

ICMJE Form for Disclosure of Potential Conflicts of Interest

Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

Identifying information.

2. The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes".

3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work's sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

For grants you have received for work outside the submitted work, you should disclose support ONLY from entities that could be perceived to be affected financially by the published work, such as drug companies, or foundations supported by entities that could be perceived to have a financial stake in the outcome. Public funding sources, such as government agencies, charitable foundations or academic institutions, need not be disclosed. For example, if a government agency sponsored a study in which you have been involved and drugs were provided by a pharmaceutical company, you need only list the pharmaceutical company.

4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

Definitions.

Entity: government agency, foundation, commercial sponsor, academic institution, etc.

Grant: A grant from an entity, generally [but not always] paid to your organization

Personal Fees: Monies paid to you for services rendered, generally honoraria, royalties, or fees for consulting, lectures, speakers bureaus, expert testimony, employment, or other affiliations

Non-Financial Support: Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

Other: Anything not covered under the previous three boxes

Pending: The patent has been filed but not issued

Issued: The patent has been issued by the agency

Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

Hirsch 1



ICMJE Form for Disclosure of Potential Conflicts of Interest

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Section 1.	Identifying Inforn	mation			
1. Given Name (Fi Joshua	rst Name)	2. Surname (Last Na Hirsch	me)	3. Date 18-March-2016	
4. Are you the co	rresponding author?	☐ Yes ✓ No	Correspon Manchika	ding Author's Name nti	
5. Manuscript Titl Comment on Ch					
6. Manuscript Ide	ntifying Number (if you k	now it)			
Section 2.	The Work Under C	Consideration for F	ublication		
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Section 3.	Relevant financial	l activities outside	the submitted	work.	
of compensation clicking the "Add Are there any rel	n) with entities as desci	ribed in the instruction report relationships the rest? Yes	ns. Use one line f	ave financial relationships (regardless o or each entity; add as many lines as you luring the 36 months prior to publica	need by
Name of Entity		Grant? Personal Fees?	Non-Financial Support?	Other? Comments	
Medtronic				Ongoing: Consulting related to interventional spine	
Carefusion				Taught a course on intervention spine techniques. One time fee	al
Codman Neurovascu	 ılar			DSMB participation (neurovascu	lar)

Hirsch 2



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Evaluation and Feedback

 $Please\ visit\ \underline{http://www.icmje.org/cgi-bin/feedback}\ to\ provide\ feedback\ on\ your\ experience\ with\ completing\ this\ form.$

Hirsch 3



INSTRUCTIONS:

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form has five parts.

1. Identifying information.

Each author should submit a separate form. Provide complete information and double-check the manuscript number. **If** you are NOT the corresponding author please insert his or her name.

2. The work under consideration for publication.

Please provide information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The idea is to provide for the reader information about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. If you check the "No" box it means that you did the work without receiving any financial support from any third party-- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds to pay you.

If you or your institution did receive funds from a third party to support the work, check "Yes" along with the appropriate boxes to indicate the type of support and whether you or your institution received it.

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The goal of this section is to provide information for our reviewers and readers about your interactions with entities in the biomedical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer. For grants you have received for work outside the submitted work, you should disclose support ONLY from entities that could be perceived to benefit financially from the published work, such as drug companies, or foundations supported by entities that could be perceived to have a financial stake in the outcome. Public funding sources, such as the NIH or the MRC, need not be disclosed. For example, if the NIH sponsored a piece of work you have been involved in but drugs were provided by a pharmaceutical company, you need only list the pharmaceutical company.

4. Financial relationships involving your spouse or partner or your children (under 18 years of age).

If monies from the types of relationships listed in Section 3 were paid to your spouse or partner or dependent children, please list the type of activity and source of the money.

5. Nonfinancial associations.

Please report any personal, professional, political, institutional, religious, or other associations that a reasonable reader would want to know about in relation to the submitted work.

Section 1. Identify	ing Information	1.				
Given Name:	A.1	Surname: Kaye		Effective Date:	March 18, 2016	
(or first)	Alan esponding author?	- (or last)		Format exam	ple: 07-August-2008	
Manuscript Title:	Chou	Article Lett	er to Editor L15	5-0566		
Section 2. Informa	ation about the	support of the	e work under co	onsideration for publication	on.	
Did you or your inst	itution at any time r	receive payment	or support in kind fo	or any aspect of the submitted won, statistical analysis, etc)?		
XNo						
☐ Yes, specify n	ature of compensat	ion				

Section 3. Information about relevant financial relationships outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with any entities that have an interest related to the submitted work. Use one line for each entity; add as many lines as you need. Use the comments colwnn to indicate any additional information that you think a reader or editor would want to know about the compensation. Report relationships that were present during the 36 months prior to submission. In addition please disclose relationships that fall outside the 36-month window that readers may want to know about and could reasonably criticize you for not disclosing (for example, long-term financial relationships that are now ended).

If you have more than one relationship, click "Add +"to add a row. Click "Del X" to delete an extra row.

Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your institution	Entity	Comments	
Board membership	X					Del x
		I				Add+
Consultancy	X					Del x
						Add+
Employment	Х					Del x
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Expert testimony	Х					Del x
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Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your institution	Entity	Comments	
Gifts	X					Del X
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Grants /grants pending	Х					Del X
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Royalties	Х					Del X
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Payment for development of educational presentations including service on speakers' bureaus	X					Del x
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Stock /stock options	X					Del X
		1				Add+
Travel/accommodations expenses covered or reimbursed	X					Del X
		I				Add+
Other (err on the side of full disclosure)	Х					Del X
						Add+



Section 4. Infonnation about financial relationships involving your spouse or partner or (under 18 years of age). Do your children or your spouse or partner have financial relationships with entities that have an interest in the content of the c	
submitted work?	
X No other relationships/conditions/circwnstances that present potential conflict of interest	
\square Yes, the following relationships/conditions/circwnstances are present (explain below):	
Section 5. Infonnation about relevant nonfinancial associations.	
Do you have any relevant nonfinancial associations or interes ts (personal, professional, political, institutional, that a reasonable reader would want to know about in relation to the submitted work?	religious, or other)
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5. Nonfinancial associations.

Please report any personal, professional, political, institutional, religious, or other associations that a reasonable reader would want to know about in relation to the submitted work.



Section 1. Identifying Information.

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compensation)	with any ent	ities that	have an inter	est related to t	he submitted work. U	Jse one line for each en	ntity; add as many	lines
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						months prior to submi know about and could		
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Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your institution	Entity	Comments	
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Payment for development of educational presentations including service on speakers' bureaus						Del ×
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Travel/accommodations expenses covered or reimbursed	\boxtimes					Del ×
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Other (err on the side of full disclosure)				Semnur Pharmaceuticals, Incorporated	Dr. Manchikanti has provided limited consulting services to Semnur Pharmaceuticals, Incorporated, which is developing nonparticulate steroids.	Del×
						Add +



Section 4. Information about financial relationships involving your spouse or partner or your children (under 18 years of age).

Do your children or your spouse or partner have financial relationships with entities that have an interest in the content of the submitted work?
No other relationships/conditions/circumstances that present potential conflict of interest
Yes, the following relationships/conditions/circumstances are present (explain below):

Section 5. Information about relevant nonfinancial associations.

Do you have any relevant nonfinancial associations or interests (personal, professional, political, institutional, religious, or other)
that a reasonable reader would want to know about in relation to the submitted work?
No relevant nonfinancial relationships/conditions/circumstances to report.

Yes, the following relevant nonfinancial relationships/conditions/circumstances are present (explain below):

At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.

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