenberg 2



Section 5. Relationships not covered above
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Greenberg 3