

#### **Instructions**

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in four parts.

## 1. Identifying information.

Enter your full name. If you are NOT the corresponding author please check the box "no" and a space to enter the name of the corresponding author in the space that appears. Provide the requested manuscript information. Double-check the manuscript number and enter it.

# 2. The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes". The complete the appropriate boxes to indicate the type of support and whether the payment went to you, or to your institution, or both.

## 3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work's sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

For grants you have received for work outside the submitted work, you should disclose support ONLY from entities that could be perceived to be affected financially by the published work, such as drug companies, or foundations supported by entities that could be perceived to have a financial stake in the outcome. Public funding sources, such as government agencies, charitable foundations or academic institutions, need not be disclosed. For example, if a government agency sponsored a study in which you have been involved and drugs were provided by a pharmaceutical company, you need only list the pharmaceutical company.

# 4. Other relationships.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.



Section 1. Identifying Infor	mation		
1. Given Name (First Name) H. Gilbert	2. Surname (Last Name) Welch		3. Effective Date (07-August-2008) 23-July-2012
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Na Heiko Pohl	me
5. Manuscript Title Repeat Upper Endoscopy in the Medic	care Population		
6. Manuscript Identifying Number (if you M13-0046	know it)		

# Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc...)?

Complete each row by checking "No" or providing the requested information. If you have more than one relationship click the "Add" button to add a row. Excess rows can be removed by clicking the "X" button.

The Work Under Consideration	for Pub	lication				
Туре	No	Money Paid to You	Money to Your Institution*	Name of Entity	Comments**	
2. Consulting fee or honorarium		<b>√</b>		MedPAC (Medicare)	Fees donated to local charities in the Upper Connecticut Valley of New Hampshire and Vermont	×
						ADD

<sup>\*</sup> This means money that your institution received for your efforts on this study.

<sup>\*\*</sup> Use this section to provide any needed explanation.



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Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to submission.

Complete each row by checking "No" or providing the requested information. If you have more than one relationship click the "Add" button to add a row. Excess rows can be removed by clicking the "X" button.

Relevant financial activities outside the submitted work						
Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your Institution*	Entity	Comments	
2. Consultancy		<b>✓</b>		MedPAC (Medicare)	analyzing repetitive testing in Medicare	×
						ADD
3. Employment		$\checkmark$		Dartmouth College	Course Instruction	×
						ADD
6. Payment for lectures including service on speakers bureaus		<b>✓</b>		Boston University	"Overdiagnosis" (two visits: Medical School & School of Public Health), honoraria donated to local charities in the Upper Connecticut Valley of New Hampshire and Vermont	×
Payment for lectures including service on speakers bureaus		<b>✓</b>		Harvard University	"Overdiagnosis", honoraria donated to local charities in the Upper Connecticut Valley of New Hampshire and Vermont	×
Payment for lectures including service on speakers bureaus		<b>✓</b>		Tufts University	"Overdiagnosis", honoraria donated to local charities in the Upper Connecticut Valley of New Hampshire and Vermont	x
6. Payment for lectures including service on speakers bureaus		<b>✓</b>		Cato Institute	"Overdiagnosis", honoraria donated to local charities in the Upper Connecticut Valley of New Hampshire and Vermont	×



Relevant financial activities out	side the	submit	ted work			
Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your Institution*	Entity	Comments	
6. Payment for lectures including service on speakers bureaus		<b>✓</b>		McDougall Advanced Study	"Overdiagnosis", honoraria donated to local charities in the Upper Connecticut Valley of New Hampshire and Vermont	×
6. Payment for lectures including service on speakers bureaus		<b>✓</b>		Pharmacy Benefit Management Institute	"Overdiagnosis", honoraria donated to local charities in the Upper Connecticut Valley of New Hampshire and Vermont	×
6. Payment for lectures including service on speakers bureaus		<b>✓</b>		St Elizabeth Hospital Lincoln, NE	"Overdiagnosis", honoraria donated to local charities in the Upper Connecticut Valley of New Hampshire and Vermont	×
6. Payment for lectures including service on speakers bureaus		<b>✓</b>		Providence Hospital Portland, OR	"Overdiagnosis", honoraria donated to local charities in the Upper Connecticut Valley of New Hampshire and Vermont	×
						ADD
9. Royalties		<b>✓</b>		University of California Press	"Should I be Tested for Cancer?", royalties are donated to local charities in the Upper Connecticut Valley of New Hampshire and Vermont.	×
9. Royalties		<b>✓</b>		Beacon Press	"Overdiagnosed: Making People Sick in the Pursuit of Health", royalties are donated to local charities in the Upper Connecticut Valley of New Hampshire and Vermont.	×
						ADD
11. Stock/stock options		<b>✓</b>		General Electric CO	222 shares (common)	×
11. Stock/stock options		<b>✓</b>		IBM	560 shares (common)	×
11. Stock/stock options		$\checkmark$		ВР	300 shares (common)	×



