

Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

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Royalties: Funds are coming in to you or your institution due to your patent

Gagne 1



	ı				
Section 1.	Identifying Inform	ation			
1. Given Name (Fi Joshua	rst Name)	lame)		3. Date 26-June-2014	
4. Are you the cor	responding author?	✓ Yes No)		
5. Manuscript Title Comparative eff	e ectiveness of generic ar	nd brand-name sta	tins on patient out	comes	
6. Manuscript Idei M13-2942	ntifying Number (if you kn	ow it)			
Section 2					
Section 2.	The Work Under Co	onsideration for	Publication		
any aspect of the s statistical analysis, Are there any rel	ubmitted work (including etc.)? evant conflicts of intere	but not limited to g	rants, data monitorin	g board, st	ent, commercial, private foundation, etc.) for udy design, manuscript preparation,
	but the appropriate into be removed by pressing		you nave more thai	n one enti	ty press the "ADD" button to add a row.
Name of Institut	ion/Company	Grant? Person		Other?	Comments
Teva Pharmaceutical	S	✓			This study was supported by an unrestricted research grant from Teva Pharmaceuticals. The sponsor had no role in the design and conduct of the study; collection, management, analysis, and interpretation of the data; and preparation of the manuscript. The sponsor was given the opportunity to review the manuscript but had no role in approval or the decision to submit the manuscript for publication.
Section 3.					
Section 5.	Relevant financial	activities outsid	e the submitted	work.	
of compensation clicking the "Add	n) with entities as descri	bed in the instruct port relationships t	ions. Use one line f	or each er	cial relationships (regardless of amount ntity; add as many lines as you need by a 36 months prior to publication .

Gagne 2



Section 4. Intellectual Property Patents & Convergets
Intellectual Property Patents & Copyrights
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Volume No
Section 5. Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
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Drs. Matlin, Brennan, and Shrank and Mr. Hutchins are employees of CVS Caremark.
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Dr. Gagne reports grants from Teva Pharmaceuticals, during the conduct of the study; and Drs. Matlin, Brennan, and Shrank and Mr. Hutchins are employees of CVS Caremark.

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Royalties: Funds are coming in to you or your institution due to your patent

1

administrative support, etc. Kesselheim



Section 1. Identifying Inform	nation				
identifying inform	lation				
Given Name (First Name) Aaron	2. Surname (Last Name) Kesselheim)	3. Date 26-June-2014		
4. Are you the corresponding author?	☐ Yes ✓ No	Correspond Gagne	ding Author's Name		
5. Manuscript Title Comparative effectiveness of generic ar	nd brand-name statins c	on patient outc	comes		
6. Manuscript Identifying Number (if you kr M13-2942	now it)				
Section 2. The Work Under Co	onsideration for Pub	lication			
any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of intere	but not limited to grants,	data monitoring	(government, commercial, private foundation, g board, study design, manuscript preparation,		
Section 3. Relevant financial activities outside the submitted work.					
of compensation) with entities as descri	ibed in the instructions. port relationships that w est? ✓ Yes No ormation below.	Use one line fo vere present d	ave financial relationships (regardless of an or each entity; add as many lines as you neduring the 36 months prior to publication	ed by	
Name of Entity	Grant? Personal N	lon-Financial Support <mark>?</mark>	Other? Comments		
FDA Office of Generic Drugs	✓		Dr. Kesselheim has received two grants from the FDA OGD in 2013-2015		
Greenwall Faculty Scholar Foundation	✓		Dr. Kesselheim's work is supported be the Greenwall Faculty Scholar Foundation	ЭУ	
CVS Caremark	✓		Dr. Kesselheim received a grant fron CVS Caremark in 2014	n	

Kesselheim 2



Section 4. Intellectual Property Patents & Copyrights
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo
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Dr. Kesselheim reports grants from FDA Office of Generic Drugs, grants from Greenwall Faculty Scholar Foundation, grants from CVS Caremark, outside the submitted work.

Evaluation and Feedback

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Kesselheim 3



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Polinski 1



Section 1. Identifying Inform	nation	
Given Name (First Name) Jennifer	2. Surname (Last Name) Polinski	3. Date 26-June-2014
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Joshua J. Gagne, PharmD, ScD
5. Manuscript Title Comparative effectiveness of generic a	and brand-name statins on	patient outcomes
6. Manuscript Identifying Number (if you k M13-2942	now it)	
Section 2. The Work Under C	onsideration for Publi	cation
	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation,
Section 3. Relevant financial	activities outside the	submitted work.
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Do you have any patents, whether plan	nned, pending or issued, b	roadly relevant to the work? Yes V No

Polinski 2



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Dr. Polinski has nothing to disclose.

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Matlin 1



Section 1.	Identifying Inform	nation				
1. Given Name (Fi Olga	rst Name)	2. Surname (Last Name) Matlin		3. Date 03-July-2014		
4. Are you the cor			Corresponding Author's Gagne, J	Name		
5. Manuscript Title Comparative effectiveness of generic and brand-name statins on patient outcomes						
6. Manuscript Ider M13-2942	ntifying Number (if you kr	now it)				
	l					
Section 2.	The Work Under C	onsideration for Publ	cation			
any aspect of the s statistical analysis, Are there any rel	ubmitted work (including	g but not limited to grants, d		, commercial, private foundation, etc.) for v design, manuscript preparation,		
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of compensation clicking the "Add Are there any rel) with entities as descr	ibed in the instructions. Uport relationships that we est? Yes No	lse one line for each entit	relationships (regardless of amount y; add as many lines as you need by 6 months prior to publication .		
Name of Entity		Grant'	on-Financial Other?	Comments		
CVS Caremark			☐ ✓ En	nployed by entity		
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Matlin 2



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Dr. Matlin is an employee of CVS Caremark.

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1. Given Name (First Name) Niteesh	2. Surname (Last Name) Choudhry	3. Date 01-July-2014		
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Joshua Gagne		
5. Manuscript Title Comparative effectiveness of generic an	d brand-name statins on	patient outcomes		
6. Manuscript Identifying Number (if you kno	ow it)			
		-		
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Did you or your institution at any time receivany aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of interest	but not limited to grants, da			
If yes, please fill out the appropriate inforest forms can be removed by pressing	rmation below. If you hav	e more than one enti	ty press the "ADD" button to add a row.	
Name of Institution/Company	Grant•	n-Financial Other?	Comments	
Teva Pharmaceuticals	✓		Payable to my institution.	
Section 3. Relevant financial a	ctivities outside the s	ubmitted work.		
Place a check in the appropriate boxes in of compensation) with entities as described clicking the "Add +" box. You should report there any relevant conflicts of interest fyes, please fill out the appropriate information.	oed in the instructions. Us ort relationships that wer st?	e one line for each en	tity; add as many lines as you need by	
Name of Entity	Grant	n-Financial Other?	Comments	
CVS Caremark	V		Unrestricted grant payable to my institution to study medication adherence	



Name of Entity	Grant?	Personal Fees	Non-Financial Support?	Other?	Comments
Aetna	✓				Unrestricted grant payable to my institution to study disparities in medication adherence
Commonwealth Fund	✓				Unrestricted grant payable to my institution to study medication adherence
Robert Wood Johnson Foundation	√				Unrestricted grant payable to my institution to study medication adherence
Merck	✓				Unrestricted grant payable to my institution to study medication adherence
PhRMA	✓				Unrestricted grant payable to my institution to study medication adherence
CVS Caremark		✓			Honorarium for advisory board participation
NHLBI	✓				Grant to design, conduct and evaluate an adherence improvement trial
Section 4. Intellectual Propert	y Pate	ents & Co _l	pyrights		
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? ☐ Yes ✓ No					
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Dr. Choudhry reports grants from Teva Pharmaceuticals, during the conduct of the study; grants from CVS Caremark, grants from Aetna, grants from Commonwealth Fund, grants from Robert Wood Johnson Foundation, grants from Merck, grants from PhRMA, personal fees from CVS Caremark, grants from NHLBI, outside the submitted work;

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Issued: The patent has been issued by the agency

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Royalties: Funds are coming in to you or your institution due to your patent

Shrank 1



Section 1. Identifying Inform	nation					
1. Given Name (First Name) William	2. Surname (Last Name) Shrank	3. Date 08-July-2014				
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Josh Gagne				
5. Manuscript Title The comparative effectiveness of generic and brand-name cardiovascular medications on clinical outcomes						
6. Manuscript Identifying Number (if you k M13-2942	now it)					
Section 2. The Work Under C	Consideration for Public	cation				
	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,				
Section 3. Relevant financial	activities outside the s	submitted work.				
of compensation) with entities as desc	ribed in the instructions. Use port relationships that wer	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by se present during the 36 months prior to publication.				
Section 4. Intellectual Prope						
Intellectual Prope	rty Patents & Copyri	yhts				
Do you have any patents, whether plan	nned, pending or issued, br	oadly relevant to the work? Yes V No				