ADD

- \* This means money that your institution received for your efforts.
- \*\* For example, if you report a consultancy above there is no need to report travel related to that consultancy on this line.

Section 4.	Other relationships
	elationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?
	tionships/conditions/circumstances that present a potential conflict of interest wing relationships/conditions/circumstances are present (explain below):
	anuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements rnals may ask authors to disclose further information about reported relationships.
	Show All Table Rows SAVE

#### **Evaluation and Feedback**

Please visit <a href="http://www.icmje.org/cgi-bin/feedback">http://www.icmje.org/cgi-bin/feedback</a> to provide feedback on your experience with completing this form.



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## 4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

# Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

#### Definitions.

**Entity:** government agency, foundation, commercial sponsor, academic institution, etc.

**Grant:** A grant from an entity, generally [but not always] paid to your organization

**Personal Fees:** Monies paid to you for services rendered, generally honoraria, royalties, or fees for consulting, lectures, speakers bureaus, expert testimony, employment, or other affiliations

**Non-Financial Support:** Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

Other: Anything not covered under the previous three boxes

**Pending:** The patent has been filed but not issued

**Issued:** The patent has been issued by the agency

**Licensed:** The patent has been licensed to an entity, whether earning royalties or not

**Royalties:** Funds are coming in to you or your institution due to your patent

Pohl 1



Section 1.	Identifying Inform	nation		
1. Given Name (Fi Heiko	rst Name)	2. Surname (Last Name) Pohl	3. Date 02-December-2013	
4. Are you the cor	responding author?	✓ Yes No		
5. Manuscript Title Repeat upper en	e doscopy in the Medica	re population		
6. Manuscript lder M13-0046	ntifying Number (if you kr	now it)		
	ı			
Section 2.	The Work Under C	onsideration for Publica	ntion	
any aspect of the s statistical analysis,	ubmitted work (including	but not limited to grants, data		ommercial, private foundation, etc.) for esign, manuscript preparation,
Section 3.	Relevant financial	activities outside the su	ıbmitted work.	
of compensation clicking the "Add	) with entities as descri	ibed in the instructions. Use port relationships that were	one line for each entity; a	lationships (regardless of amount add as many lines as you need by nonths prior to publication.
Section 4.	Intellectual Proper	rty Patents & Copyrigl	nts	
Do you have any	patents, whether plan	ned, pending or issued, bro	adly relevant to the work?	? ☐ Yes ✓ No

Pohl 2



Section 5. Polotionships not sovered above
Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):
No other relationships/conditions/circumstances that present a potential conflict of interest
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statement On occasion, journals may ask authors to disclose further information about reported relationships.
Section 6. Disclosure Statement
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No conflicts of interest to disclose.

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Pohl 3



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**Royalties:** Funds are coming in to you or your institution due to your patent

Robertson 1



Section 1. Identifying Inform	ation	
Given Name (First Name)  Douglas	2. Surname (Last Name) Robertson	3. Date 27-November-2013
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Dr Heiko Pohl
5. Manuscript Title Repeat Upper Endoscopy in the Medica	re Population	
6. Manuscript Identifying Number (if you kn M13-0046	now it)	
Section 2. The Work Under Co	onsideration for Publi	cation
any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of intere	but not limited to grants, d	a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation,
Section 3. Relevant financial	activities outside the	submitted work.
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Name of Entity	Grant? Personal No	on-Financial Other? Comments
Given Imaging		Advisory Board
Section 4. Intellectual Proper	ty Patents & Copyri	ghts
Do you have any patents, whether plant	ned, pending or issued, b	roadly relevant to the work? Yes V No

Robertson 2



Section 5. Polationships not severed above
Relationships not covered above
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Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.
Dr. Robertson reports personal fees from Given Imaging, outside the submitted work; .

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