Shrank 2



Section 5. Polationships not sovered above
Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
✓ Yes, the following relationships/conditions/circumstances are present (explain below):
No other relationships/conditions/circumstances that present a potential conflict of interest
I work for CVS Caremark, a retail pharmacy that has decided to stop selling cigarettes in our outlets.
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Shrank 3



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Royalties: Funds are coming in to you or your institution due to your patent

Brennan 1



Section 1.	Identifying Inform	nation			
1. Given Name (Fi Troyen	rst Name)	2. Surname (Last Name) Brennan		3. Date 26-June-2014	
4. Are you the corresponding author?		✓ Yes No			
5. Manuscript Title "Comparative effectiveness of generic and brand-name statins on patient outcomes"					
6. Manuscript Identifying Number (if you know it)					
Section 2.	The Work Under Co	onsideration for Pub	lication		
any aspect of the s statistical analysis,	ubmitted work (including	but not limited to grants,		nment, commercial, private foundation, e l, study design, manuscript preparation,	etc.) for
Section 3.	Relevant financial	activities outside the	submitted work		
of compensation clicking the "Add Are there any rel) with entities as descri	ibed in the instructions. port relationships that w est?	Use one line for each	ancial relationships (regardless of amn entity; add as many lines as you nee the 36 months prior to publication	ed by
Name of Entity		Grant? Personal N	on-Financial Othe	r? Comments	
CVS Caremark				Compensation & Stocks	
C. vi.	<u> </u>				
Section 4.	Intellectual Proper	ty Patents & Copyı	rights		
Do you have any	patents, whether plan	ned, pending or issued,	broadly relevant to t	he work? Yes No	

Brennan 2



Section 5. Polationships not severed above			
Relationships not covered above			
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?			
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✓ No other relationships/conditions/circumstances that present a potential conflict of interest			
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Section 6. Disclosure Statement			
Disclosure Statement			
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Dr. Brennan reports other from CVS Caremark, outside the submitted work; .			

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Brennan 3



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Royalties: Funds are coming in to you or your institution due to your

patent

Hutchins 1



Section 1.	Identifying Inform	nation		
1. Given Name (First Name) David		2. Surname (Last Name) Hutchins	3. Date 01-July-2014	
4. Are you the corresponding author?		Yes ✓ No	Corresponding Author's Name Joshua Gagne, PharmD, ScD	
5. Manuscript Title Comparative effectiveness of generic and brand-name statins on p		nd brand-name statins on	patient outcomes	
6. Manuscript Iden M13-2942	ntifying Number (if you kr	now it)		
Section 2.	The Work Under Co	onsideration for Public	cation	
any aspect of the su statistical analysis, o	ubmitted work (including	but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation,	
Section 3.	Relevant financial	activities outside the s	submitted work.	
of compensation clicking the "Add) with entities as descri	bed in the instructions. Use port relationships that wer	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re present during the 36 months prior to publication .	
Section 4.	Intellectual Proper	ty Patents & Copyri	ghts	
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo				

Hutchins 2



Section 5. Politicarchine not covered above				
Relationships not covered above				
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?				
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Section 6. Disclosure Statement				
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Dr. Hutchins has nothing to disclose.				

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Royalties: Funds are coming in to you or your institution due to your patent

Avorn 1



Section 1.	Identifying Inform	ation	
1. Given Name (First Name) Jerry		2. Surname (Last Name) Avorn	3. Date 07-July-2014
4. Are you the corresponding author?		Yes ✓ No	Corresponding Author's Name Gagne, Joshua
5. Manuscript Title Comparative effectiveness of statins			
6. Manuscript Identifying Number (if you know it) M13-2942			
Section 2.	The Work Under Co	onsideration for Public	ation
any aspect of the s statistical analysis,	ubmitted work (including	but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,
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Section 4.	Intellectual Proper	ty Patents & Copyric	yhts
Do you have any	patents, whether plan	ned, pending or issued, br	oadly relevant to the work? ☐ Yes ✓ No

Avorn 2



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